

**A. DEMOGRAPHICS**

Last Name ²⁰⁰⁰ : Evans	First Name ²⁰¹⁰ : Robert	Middle Name ²⁰²⁰ : James
SSN ²⁰³⁰ : 222 - 55 - 9999 ☐ SSN N/A ²⁰³¹	Patient ID ²⁰⁴⁰ : 987665 (auto)	Other ID ²⁰⁴⁵ : MR555555
Birth Date ²⁰⁵⁰ : 06/12/1952	Sex ²⁰⁶⁰ : ☒ Male ☐ Female	Patient Zip Code ²⁰⁶⁵ : 88765 ☐ Zip Code NA ²⁰⁶⁶
Race: ☐ White ²⁰⁷⁰ ☐ Black/African American ²⁰⁷¹ ☐ American Indian/Alaskan Native ²⁰⁷³ (check all that apply) ☐ Asian ²⁰⁷² → If Yes, ☐ Asian - Indian ²⁰⁸⁰ ☐ Chinese ²⁰⁸¹ ☐ Filipino ²⁰⁸² ☐ Japanese ²⁰⁸³ ☐ Korean ²⁰⁸⁴ ☐ Vietnamese ²⁰⁸⁵ ☐ Other ²⁰⁸⁶ ☒ Native Hawaiian/Pacific Islander ²⁰⁷⁴ → If Yes, ☐ Native Hawaiian ²⁰⁹⁰ ☐ Guamanian or Chamorro ²⁰⁹¹ ☒ Samoan ²⁰⁹² ☐ Other Island ²⁰⁹³		
Hispanic or Latino Ethnicity ²⁰⁷⁶ : ☐ No ☐ Yes → If Yes, Ethnicity Type: (check all that apply) ☐ Mexican, Mexican-American, Chicano ²¹⁰⁰ ☒ Puerto Rican ²¹⁰¹ ☐ Cuban ²¹⁰² ☐ Other Hispanic, Latino or Spanish Origin ²¹⁰³		

B. EPISODE OF CARE (ADMISSION)

Arrival Date ³⁰⁰⁰ : 04/25/2014	Reason for Admission ³⁰¹⁰ : ☒ Admitted for this procedure ☐ Cardiac - Heart Failure ☐ Other
Insurance ³⁰²⁰ : ☐ No ☒ Yes → If Yes, Payor ³⁰²⁵ : ☐ Private Health Insurance ☒ Medicare ☐ Medicaid ☐ Military Health Care (Select all that apply) ☐ State-Specific Plan (non-Medicaid) ☐ Indian Health Service ☐ Non-US Insurance	
HIC # ³⁰³⁰ : 223-33-6666A	Research Study ³⁰³⁵ : ☐ No ☒ Yes → If Yes, Study Name ³⁰³⁶ , Patient ID ³⁰³⁷ : 15 , 256

C. HISTORY AND RISK FACTORS

Heart Failure ⁴⁰⁰⁰ : ☐ No ☒ Yes → If Yes, NYHA Functional Classification ⁴⁰⁰⁵ : ☐ Class I ☐ Class II ☒ Class III ☐ Class IV	LVEF Assessed ⁴⁰²⁰ : ☐ No ☒ Yes → If Yes, Most Recent LVEF Date ⁴⁰²⁵ : 03/25/2014 → If Yes, Most Recent LVEF ⁴⁰³⁰ : 31 %
Syndromes w/Risk of Sudden Death ⁴⁰⁴⁰ : ☐ No ☒ Yes → If Yes, Syndrome Type ⁴⁰⁴⁵ : ☐ Long QT syndrome ☒ Short QT syndrome ☐ Brugada syndrome ☐ Catecholaminergic polymorphic VT ☒ Idiopathic/Primary VT/VF	
Familial Syndrome with Risk of Sudden Death ⁴⁰⁵⁰ : ☐ No ☐ Yes	Familial Hx of Non-Ischemic Cardiomyopathy ⁴⁰⁵⁵ : ☐ No ☒ Yes
Ischemic Cardiomyopathy ⁴⁰⁷⁰ : ☐ No ☒ Yes → If Yes, Guideline Directed Medical Therapy Maximum Dose ⁴⁰⁸⁰ : ☐ Yes (for 3 mos) ☐ Not Documented ☐ Not Attempted ☒ Inability to Complete	→ If Yes, Timeframe ⁴⁰⁷⁵ : ☐ <3 months ☒ ≥ 3 months
Non-Ischemic Cardiomyopathy ⁴⁰⁹⁰ : ☐ No ☒ Yes → If Yes, Guideline Directed Medical Therapy Maximum Dose ⁴¹⁰⁰ : ☒ Yes (for 3 mos) ☐ Not Documented ☐ Not Attempted ☐ Inability to Complete	→ If Yes, Timeframe ⁴⁰⁹⁵ : ☒ <3 months ☐ ≥ 3 months
On Inotropic Support ⁴¹⁰⁵ : ☐ No ☒ Yes	
Cardiac Arrest ⁴¹²⁰ : ☐ No ☒ Yes → If Yes, Most Recent Arrest Date ⁴¹²⁵ : 02/06/2014 → If Yes, VTach Arrest ⁴¹³⁰ : ☐ No ☒ Yes	→ If Yes, VFib Arrest ⁴¹³⁵ : ☐ No ☒ Yes → If Yes, Bradycardia Arrest ⁴¹⁴⁰ : ☐ No ☒ Yes
Ventricular Tachycardia ⁴¹⁵⁰ : ☐ No ☒ Yes → If Yes, Most Recent VT Date ⁴¹⁵⁵ : 02/06/2014 → If Yes, Occurred Post Cardiac Surgery ⁴¹⁶⁰ : (w/in 48 hrs) ☐ No ☒ Yes → If Yes, Bradycardia Dependent ⁴¹⁶⁵ : ☐ No ☒ Yes → If Yes, VT Type ⁴¹⁸⁰ : ☐ Non-sustained VT ☐ Monomorphic VT ☒ Polymorphic VT ☐ Monomorphic and polymorphic VT	→ If Yes, Reversible Cause ⁴¹⁷⁰ : ☐ No ☒ Yes → If Yes, Hemodynamic Instability ⁴¹⁷⁵ : ☐ No ☒ Yes
Syncope ⁴¹⁹⁰ : ☐ No ☒ Yes	Prior MI ⁴²⁰⁰ : ☐ No ☒ Yes
Coronary Artery Disease ⁴¹⁹⁵ : ☐ No ☒ Yes	→ If Yes, Most Recent MI Date ⁴²⁰⁵ : 12/14/2014

