Integrating the Healthcare Enterprise



IHE IT Infrastructure Technical Framework Supplement

Mobile Alert Communication Management (mACM)

Rev. 2.1 – Trial Implementation

20 Date: August 5, 2016

Author: IHE ITI Technical Committee

Email: iti@ihe.net

Please verify you have the most recent version of this document. See here for Trial Implementation and Final Text versions and here for Public Comment versions.

15

Foreword

This is a supplement to the IHE IT Infrastructure Technical Framework V13.0. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on August 5, 2016 for trial implementation and may be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the IT Infrastructure Technical Framework. Comments are invited and may be submitted at http://www.ihe.net/ITI_Public_Comments.

This supplement describes changes to the existing technical framework documents.

"Boxed" instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

40 *Amend Section X.X by the following:*

Where the amendment adds text, make the added text **bold underline**. Where the amendment removes text, make the removed text **bold strikethrough**. When entire new sections are added, introduce with editor's instructions to "add new text" or similar, which for readability are not bolded or underlined.

45

35

General information about IHE can be found at: http://ihe.net.

Information about the IHE IT Infrastructure domain can be found at: http://ihe.net/IHE_Domains.

Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at: http://ihe.net/Profiles.

Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at: http://ihe.net/IHE_Process and http://ihe.net/Profiles.

The current version of the IHE IT Infrastructure Technical Framework can be found at: http://ihe.net/Technical_Frameworks.

CONTENTS

	Introduction to this Supplement	5
60	Open Issues and Questions	5
	Closed Issues	5
	General Introduction	8
	Appendix A - Actor Summary Definitions	8
	Appendix B - Transaction Summary Definitions	8
65	Glossary	8
	Volume 1 – Profiles	9
	Copyright Licenses	9
	Domain-specific additions	9
	42 Mobile Alert Communication Management (mACM) Profile	10
70	42.1 Mobile Alert Communication Management (mACM) Actors, Transactions, and Cont	ent
	Modules	
	42.1.1 Actor Descriptions and Actor Profile Requirements	11
	42.1.1.1 Alert Reporter	11
	42.1.1.2 Alert Aggregator	12
75	42.2 mACM Actor Options	12
	42.2.1 Query for Alert Status Option	12
	42.2.2 Disseminate and Report Alert Status Option	13
	42.3 mACM Required Actor Groupings	13
	42.4 mACM Overview	13
80	42.4.1 Concepts	14
	42.4.2 Use Cases	14
	42.4.2.1 Use Case #1: Crisis Response	15
	42.4.2.1.1 Crisis Response Use Case Description	16
	42.4.2.1.2 Crisis Response Process Flow	16
85	42.4.2.2 Use Case #2: Care Reminders	17
	42.4.2.2.1 Care Reminder Use Case Description	17
	42.4.2.2.2 Care Reminder Process Flow	18
	42.5 mACM Security Considerations	18
	42.5.1 Patient Safety Considerations	19
90	42.6 mACM Cross Profile Considerations	19
	42.6.1 Health Worker Registry Services	19
	42.6.2 Client Registry Services	21
	Volume 2 – Transactions	24
	3.84 Mobile Report Alert [ITI-84]	24
95	3.84.1 Scope	24
	3.84.2 Actor Roles	24
	3.84.3 Referenced Standards	24
	3.84.4 Interaction Diagram	25
	3.84.4.1 Mobile Report Alert Request	25

100	3.84.4.1.1 Trigger Events	25
	3.84.4.1.2 Message Semantics	25
	3.84.4.1.2.1 FHIR CommunicationRequest Resource Constraints	26
	3.84.4.1.2.1.1 FHIR CommunicationRequest Resource Constraints –	
	Disseminate and Report Alert Status Option	27
105	3.84.4.1.3 Expected Actions	27
	3.84.4.1.3.1 FHIR Communication Constraints	28
	3.84.4.1.3.2 Expected Actions – Disseminate and Report Alert Status Option	29
	3.84.4.2 Mobile Report Alert Response	
	3.84.4.2.1 Trigger Events	30
110	3.84.4.2.2 Message Semantics	30
	3.84.4.2.3 Expected Actions	30
	3.84.5 Alert Terminologies and Mappings	31
	3.84.5.1 Defined Terminologies	31
	3.84.5.2 Mappings Between Terminologies	33
115	3.84.6 Security Considerations	35
	3.85 Query for Alert Status [ITI-85]	36
	3.85.1 Scope	
	3.85.2 Actor Roles	36
	3.85.3 Referenced Standards	36
120	3.85.4 Interaction Diagram	37
	3.85.4.1 Query for Alert Status Request Message	37
	3.85.4.1.1 Trigger Events	37
	3.85.4.1.2 Message Semantics	
	3.85.4.1.3 Expected Actions	38
125	3.85.4.2 Query for Alert Status Response Message	38
	3.85.4.2.1 Trigger Events	
	3.85.4.2.2 Message Semantics	38
	3.85.4.2.3 Expected Actions	38
	3.85.5 Alert Terminologies and Mappings	38
130	3.85.6 Security Considerations	
	Volume 2 Namespace Additions	38
	Appendix Y – Diagram Pseudocode	39

Introduction to this Supplement

Open Issues and Questions

- #6) MEMLS has location notion of physical offset (e.g., within building). How should this be represented for the dissemination event location field? See Appendix A of PCD MEM-LS Supplement.
- #11) Open Issue: mACM definition of "alert" is not same as general definition: http://ihe.net/uploadedFiles/Documents/Templates/IHE_TF_GenIntro_AppD_Glossary_Rev1.0_ 2014-07-01.pdf
 - It is not clear how to resolve: For example, PCD's term could be broadened or we could rewrite this profile to not use the term alert.
- 145 #19) Opened CPs with FHIR (10390 and 10391) to enable searching on CommunicationRequest.reason and Communication.reason.

Closed Issues

- #0) Should a codeset be defined to capture the priority of an alert in the flag.priority resource. .
- #1) Would we be prescriptive about the way to set PCD abnormality flags in the flag.characteristics data field? Table 8.3 is referenced, but no uri or oid is specified.
 - #2) mACM defines FHIR extensions which require profiles in 3.84.41.2.1 and 3.85.41.2.1. FHIR requires that these profiles are published. Currently the text states that the profiles are available at, for example:
 - http://www.ihe.net/fake_url_for_trial_implementation/mACM/Profile/flag.recipient
- these URLs are examples only. Upon publication, a permanent home for any needed extension points should be defined as an IHE resource.
 - We have removed all extensions and just have constraints.
 - #3) Do not have a way to identity a device which is a non-medical device (e.g., not subject to FDA regulation) A clarification issue on FHIR was raised:
- 160 http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id= 6209&start=0
 - #4) Should we have Device as a recipient in transactions 84 and 85. This is not specifically required for the uses cases described in Vol 1, but may be useful for PCD.
- #5) For the flag.author data field, it would be useful to have the author of an alert be an Organization resource (e.g., CDC). A FHIR issue was filed:
 - http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6208&start=0

If this Issue is not approved, an extension point should be added to the flag resource to allow an Organizational author of the alert. For example, the following could be added to Table 3.84.4.2.2.1-1:

extension	This data field identifies the originator of the alert.	Reference(
[01]	This data field is defined as an extension with URL flag. author and with value in valueReference and	Organization
	whose value is an organization represented by a reference to)
	an Organization resource.	
	This data field should only be populated if a subject of care was not identified.	

#7) The use of the flag.category is unclear – it could either be flag/alert content or could be used for alert filtering/routing. A FHIR issue was filed:

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6170&start=0

to clarify its use. A FHIR Skype conversation indicated that the later sense of flag.category is what is intended, and this is the way that is used in this profile.

#8) Use Case #1 in Vol 1 requires that an alert be issued without an identified subject of care.

The flag resource has a flag.patient field that is [1..1] which would preclude the use of the flag resource for this use case. A FHIR issue has been filed:

 $\underline{\text{http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit\&tracker_item_id=6171\&start=0}$

to change to [0..1]. If this CP is approved, then Section 3.84.4.1.2.1 should be updated.

#9) A concern brought up by PCD is that the use of flag.patient is limiting scope of the alert. What about location or equipment source=medical device, a use cased highlighted in Vol 1 of PCD? Example of a location would be a cord pull in bathroom in a hallway. A FHIR issue was raised:

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6271&start=0

<u>CP was rejected by FHIR and not relevant now because we're using the Communication resource.</u>

#10) Multiple extension points have been define by this profile on the FHIR flag resource. Some of those may be useful to be part of the core resource. A FHIR issue to this effect was raised here:

http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=6272&s tart=0

Extension points have been removed.

170

190

- #12) The PCD referenced WCTP standard is not a formally published standard and that maintenance of WCTP is within the PCD Technical Committee.
 - #13) Would be good to have Group as an allowed recipient for an alert. FHIR issue filed: http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=8466
 This was accepted, but it looks like it should also be added to CommunicationRequest resources: http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=9773
- These have both been approved.
 - #14) Would be useful to have Period in the core Communication resource rather than as an extension
 - http://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=8467
- This was rejected by FHIR: "Communication represents a piece of information that *was*

 conveyed to a recipient. Validity period isn't relevant. (Flag on the other hand represents a piece of data that should be continuously exposed to a category of recipients over a period of time.)"

 This raises the issue of whether mACM should use CommunicationRequest resources as the
- We have decided to use CommunicationRequest as the primary FHIR Resource for sending alerts.
 - #15) Figure 3.84.4.1.3.1-1 probably should live in Volume 1.
 - We decided against this.

trigger.

- #16) Should there be a FHIR CP for other extensions? This will depend on open issue #14 resolution.
- There are currently no extensions, just constraints so this is no longer necessary.
 - #17) Should the dissemination extension be replaced by multiple Communication resources sharing the same original CommunicationRequest resource?
 - We have made this change.
- #18) FHIR CP #10387 asks for a way to describe the location a CommunicationRequest refers to. The current Table 3.85.4.2-1 uses sender.location (when sender is a Device). Is sender.location suitable?
 - This CP wanted more reason which we didn't have. We have left it using the Device.location when the sender is a device.

230 General Introduction

Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.

Appendix A - Actor Summary Definitions

Add the following actors to the IHE Technical Frameworks General Introduction list of actors:

235

240

Actor	Definition
Alert Reporter	This actor originates the alert (an alarm, either physiological or technical, or an advisory). May also query the Alert Aggregator for the status of the alert.
Alert Aggregator	This actor receives alerts from the Alert Reporter and collects status events related to the dissemination of the alert.
Alert Manager	This actor receives alert from an Alert Reporter manages them according to business context, and disseminates them to an Alert Communicator.

Note: The Alert Communicator is defined in IHE PCD TF-1: 6.3.4 of the IHE Patient Care Device (PCD) Technical Framework (http://www.ihe.net/uploadedFiles/Documents/PCD/IHE_PCD_TF_Vol1.pdf).

Appendix B - Transaction Summary Definitions

Add the following transactions to the IHE Technical Frameworks General Introduction list of Transactions:

Transaction	Code	Definition
Mobile Report Alert	ITI-84	This transaction is used by the Alert Reporter to report alerts to the Alert Aggregator. The Alert Reporter sends alerts to the Alert Aggregator in an unsolicited manner.
Query for Alert Status	ITI-85	This transaction is used by the Alert Reporter to query an Alert Aggregator for alert status information as communicated to an Alert Aggregator for a particular alert.

Glossary

Add the following glossary terms to the IHE Technical Frameworks General Introduction Glossary:

No new glossary terms.

Rev. 2.1 - 2016-08-05

Volume 1 – Profiles

Copyright Licenses

Add the following to the IHE Technical Frameworks General Introduction Copyright section:

None

250 **Domain-specific additions**

None

Rev. 2.1 – 2016-08-05

42 Mobile Alert Communication Management (mACM) Profile

The mACM Profile provides the infrastructural components needed to send short, unstructured text alerts to human recipients and can record the outcomes of any human interactions upon receipt of the alert. The mACM Profile additionally allows for a feedback mechanism to determine the status of an alert through the use of alert statuses. Additional characteristics of alerts are discussed in Section 42.1.4.1.

Recognizing that there are many health care workflows that could leverage a notification mechanism, it is not the aim of this profile to describe all of these workflows. Instead, this profile will limit considerations to two use cases:

- *Crisis Response*, defined in Section 42.4.2.1, covers the distribution of notifications to health workers defined by the Common Alerting Protocol version 1.2.
- Care Reminders, defined in Section 42.4.2.2, covers the distribution of notifications to care givers and subjects of care based on upcoming or missed appointments as defined, medication reminders and other similar patient care reminders.

It is the expectation that the infrastructural components of the mACM Profile will be reusable beyond the use cases described in Section 42.4.2 and will support extensions to support domain specific workflows.

The mACM Profile:

255

260

265

275

280

- defines a transaction, Mobile Report Alert [ITI-84], which is suitable for mobile devices and non-clinical contexts and provides alternative message semantics for the Report Alert [PCD-04] transaction;
 - defines a transaction, Query for Alert Status [ITI-85], which allows an originator of an alert to receive all status updates on alert that it reported;
 - supports alerting in national deployment and cross-enterprise contexts in addition to a controlled health delivery network;
 - supports interaction with the public, such as appointment reminders, on a broad a variety of devices, interaction timings and platforms.

42.1 Mobile Alert Communication Management (mACM) Actors, Transactions, and Content Modules

Figure 42.1-1 shows the actors directly involved in the Alert Communication Management (ACM) and mACM Profiles and the relevant transactions between them.

No content modules are defined by the mACM Profile.

Rev. 2.1 – 2016-08-05

Copyright © 2016: IHE International, Inc.

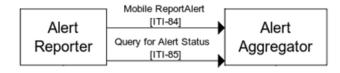


Figure 42.1-1: mACM Actor and Transaction Diagram

Table 42.1-1 lists the transactions for each actor directly involved in the mACM Profile. To claim compliance with this profile, an actor shall support all required transactions (labeled "R") and may support the optional transactions (labeled "O").

Actors Transactions Optionality Reference Alert Reporter Mobile Report Alert R ITI TF-2c:3.84 Query for Alert Status O ITI TF-2c:3.85 Mobile Report Alert R ITI TF-2c:3.84 Alert Aggregator Query for Alert Status R ITI TF-2c:3.85

Table 42.1-1: mACM Profile - Actors and Transactions

42.1.1 Actor Descriptions and Actor Profile Requirements

295 Most requirements are documented in the Volume 2 Transactions and the Volume 3 Content Modules. This section documents any additional requirements on profile actors.

42.1.1.1 Alert Reporter

An Alert Reporter shall originate or relay alerts (an alarm, either physiological or technical, or an advisory) to the Alert Aggregator using the Mobile Report Alert [ITI-84] transaction.

300 Under the Query for Alert Status Option, this actor can query an Alert Aggregator for details related to the dissemination of this alert to the intended recipient(s).

The Alert Reporter may receive alerts from multiple sources and translate these alerts as needed to make them interoperable with the Alert Aggregator. It does not need to be the original source of the alert data. The means by which an Alert Reporter may receive alerts from other sources is out of scope of this profile.

The Response message of the Mobile Report Alert [ITI-84] and Query for Alert Status [ITI-85] transactions may additionally reference Fast Healthcare Interoperability Resources (FHIR^{®1}). An Alert Aggregator's response in these transactions may include URL references to FHIR Resources. Such referenced resources could include, but are not limited to Practitioner,

305

285

¹ Fast Healthcare Interoperability Resources and FHIR are the registered trademarks of Health Level Seven.

Patient, Group, Organization, Device and Location. In such an instance, an Alert Reporter may need to resolve the URL reference to obtain any needed data. See ITI TF-2x: Appendix Z.5 for details.

42.1.1.2 Alert Aggregator

- The Alert Aggregator receives alerts from the Alert Reporter via the Mobile Report Alert [ITI-84] transaction. The Alert Aggregator uses recipient information from the alert reporter to determine the contact information for that recipient. The Alert Aggregator may then manage these alerts according to the required jurisdiction-defined business context, for example dispatching them onto a communications platform for delivery to an intended recipient.
- The Alert Aggregator may optionally collect details related to the dissemination of the alert, for example under the Disseminate and Report Alert Status Option. The Alert Aggregator makes queries against these dissemination details available via the Query for Alert Status [ITI-85] transaction.
 - The Response message of the Mobile Report Alert [ITI-84] and Query for Alert Status [ITI-85] transactions may utilize FHIR Resources.
- When the Alert Aggregator includes a reference, the Alert Aggregator ensures that the reference resolves to the intended FHIR Resource. Such referenced resources could include, but are not limited to Practitioner, Patient, Group, Organization, Device and Location.

42.2 mACM Actor Options

Options that may be selected for each actor in this profile, if any, are listed in the Table 42.2-1.

Dependencies between options when applicable are specified in notes.

Actor Option Name Reference

Alert Reporter Query for Alert Status Section 42.2.1

Alert Aggregator Disseminate and Report Alert Status Section 42.2.2

Table 42.2-1: mACM - Actors and Options

42.2.1 Query for Alert Status Option

The Query for Alert Status Option enables an Alert Reporter to retrieve feedback on the current status of the alert. This option supports analytics on the delivery status and provides feedback capabilities for other business processes that an Alert Reporter implements.

An Alert Aggregator may collect and make available for querying the information related to the dissemination of an alert, either through the Disseminate and Report Alert Status Option, or through other means, which are out of scope of this profile.

An Alert Reporter that supports the Query for Alert Status Option shall initiate the Query for Alert Status [ITI-85] transaction.

42.2.2 Disseminate and Report Alert Status Option

This option enables mACM actors to operate in an environment that is also using the IHE PCD ACM Profile.

- An Alert Aggregator that claims the Disseminate and Report Alert Status Option shall be grouped with an ACM Alert Manager. This grouping enables the mACM Alert Aggregator to collect feedback on the current status of an alert disseminated in an ACM environment.
 - When the mACM Alert Aggregator receives a valid Mobile Report Alert [ITI-84] transaction, the grouped ACM Alert Manager initiates the Disseminate Alert [PCD-06] transaction to an ACM Alert Communicator, using the translation tables in ITI TF-2c: 3.84.5.2
 - When the ACM Alert Manager receives a response to Report Dissemination Alert Status [PCD-07] about the corresponding alert, then the grouped mACM Alert Aggregator shall represent the dissemination data in a Query for Alert Status [ITI-85] response, using the translation tables in ITI TF-2c: 3.84.5.2.

See Section ITI TF-2c: Figure 3.84.4.1.3.1-2 and ITI TF-2c: 3.84.4.1.3.1 "Expected Actions - Disseminate and Report Alert Status Option".

42.3 mACM Required Actor Groupings

An actor from this profile (Column 1) shall implement all of the required transactions and/or content modules in this profile *in addition to* all of the transactions required for the grouped actor (Column 2).

Table 42.3-1: mACM - Required Actor Groupings

mACM Actor	Actor to be grouped with	Reference	Content Bindings Reference
Alert Aggregator with the Disseminate Status and Report Alert Option	PCD ACM Alert Manager	PCD TF-1: 6.1	

365 42.4 mACM Overview

350

355

The mACM Profile supports the delivery of a variety of alerts to both Health Workers and Clients (Subjects of Care) with a feedback mechanism to record delivery status and human responses.

Rev. 2.1 - 2016-08-05

42.4.1 Concepts

370

In Figure 42.4.1-1, the sequencing of the transactions in Figure 42.1-1 is illustrated.

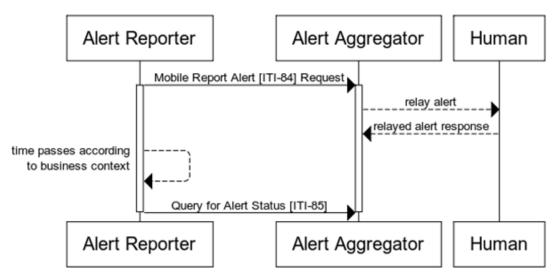


Figure 42.4-1: Process Flow Diagram

42.4.2 Use Cases

375 The mACM Profile takes into consideration uses cases that span clinical, health systems management and public health domains.

A critical requirement of the mACM Profile is the ability to provide basic alerting services within resource-constrained environments with a low barrier to entry. Such communities may exist at national context for Low and Middle Income Countries (LMICs²), as well as underserved communities in high-income countries (e.g., the population targeted by Detroit's Beacon Project³). A proliferation of alerting services exists in national health networks of resource-constrained countries (see Figure 42.4.2-1 for an illustrative example) and the mACM Profile fulfills an important need of the ministries of health to provide a central messaging infrastructure. Such a centralized infrastructure provides the ministry the ability to:

- Assert and enforce governance policies on the utilization of alerting services on mobile platforms
- Define and enforce cost control measures across various mobile alerting platforms

380

² http://data.worldbank.org/about/country-and-lending-groups

³ http://www.healthit.gov/sites/default/files/beacon-factsheet-semi.pdf

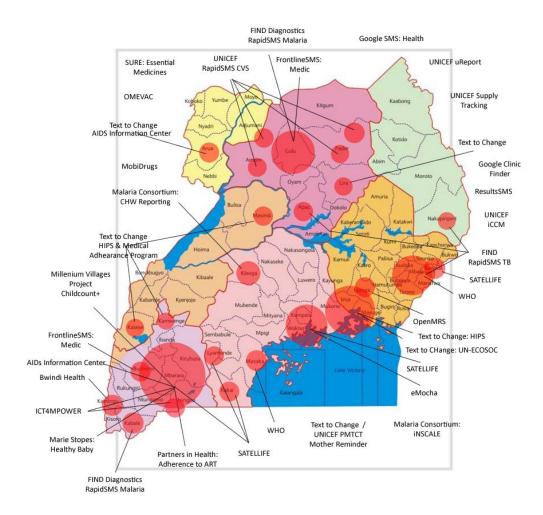


Figure 42.4.2-1 Extant mobile based mHealth Services in Uganda

(Courtesy UNICEF/Blaschke/2011)

42.4.2.1 Use Case #1: Crisis Response

In response to a crisis or emergency situation, such as the 2014 and 2015 outbreaks of Ebola in western Africa, it is critical to communicate to health workers across organizational and national boundaries, and to verify receipt of such alerts. Such alerts are commonly issued in the OASIS Common Alerting Protocol (CAP) format:

• http://docs.oasis-open.org/emergency/cap/v1.2/CAP-v1.2-os.html

There is a desire to assure human acknowledgment of receipt of these CAP messages.

Rev. 2.1 - 2016-08-05

Copyright © 2016: IHE International, Inc.

390

42.4.2.1.1 Crisis Response Use Case Description

400 The Crisis Response use case describes the mechanism for delivering alerts in the CAP format to health workers within a particular health care network. The nature of this network is not prescribed in this profile and may consist, for example, of a network of hospitals or a national health care network.

The manner of production and publication of the CAP message is not prescribed in this profile.

- There are several existing profiles and specifications related to CAP messages that detail values of and requirements on particular data fields. Such specifications include:
 - OASIS Integrated Public Alert and Warning System (IPAWS)
 - HiTSP T 63 Emergency Message Distribution Element Transaction
 - NIEM Emergency Management
- This profile can be used to relay CAP messages issued by an appropriate authority to an appropriate set of health workers on last-mile devices. In addition, this profile describes a mechanism for recording human acknowledgment of receipt of information contained in the CAP messages. These responses can it turn be used for analytical and monitoring purposes.⁴

42.4.2.1.2 Crisis Response Process Flow

The workflow for delivery and acknowledgment of a CAP message is illustrated in Figure 42.4.2.1.2-1.

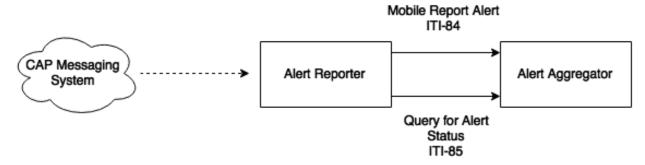


Figure 42.4.2.1.2-1: CAP Delivery and Acknowledge

⁴ Waidyanatha, Nuwan and Gow, Gordon and Anderson, Peter, Common Alerting Protocol Message Broker for Last-Mile Hazard Warning System in Sri Lanka: An Essential Component (May 2007). Available at SSRN: http://ssrn.com/abstract=1568001 or http://dx.doi.org/10.2139/ssrn.1568001

Figure 42.4.2.1.2-1 illustrates the distribution of a CAP message from an external system to an Alert Reporter. Though the method for receiving a CAP message is not specified by the profile, the Alert Reporter should:

- Identify a cohort of health workers for receiving the text of the CAP message
- Translate the CAP message into the message semantics defined in ITI TF-2c: 3.84 and transmit to the Alert Aggregator

The Alert Aggregator distributes the alert and collects alert dissemination statuses from Alert Communicators and makes status information available to the Alert Reporter via the Query for Alert Status.

430 **42.4.2.2** Use Case #2: Care Reminders

A subject of care may receive care from multiple providers across multiple health care networks, and coordination of care across providers and networks is difficult. If an Electronic Medical Record or Longitudinal/Shared Health Record is present, Care Reminder alerts can be triggered through the examination of clinical records about the subject of care. Care Reminder alerts are sent either to the subject of care or a designated health worker.

42.4.2.2.1 Care Reminder Use Case Description

The following are illustrative examples of Care Reminder alerts:

- (Rwanda) When patients are referred to the district hospital by a Community Health Worker (CHW), the CHW can choose an immediate, urgent or routine referral. In urgent cases they must visit the hospital within three days and for routine referrals they must visit the hospital within seven days. The Health Information Exchange (HIE) is able to detect if the patient has missed her referral by checking if an encounter has been received at the Longitudinal Health Record within the time frame. If an encounter has not been received the HIE sends out an out an alert of the missed appointment to inform the CHW that originally interfaced with that patient.
- (Tanzania) An examination of an Electronic Medical or Health Record indicates that a child has missed a vaccination according to an established protocol of care. An SMS reminder is generated and sent to the mother or other designated guardian. In the case when a mother does not have access to a cell-phone or other electronic device, an alert should be generated and sent to the child's caregiver. This caregiver could be a Community Health Worker, a village elder, or a sub-village chairman.

450

425

435

440

42.4.2.2.2 Care Reminder Process Flow

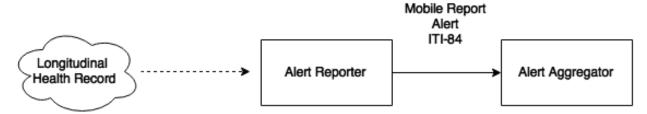


Figure 42.4.2.2.2-1: Care Reminders

455

42.5 mACM Security Considerations

The implementer of this profile is advised that many risks cannot be mitigated by the IHE profile and instead the responsibility for mitigation is transferred to the vendor, and occasionally to the operational environment.

- To address identified security risks for the transactions defined in this profile, implementers should ensure that:
 - All actors in mACM are grouped with a Consistent Time (CT) Profile Time Client. This grouping will assure that all systems have a consistent time clock to assure a consistent timestamp for audit logging and alert dissemination.
- All actors in mACM are grouped with an Audit Trail and Node Authentication (ATNA) Profile Secure Node or Secure Application Actor. This grouping will assure that only highly trusted systems can communicate and that all changes are recorded in the audit log.
 - The Alert Reporter is grouped with an Authorization Client in the Internet User Authorization (IUA) Profile. The Alert Aggregator should be grouped with an IUA Resource Server. This grouping will enable service side access control and more detailed audit logging if ATNA is also used.
 - All actors in mACM are grouped with the appropriate actor from the Enterprise User Authentication (EUA) Profile to enable single sign-on inside an enterprise by facilitating one name per user for participating devices and software.

In particular, appropriate care should be taken when a subject of care is identified in the alert as the content may contain PHI. There are many security and privacy concerns with mobile devices, including lack of physical control. Many common information technology uses of HTTP, including REST, are accessing far less sensitive information than health documents. These factors present an especially difficult challenge for the security model. It is recommended that application developers perform a Risk Assessment in the design of the applications, and that operational environment using mACM perform Risk Assessments in the design and deployment

480

470

475

of the operational environment.

An Alert Aggregator should not return any patient information in transaction Mobile Report
Alert [ITI-84] or Query for Alert Status [ITI-85] unless proper authentication and
communications security have been proven.

There are many reasonable methods of securing transactions. These security models can be layered in at the HTTP transport layer and do not modify the interoperability characteristics defined in the mACM Profile.

490 42.5.1 Patient Safety Considerations

If used beyond original use cases, patient safety risks may need to be assessed.

42.6 mACM Cross Profile Considerations

42.6.1 Health Worker Registry Services

The Alert Reporter would receive great benefit from operating in a health care network that has a registry of health worker. These registries can be used to create a list of enterprise IDs for health workers. Such a service for health workers could be provided, for example, by the:

- InfoManager in the Care Services Discovery (CSD) Profile
- Provider Information Directory in the Healthcare Provider Directory (HPD) Profile
- Personnel White Pages Directory in the Personnel White Pages (PWP) Profile
- The utility of such providing such services is illustrated in Figure 42.6.1-1, which shows in interaction diagram, and Figure 42.6.1-2, which shows a sequencing of these interactions.

Rev. 2.1 – 2016-08-05

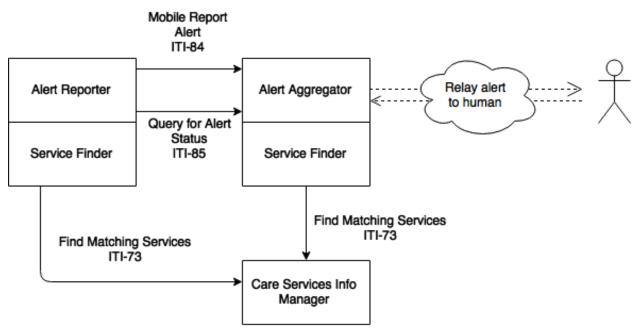


Figure 42.6.1-1: mACM Actor Interactions with a Health Worker Registry

In Figure 42.6.1-1, the CSD Info Manager acts as a registry of health workers in the health system. The Alert Reporter, grouped with a Service Finder, executes an appropriate Find Matching Services [ITI-73] transaction to determine a list of enterprise IDs for targeted health workers according to internal business requirements. The Alert Reporter then sends the alert on to the Alert Aggregator using the Mobile Report Alert [ITI-84] transaction. The Alert Aggregator, grouped with a Service Finder, may also execute an appropriate Find Matching

Services [ITI-73] transaction in order to determine the contact points (e.g., cell phone number) of the referenced health worker.

510

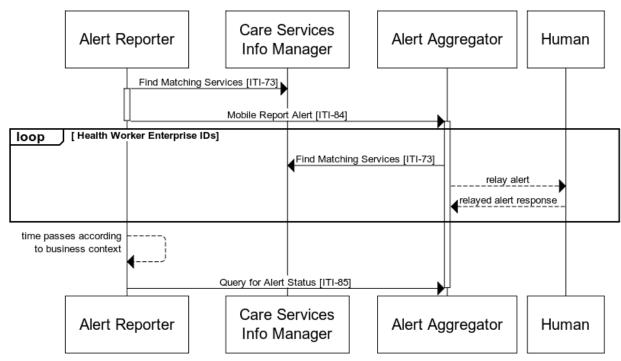


Figure 42.6.1-2: Sequencing of mACM Actor Interactions with a Health Worker Registry

In Figure 42.6.1-2, a potential sequencing of the transactions in Figure 42.6.1-1 is illustrated. These steps may be described as follows:

- 1. The Alert Reporter, grouped with a Care Services Finder, executes the Find Matching Services [ITI-73] transaction against a Care Services Info Manager to determine the enterprise IDs for a list of Health Workers matching a set of criteria. The specific criteria used are dependent on the business context under which the alert is intended to be communicated.
- 2. Using the resultant list of Health Worker enterprise IDs, the Alert Report executes Mobile Report Alert [ITI-84] to report the given alert to an Alert Aggregator.
- 3. For each Health Worker identified in the alert, the Alert Aggregator, grouped with a Service Finder, determines available contact points (e.g., telephone number, email address) by executing Find Matching Services [ITI-73] against a Care Services Info Manager.

42.6.2 Client Registry Services

The Alert Reporter would receive great benefit from operating in a health care network that has a health client registry. These registries can be used to create a list of enterprise IDs for subjects of care. Such a service for a client registry could be provided, for example, by the:

Rev. 2.1 – 2016-08-05

515

520

525

- The Patient Demographics Supplier in the Patient Demographics Ouery (PDO) Profile 535
 - The Patient Demographics Supplier in the Patient Demographics Query for Mobile (PDQm) Profile

The utility of such providing such services is illustrated in Figure 42.6.2-1, which shows in interaction diagram, and Figure 42.6.2-2, which shows a sequencing of these interactions.

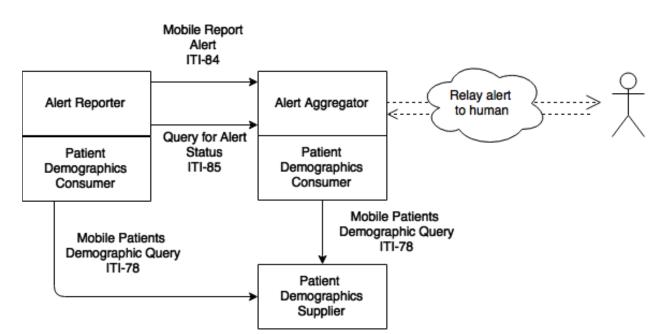


Figure 42.6.2-1: mACM Actor Interactions with a Client Registry using the PDQm Profile

In Figure 42.6.2-2, the PDOm Patient Demographics Supplier acts as a registry of subjects of 545 care in the health system. The Alert Reporter, grouped with a Patient Demographics Consumer, executes an appropriate Mobile Patients Demographic Ouery [ITI-78] transaction to determine a list of enterprise IDs for targeted subjects of care according to internal business requirements. The Alert Reporter then sends the alert on to the Alert Aggregator using the Mobile Report Alert [ITI-84] transaction. The Alert Aggregator, grouped with a Patient Demographics Consumer, 550 may also execute an appropriate Mobile Patients Demographic Query [ITI-78] transaction in order to determine the contact points (e.g., cell phone number) of the referenced subject of care.

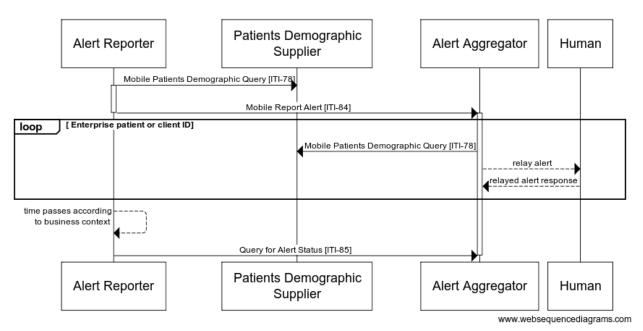


Figure 42.6.2-2: Sequencing of mACM Actor Interactions with a Client Registry

In Figure 42.6.2-2, a potential sequencing of the transactions in Figure 42.6.2-1 is illustrated. These steps may be described as follows:

- 1. The Alert Reporter, grouped with a Patient Demographics Consumer, executes the Mobile Patient Demographics Query [ITI-78] transaction against a Patient Demographics Supplier to determine the enterprise IDs for a list of Subjects of Care matching a set of criteria. The specific criteria used are dependent on the business context under which the alert is intended to be communicated.
- 2. Using the resultant list of Subject of Care enterprise IDs, the Alert Report executes Mobile Report Alert [ITI-84] to report the given alert to an Alert Aggregator.
- 3. For each Subject of Care identified in the alert, the Alert Aggregator, grouped with a Patient Demographics Consumer, determines available contact points (e.g., telephone number, email address) by executing Mobile Patient Demographics Query [ITI-78] against a Patient Demographics Supplier.

555

560

Rev. 2.1 - 2016-08-05

Volume 2 – Transactions

570 3.84 Mobile Report Alert [ITI-84]

3.84.1 Scope

The Mobile Report Alert transaction is used to issue alerts to health workers and subjects of care. An Alert Reporter initiates a Mobile Report Alert transaction against an Alert Aggregator.

3.84.2 Actor Roles



Figure 3.84.2-1: Use Case Diagram

Table 3.84.2-1: Actor Roles

Actor:	Alert Reporter
Role:	Sends an alert to an Alert Aggregator for dissemination to a health worker or subject of care.
Actor:	Alert Aggregator
Role:	Accepts an alert from an Alert Reporter for dissemination to subjects of care and health workers

580 3.84.3 Referenced Standards

- HL7^{®5} FHIR^{®6} standard DSTU2 (v1.0.2) http://hl7.org/fhir/DSTU2/index.html
- HL7 Health Level 7 Version 2.6 Ch7 Observation Reporting
- ISO/IEEE 11073-10201 Domain Information Model

⁵ HL7 is the registered trademark of Health Level Seven International

⁶ FHIR is the registered trademark of Health Level Seven International

- ISO/IEEE 11073-10101 Nomenclature
- JSON IETF RFC 7159
- XML
- HTTP 1.1
- XML Schema 1.1
- Tags for Identifying Languages IETF RFC 5646

590 3.84.4 Interaction Diagram

The following interaction diagram illustrates an Alert Reporter sending a Mobile Report Alert to an Alert Aggregator via the message semantics as defined for a CommunicationRequest resource.

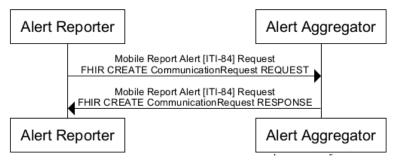


Figure 3.84.4-1: Interaction Diagram

3.84.4.1 Mobile Report Alert Request

The Alert Aggregator shall support the message semantics for create as defined at http://hl7.org/fhir/DSTU2/http.html#create as applicable to a CommunicationRequest Resource defined at http://hl7.org/fhir/DSTU2/communicationrequest.html.

The CommunicationRequest Resource is further constrained as defined in Section 3.84.4.1.2.1.

3.84.4.1.1 Trigger Events

An Alert Reporter triggers a Mobile Report Alert Request according to the business rules for the alert being issued. These business rules are out of scope of this transaction.

3.84.4.1.2 Message Semantics

An Alert Reporter initiates a create request as defined at http://hl7.org/fhir/DSTU2/http.html#create on the CommunicationRequest Resource in order to report a new alert.

595

600

585

Rev. 2.1 - 2016-08-05

An Alert Reporter shall use either the XML or the JSON messaging formats as defined in FHIR. An Alert Aggregator shall support receiving a request in both the JSON and the XML messaging formats as defined in FHIR. See ITI TF-2x: Appendix Z.6 for more details.

3.84.4.1.2.1 FHIR CommunicationRequest Resource Constraints

An Alert Aggregator and an Alert Reporter shall use a FHIR CommunicationRequest Resource.

The FHIR CommunicationRequest Resource shall be further constrained as described in Table 3.84.4.1.2.1-1. The Data Field column in Table 3.84.4.1.2.1-1 references the object model defined at http://hl7.org/fhir/DSTU2/communicationrequest.html#resource.

Table 3.84.4.1.2.1-1: CommunicationRequest Resource Constraints

Data Field & Cardinality	Description & Constraints	FHIR Data Type
category [11]	Signifies that this communication shall be disseminated by the Alert Aggregator according to the expected actions defined in Section 3.84.4.1.3. This data field shall be constrained so that: • The coding.code attribute value is defined in the "Code" column of Table 3.84.5.1-1 • The value coding.system attribute value is defined in the "Code System" column of Table 3.84.5.1-1	CodeableConcept
payload [1*]	This data field contains the content of the alert. Note that this cardinality differs from the cardinality required in the FHIR CommunicationRequest Resource. The Alert Aggregator shall include at least one payload element with the unstructured text content of the alert. Additional payload elements may be present, for example for compliance with jurisdictional accessibility requirements, literacy issues, or translations of the unstructured text content in other languages.	Attachment
	The payload element shall have at least one contentAttachment element that meets the following requirements: • The payload shall contain the language of the unstructured plain text content in the contentAttachment.language attribute • The payload shall contain the unstructured plain text content of the alert to be communicated in the contentAttachment.title attribute • The payload shall have the value "text/plain" in the contentAttachment.content-type attribute	

Rev. 2.1 – 2016-08-05

Data Field &	Description &	FHIR Data Type
Cardinality	Constraints	
priority [11]	Signifies that the priority requested by the Alert Reporter for the communication intended to be disseminated by the Alert Aggregator This data field is defined as an extension which shall be constrained so that:	CodeableConcept
	 The coding.code attribute value is defined in the "Code" column of Table 3.84.5.1-2 The value coding.system attribute value is defined in the "Code System" column of Table 3.84.5.1-2 	

3.84.4.1.2.1.1 FHIR CommunicationRequest Resource Constraints – Disseminate and Report Alert Status Option

For Alert Reporter and Alert Aggregator Actors that support the Disseminate and Report Alert Status Option, the additional constraints in Table 3.38.4.2.3.2.2-2 apply to the

625 CommunicationRequest Resource.

620

Table 3.84.4.1.2.1.1-1: Additional Resource Constraints For the Disseminate and Report Alert Status Option

Data Field &	Description &	FHIR Data Type
Cardinality	Constraints	
reason	This data field identifies secondary characteristics of the alert.	CodeableConcept
[0*]	 The coding.code attribute value is defined in the "Code" column of Table 3.84.5.1-3, as appropriate to the business context 	
	The value coding.system attribute value is defined in the "Code System" column of Table 3.84.5.1-3	

630 **3.84.4.1.3 Expected Actions**

The Alert Aggregator shall issue a Mobile Report Alert Response upon validation of a received Mobile Report Alert Request. See Section 3.84.4.2.

The Alert Aggregator shall respond with appropriate HTTP error codes as described at http://hl7.org/fhir/DSTU2/http.html#create if any of the following conditions are met:

Rev. 2.1 – 2016-08-05

- Return 400 if the Mobile Report Alert Request was invalid
 - Return 422 with an OperationOutcome Resource if the alert CommunicationRequest.category.code has value "pcd-alert" and the Alert Aggregator does not support the Disseminate and Report Alert Status Option

If the Mobile Alert Request is valid the Alert Aggregator shall create a CommunicationRequest Resource as described at http://hl7.org/fhir/DSTU2/communicationrequest.html and constrained in Section 3.84.4.1.2.1.

The Alert Aggregator shall create a Communication Resource as described at http://hl7.org/fhir/DSTU2/communication.html and constrained in Section 3.84.4.1.3.1 for each alert that it sends.

For each alert response received, the Alert Aggregator shall create a Communication Resource as constrained in Section 3.84.4.1.3.1 and update the CommunicationRequest.status field according to the translation tables in Section 3.84.5.2.

The jurisdiction should determine the retention policy for response status events.

Figure 3.84.4.1.3-1 shows the sequencing of the FHIR Resource creation.

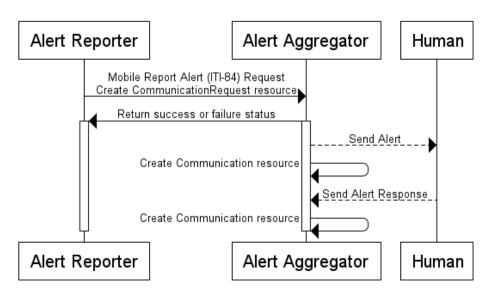


Figure 3.84.4.1.3-1: Process flow diagram for FHIR Resource creation

3.84.4.1.3.1 FHIR Communication Constraints

The FHIR communication Resource shall be constrained as described in Table 3.84.4.1.3.1-1.

655

650

Data Field Description FHIR Data Type & & **Constraints** Cardinality instant _lastUpdated The last time that the Communication Resource was updated or an associated alert [1..1]dissemination status was updated. requestDetail The CommunicationRequest Resource Reference [1..1]that triggered the creation of this (CommunicationRequest Communication Resource. This data field identifies secondary reason CodeableConcept characteristics of the alert. [0..*] In the case of an Alert Aggregator which is exercising the Disseminate and Report Alert Status Option, the CodeableConcept shall further be constrained so that: The coding.code attribute value is defined in the "Code" column of Table 3.84.5.1-3, as appropriate to the business context The value coding.system attribute value is defined in the "Code System" column of Table 3.84.5.1-3

Table 3.84.4.1.3.1-1: Communication Resource Constraints

3.84.4.1.3.2 Expected Actions – Disseminate and Report Alert Status Option

Under the Disseminate and Report Alert Status Option, if the Mobile Report Alert Request contains a value of "pcd-alert" in CommunicationRequest.category.code then the Alert Aggregator grouped with the ACM Alert Manager shall disseminate the alert to designated recipients using the Disseminate Alert [PCD-06] transaction. The grouped actor shall record dissemination status updates related to the dissemination of the alert according to the translation tables in Section 3.84.5.2. Additional constraints on the CommunicationRequest.category and CommunicationRequest.reason data fields are defined in Table 3.84.5.1-1 and Table 3.84.5.1-3 respectively.

For each valid Report Dissemination Alert Status [PCD-07] request the Alert Aggregator receives, it shall create a Communication Resource as described in Section 3.84.4.1.3.1 and update the CommunicationRequest.status field according to the translation tables in Section 3.84.5.2.

Figure 3.84.4.1.3.2-2 shows the sequencing of the transactions for the Disseminate and Report Alert Status Option.

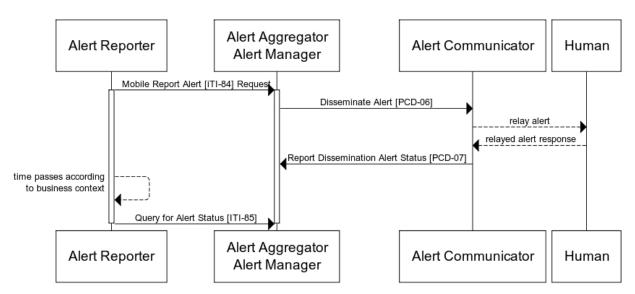


Figure 3.84.4.1.3.2-2: Process Flow Diagram for Alert Disseminate and Report Alert Status

3.84.4.2 Mobile Report Alert Response

The Mobile Report Alert transaction uses the response semantics as appropriate according to the FHIR operation initiated by the Alert Reporter.

680 **3.84.4.2.1 Trigger Events**

An Alert Aggregator sends a Mobile Report Alert Response to the Alert Reporter upon validation of a received Mobile Report Alert Request.

3.84.4.2.2 Message Semantics

The Alert Aggregator shall respond with the appropriate response codes as defined at http://hl7.org/fhir/DSTU2/http.html#create.

3.84.4.2.3 Expected Actions

There are no additional actions required on the Alert Reporter upon receipt of the Mobile Report Alert Response.

If an Alert Reporter does not receive a valid Mobile Report Alert Response, it may reinitiate the transaction.

3.84.5 Alert Terminologies and Mappings

This section contains tables of terminologies referenced as well as mappings between referenced terminologies for the Mobile Report Alert [ITI-84] transaction.

3.84.5.1 Defined Terminologies

695 This section contains tables of terminologies referenced in the Mobile Report Alert [ITI-84] transaction.

The following table contains values, which shall be used by the Alert Reporter in the Mobile Alert Request message for CommunicationRequest.category.

700 Table 3.84.5.1-1: Mobile Report Alert Category Code System - 1.3.6.1.4.1.19376.1.2.5.1

Code	Meaning
alert	Signifies that this communication is intended to be disseminated by the Alert Aggregator according to the expected actions defined in Section 3.84.4.1.3.
pcd-alert	Signifies that this communication is intended to be disseminated by the Alert Aggregator according to the expected actions defined in Section 3.84.4.1.3 and disseminated according to the Disseminate and Report Alert Status Option. For example, when the Alert Reporter wants the message disseminated by the in-house PCD system rather than the general contact method.

The following table contains values which shall be used by the Alert Reporter in the Mobile Alert Request message for CommunicationRequest.priority. This table is adapted from PCD TF-2: Table 8-4.

Table 3.84.5.1-2: Mobile Report Alert Priority Code System - 1.3.6.1.4.1.19376.1.2.5.2

Code	Meaning
PN	Signifies that the priority with which this message is sent is not indicated
PL	Signifies that this message is sent with low priority
PM	Signifies that this message is sent with medium priority
PH	Signifies that this message is sent with high priority

The following table contains values which shall be used by the Alert Reporter in the Mobile Alert Request message for CommunicationRequest.reason. These are secondary characteristics 710 that apply to an alert that is intended for dissemination under the Disseminate and Report Alert Status Option.

Table 3.84.5.1-3: Mobile Report Characteristics Value Set OID - 1.3.6.1.4.1.19376.1.2.5.3

Codes	Code System	List of codes
All Codes from	1.3.6.1.4.1.19376.1.2.5.3.1	
All Codes from	1.3.6.1.4.1.19376.1.2.5.3.2	
All Codes from	1.3.6.1.4.1.19376.1.2.5.3.3	See Table 3.84.5.1-4
All Codes from	1.3.6.1.4.1.19376.1.2.5.3.4	
All Codes from	1.3.6.1.4.1.19376.1.2.5.3.5	

715

The code systems defined for this transaction are found in Table 3.84.5.1-4. This table is adapted from PCD TF-2: Table 8-3.

Table 3.84.5.1-4: Mobile Report Characteristics Code System

Code	Code System	Meaning
N	1.3.6.1.4.1.19376.1.2.5.3.1	Abnormal Type: Normal, not abnormal
L	1.3.6.1.4.1.19376.1.2.5.3.1	Abnormal Type: Below low normal
LL	1.3.6.1.4.1.19376.1.2.5.3.1	Abnormal Type: Below lower panic limits
Н	1.3.6.1.4.1.19376.1.2.5.3.1	Abnormal Type: Above high normal
НН	1.3.6.1.4.1.19376.1.2.5.3.1	Abnormal Type: Above higher panic limits
A	1.3.6.1.4.1.19376.1.2.5.3.1	Abnormal Type: Abnormal (for non-numeric results)
tpoint	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: time point
start	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: start (of an interval event/alert) – an end is expected
start_only	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: start – continue and end are not to be expected
continue	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: continuation (of an ongoing interval event/alert)
end	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: end (of an interval event/alert)
present	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: event/alert is active at this time
update	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: Update
escalate	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: escalation of an ongoing alert/alarm
inactivate	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: Inactivation (e.g., silence)

Code	Code System	Meaning
deescalate	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: de-escalation of an ongoing alert/alarm
reset	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: clear latched alarm
stop	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: pause an event/alert; could restart with same ID later
update	1.3.6.1.4.1.19376.1.2.5.3.2	Event Phase: change
SP	1.3.6.1.4.1.19376.1.2.5.3.3	Alert Source: alarm – physiological
ST	1.3.6.1.4.1.19376.1.2.5.3.3	Alert Source: alarm – technical
SA	1.3.6.1.4.1.19376.1.2.5.3.3	Alert Source: alarm – advisory
SP	1.3.6.1.4.1.19376.1.2.5.3.3	Alert Source: alarm – physiological
alarm-paused	1.3.6.1.4.1.19376.1.2.5.3.4	Inactivation State: Alarm is paused
alarm-off	1.3.6.1.4.1.19376.1.2.5.3.4	Inactivation State: Alarm is off
audio-paused	1.3.6.1.4.1.19376.1.2.5.3.4	Inactivation State: Audio is paused
audio-off	1.3.6.1.4.1.19376.1.2.5.3.4	Inactivation State: Audio is off
inactive	1.3.6.1.4.1.19376.1.2.5.3.5	Alert State: inactive
active	1.3.6.1.4.1.19376.1.2.5.3.5	Alert State: active
latched	1.3.6.1.4.1.19376.1.2.5.3.5	Alert State: latched

720

725

3.84.5.2 Mappings Between Terminologies

This section contains mappings of terminologies referenced in the Mobile Report Alert [ITI-84] transaction for use in the Disseminate and Report Alert Status Option. The translation tables provide a mapping from the FHIR CommunicationRequest Resource to the data fields in the Disseminate Alert [PCD-06] and Report Dissemination Alert Status [PCD-07] transactions.

Table 3.84.5.2-1: Disseminate Alert Field Translation

PCD-06 Data Field	CommunicationRequest Resource Data Field	Comments
Alert_Location	CommunicationRequest.send er(Device).location	Examples in PCD-06 refer to Devices. If sender refers to a Device Resource, then you can get the Location from that.
Alert_Patient	CommunicationRequest.subj	
Alert_Identifier	CommunicationRequestid	
Alert_Callback		Not mapped

Rev. 2.1 – 2016-08-05

PCD-06 Data Field	CommunicationRequest Resource Data Field	Comments
Alert_Reference	URL of CommunicationRequest Resource	
Alert_Comment	CommunicationRequest.payl oad.contentAttachment.tit le	The appropriate choice of language of the contentAttachment should be made if more than one is provided
Alert_Evidentiary_Data		Not mapped

Table 3.84.5.2-2: Disseminate Alert Status Field Translation

PCD-06 Data Field	Communication or CommunicationRequest Resource Data Field	Comments
Alert_Identifier	CommunicationRequestid	
Alert_Status	CommunicationRequest.status and Communication.status	The value in the FHIR CommunicationStatus value set shall be encoded according to Table 3.84.5.2-3
	Communication.reason	This value shall be encoded according to Table 3.84.5.1-3

Table 3.84.5.2-3 contains the mapping from the Alert_Status codes used in the Report Dissemination Alert Status [PCD-07] transaction to the CommunicationStatus value set defined at http://hl7.org/fhir/DSTU2/valueset-communication-status.html#definition.

Table 3.84.5.2-3: Alert Status Value Set Mapping

Alert_Status code from [PCD-07]	Code from FHIR CommunicationStatus value set
Received	in-progress
Undeliverable	failed
Delivered	in-progress
Read	completed
Accepted	completed
AcceptedPositive	completed
AcceptedNotRelevant	completed
AcceptedFalse	completed
Rejected	rejected

730

Alert_Status code from [PCD-07]	Code from FHIR CommunicationStatus value set
Cancelled	failed
CancelledOther	failed
CallBackStart	in-progress
CallBackEnd	in-progress

Table 3.84.5.2-4 contains a mapping from the facets for the Report Alert [PCD-04], Disseminate Alert [PCD-06], and Disseminate Alert Status Report [PCD-07] transactions to the FHIR CommunicationRequest Resource data fields as extended by this transaction.

Table 3.84.5.2-4: Disseminate Alert Facet Translation

PCD-04, PCD-06 and PCD-07 Facet	CommunicationRequest Resource Data Field	Comments
Event identification	CommunicationRequestid	
Source identification	CommunicationRequest.senderid	Applicable only in the case that the sender was a device
Event phase	CommunicationRequest.reason	
	for the code system 1.3.6.1.4.1.19376.1.2.5.3.2	
Alert state	CommunicationRequest.reason for the code system 1.3.6.1.4.1.19376.1.2.5.3.5	
Inactivation state	CommunicationRequest.reason for the code system 1.3.6.1.4.1.19376.1.2.5.3.4	
Alarm priority	CommunicationRequest.priority.code	
Alert type	CommunicationRequest.reason for the code system 1.3.6.1.4.1.19376.1.2.5.3.3	

3.84.6 Security Considerations

None

740

Rev. 2.1 – 2016-08-05

745 3.85 Query for Alert Status [ITI-85]

3.85.1 Scope

This transaction is used by an Alert Reporter to determine from the Alert Aggregator the status and any acknowledgements of one or more alerts by the recipient.

3.85.2 Actor Roles

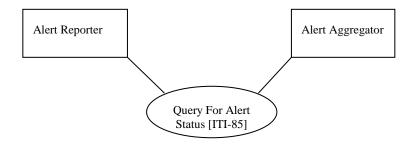


Figure 3.85.2-1: Use Case Diagram

Table 3.85.2-1: Actor Roles

Actor:	Alert Reporter
Role:	Queries an Alert Aggregator for the status of one or more alerts that it issued.
Actor:	Alert Aggregator
Role:	Sends any status messages and human recipient acknowledgments for the indicated alerts

3.85.3 Referenced Standards

- HL7 FHIR standard DSTU2 (v1.0.2) http://hl7.org/fhir/DSTU2/index.html
- HL7 Health Level 7 Version 2.6 Ch7 Observation Reporting
- ISO/IEEE 11073-10201 Domain Information Model
- ISO/IEEE 11073-10101 Nomenclature
- World Geodetic System WGS-84
- 760 JSON IETF RFC 7159
 - XML
 - HTTP 1.1
 - XML Schema 1.1

750

755

Rev. 2.1 – 2016-08-05

3.85.4 Interaction Diagram

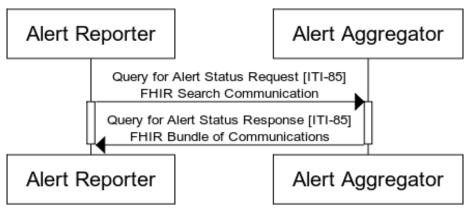


Figure 3.85.4-1: Query for Alert Status Sequence Diagram

3.85.4.1 Query for Alert Status Request Message

The Query for Alert Status Request message is a FHIR search operation on the CommunicationRequest and Communication Resources.

3.85.4.1.1 Trigger Events

An Alert Reporter triggers a Query for Alert Status Request to an Alert Aggregator according to the business rules for the alert(s) being investigated. These business rules are out of scope of this profile.

3.85.4.1.2 Message Semantics

An Alert Reporter initiates a search request using HTTP GET as defined at http://hl7.org/fhir/DSTU2/http.html#search on the Communication Resource or the Communication Resource.

An Alert Aggregator shall support combinations of search parameters as defined at http://hl7.org/fhir/DSTU2/search.html#combining, "Composite Search Parameters."

The Alert Aggregator shall support all search parameters at http://hl7.org/fhir/DSTU2/communication.html#search and http://hl7.org/fhir/DSTU2/communication.html#search. An Alert Aggregator shall support receiving a request for both the JSON and the XML messaging formats as defined in FHIR. An Alert Reporter shall use either the XML or the JSON messaging formats as defined in FHIR. See ITI TF-2x: Appendix Z.6 for more details.

785

3.85.4.1.3 Expected Actions

The Alert Aggregator shall return matching Communication or CommunicationRequest Resources in a Query for Alert Status.

790 3.85.4.2 Query for Alert Status Response Message

The Query for Alert Status [ITI-85] transaction uses the response semantics as defined at $\frac{\text{http://hl7.org/fhir/DSTU2/http.html\#search}}{\text{Resource, as defined at }\frac{\text{http://hl7.org/fhir/DSTU2/communicationrequest.html}}{\text{Communication Resource, as defined at }\frac{\text{http://hl7.org/fhir/DSTU2/communication.html}}{\text{http://hl7.org/fhir/DSTU2/communication.html}}}.$

795 **3.85.4.2.1 Trigger Events**

The Alert Aggregator sends the Query for Alert Status Response to the Alert Reporter upon when results to the query are ready.

3.85.4.2.2 Message Semantics

The Alert Aggregator shall support the search response message as defined at http://hl7.org/fhir/DSTU2/http.html#search on the CommunicationRequest Resource, defined at http://hl7.org/fhir/DSTU2/communication.html or the Communication Resource, defined at http://hl7.org/fhir/DSTU2/communication.html.

3.85.4.2.3 Expected Actions

This behavior is not further defined or constrained by IHE.

805 **3.85.5** Alert Terminologies and Mappings

The alert terminologies and their mappings are described in Section 3.84.5.

3.85.6 Security Considerations

None.

810

815

Volume 2 Namespace Additions

Add the following terms to the IHE General Introduction Appendix G:

The mACM Profile defines following OIDs:

- 1.3.6.1.4.1.19376.1.2.5 the root OID for the mACM Profile
- 1.3.6.1.4.1.19376.1.2.5.1 the OID for the code set used by mACM for specifying the category of a FHIR CommunicationRequest or Communication Resource
- 1.3.6.1.4.1.19376.1.2.5.2 the OID for the code set used by mACM for specifying the priority of a FHIR CommunicationRequest Resource

Rev. 2.1 - 2016-08-05

- 1.3.6.1.4.1.19376.1.2.5.3 the OID for the value set used by mACM for specifying the reason of a FHIR CommunicationRequest or Communication Resource
- 1.3.6.1.4.1.19376.1.2.5.3.1 the OID for the code set used by mACM for PCD abnormal type
- 1.3.6.1.4.1.19376.1.2.5.3.2 the OID for the code set used by mACM for PCD event phase
- 1.3.6.1.4.1.19376.1.2.5.3.3 the OID for the code set used by mACM for PC alert type
- 1.3.6.1.4.1.19376.1.2.5.3.4 the OID for the code set used by mACM for PCD inactivation state
- 1.3.6.1.4.1.19376.1.2.5.3.5 the OID for the code set used by mACM for PCD alert stateAppendices

Add new Appendix Y to Vol 2x

Appendix Y – Diagram Pseudocode

830

820

Figure 42.1-1

```
Alert\nReporter->Alert\nAggregator: Mobile ReportAlert \n[ITI-84]
Alert\nReporter->Alert\nAggregator: Query for Alert Status \n[ITI-85]
```

835 Figure 42.4-1

```
title
participant Alert Reporter
participant Alert Aggregator

Alert Reporter->Alert Aggregator: Mobile Report Alert [ITI-84] Request
activate Alert Reporter
activate Alert Aggregator

Alert Aggregator-->Human: relay alert
Human-->Alert Aggregator: relayed alert response

Alert Reporter-->Alert Reporter: time passes according\n to business context
Alert Reporter->Alert Aggregator: Query for Alert Status [ITI-85]

deactivate Alert Aggregator
deactivate Alert Reporter
```

855

Rev. 2.1 - 2016-08-05

Figure 42.6.1-2

```
title
       Alert Reporter->Care Services\nInfo Manager:Find Matching Services [ITI-73]
       activate Alert Reporter
860
       Alert Reporter->Alert Aggregator: \nMobile Report Alert [ITI-84]
       deactivate Alert Reporter
       activate Alert Aggregator
865
       loop Health Worker Enterprise IDs
       Alert Aggregator->Care Services\nInfo Manager: Find Matching Services [ITI-73]
870
       Alert Aggregator -- > Human: relay alert
       Human-->Alert Aggregator: relayed alert response
       end
875
       Alert Reporter-->Alert Reporter: time passes according\n to business context
       Alert Reporter->Alert Aggregator: Query for Alert Status [ITI-85]
```

Figure 42.6.2-2

```
title
880
       Alert Reporter->Patients Demographic\nSupplier: Mobile Patients Demographic Query [ITI-78]
       activate Alert Reporter
       Alert Reporter->Alert Aggregator: \nMobile Report Alert [ITI-84]
       deactivate Alert Reporter
885
       activate Alert Aggregator
       loop Enterprise patient or client ID
890
       Alert Aggregator->Patients Demographic\nSupplier: Mobile Patients Demographic Query [ITI-78]
       Alert Aggregator-->Human: relay alert
       Human-->Alert Aggregator: relayed alert response
895
       Alert Reporter --> Alert Reporter: time passes according \n to business context
       Alert Reporter->Alert Aggregator: Query for Alert Status [ITI-85]
```

Figure 3.84.4-1

900

```
title

Alert Reporter->Alert Aggregator: \nMobile Report Alert [ITI-84] Request\nFHIR CREATE
CommunicationRequest REQUEST

Alert Aggregator->Alert Reporter: \nMobile Report Alert [ITI-84] Request\nFHIR CREATE
CommunicationRequest RESPONSE
```

Figure 3.84.4.1.3-1

```
910
       title
       participant Alert Reporter
       participant Alert Aggregator
915
       Alert Reporter->Alert Aggregator: Mobile Report Alert (ITI-84) Request\nCreate
       CommunicationRequest resource
       Alert Aggregator->Alert Reporter: Return success or failure status
920
       activate Alert Reporter
       activate Alert Aggregator
       Alert Aggregator -- > Human: Send Alert
925
       Alert Aggregator->Alert Aggregator: Create Communication resource
       Human-->Alert Aggregator: Send Alert Response
       Alert Aggregator->Alert Aggregator: Create Communication resource
930
       deactivate Alert Reporter
       deactivate Alert Aggregator
```

Figure 3.84.4.1.3.1-2

```
935
       participant Alert Reporter
       participant Alert Aggregator\nAlert Manager
940
       Alert Reporter->Alert Aggregator\nAlert Manager: Mobile Report Alert (ITI-X01) Request
       activate Alert Reporter
       activate Alert Aggregator\nAlert Manager
945
       Alert Aggregator\nAlert Manager->Alert Communicator: Disseminate Alert (PCD-06)
       Alert Communicator --> Human: relay alert
       Human-->Alert Communicator: relayed alert response
950
       Alert Communicator->Alert Aggregator\nAlert Manager: Report Dissemination Alert Status (PCD-07)
955
       Alert Reporter-->Alert Reporter: time passes according\n to business context
       Alert Reporter->Alert Aggregator\nAlert Manager: Query for Alert Status [ITI-X02]
       deactivate Alert Reporter
960
       deactivate Alert Aggregator\nAlert Manager
```

Figure 3.85.4-1

title

965

Alert Reporter->Alert Aggregator: Query for Alert Status Request [ITI-85]\nFHIR Search Communication

activate Alert Reporter activate Alert Aggregator

Alert Aggregator->Alert Reporter: Query for Alert Status Response [ITI-85]\nFHIR Bundle of

970 Communications