Coronary Angiography ⁴²¹⁰ :		O No	☒ Yes			
→ If Yes, Performed after Most Recent Cardiac Arrest ⁴²¹⁵ :		O No	☒ Yes			
→ If Yes, Revascularization Performed ⁴²²⁰ :		☒ Yes, complete revascularization ☐ Yes, partial/incomplete revascularization ☐ No, no significant disease ☐ No, non-revascularizable significant disease				
Prior Cardiovascular Implantable Electronic Device ⁴²³⁰ :		O No	☒ Yes (Includes previously placed)			
Indications for Permanent Pacemaker ⁴²³⁵ :		O No	☒ Yes			
→ If Yes, Class I or Class II Guideline Bradycardiac Pacemaker Indication Present ⁴²⁴⁰ :		O No	☒ Yes			
→ If Yes, Pacing Type ⁴²⁴⁵ :		O Atrial	O Ventricular ☒ Both			
→ If Yes, Reason Pacing Indicated ⁴²⁵⁰ :		☒ Sick sinus syndrome ☐ Complete heart block ☐ Chronotropic Incompetence ☐ Mobitz Type II ☐ 2:1 AV Block ☐ Atrial lead implant for SVT discrimination ☐ Other				
→ If Yes, Anticipated Requirement of >40% RV pacing ⁴²⁵⁵ :		O No	☒ Yes			
On Heart Transplant Waiting List ⁴²⁶⁰ :	O No	☒ Yes	Candidate for LVAD ⁴²⁷⁰ :	O No	☒ Yes	
Candidate for Transplant ⁴²⁶⁵ :	O No	☒ Yes	Currently on LVAD ⁴²⁷⁵ :	O No	☒ Yes	
Atrial Fibrillation ⁴²⁸⁰ :		O No	☒ Yes			
→ If Yes, AFib Classification ⁴²⁸⁵ :		O Paroxysmal	O Persistent	O Long standing persistent	☒ Permanent	O Not Documented
→ If Yes, Plans for Cardioversion of AFib ⁴²⁹⁰ :		O No	☒ Yes			
Paroxysmal SVT History ⁴²⁹⁵ :		O No	☒ Yes			
OTHER HISTORY						
Prior PCI ⁴³⁰⁰ :		O No	☒ Yes			
→ If Yes, Most Recent PCI Date ⁴³⁰⁵ :		mm / dd / yyyy		→ If Yes, Elective ⁴³¹⁰ :	O No	☒ Yes
→ If Yes, Pre-existing Cardiomyopathy ⁴³¹⁵ :		O No	☒ Yes			
Prior CABG ⁴³²⁰ :		O No	O Yes			
→ If Yes, Most Recent CABG Date ⁴³²⁵ :		06/12/2013		→ If Yes, Elective ⁴³³⁰ :	O No	☒ Yes
→ If Yes, Pre-existing Cardiomyopathy ⁴³³⁵ :		O No	☒ Yes			
Primary Valvular Heart Disease ⁴³⁴⁰ :		O No	☒ Yes (Moderate to Severe)			
Other Structural Abnormalities ⁴³⁵⁰ :		O No	☒ Yes			
→ If Yes, Structural Abnormality Type ⁴³⁵⁵ : (Select all that apply)		☐ LV structural abnormality associated with risk for sudden cardiac arrest ☒ Hypertrophic cardiomyopathy (HCM) with high risk features ☐ Infiltrative ☐ Arrhythmogenic right ventricular cardiomyopathy (ARVC) ☒ Congenital heart disease associated with sudden cardiac arrest				
Cerebrovascular Disease ⁴³⁶⁵ :		O No	☒ Yes	Currently on Dialysis ⁴³⁷⁵ :	O No	☒ Yes
Diabetes Mellitus ⁴³⁷⁰ :		O No	☒ Yes	Chronic Lung Disease ⁴³⁸⁰ :	O No	☒ Yes
D. DIAGNOSTIC STUDIES						
Electrophysiology Study ⁵⁰⁰⁰ :		O No	☒ Yes			
→ If Yes, Most Recent Electrophysiology Study Date ⁵⁰⁰⁵ :		mm 08/18/2013 ☐ Date Unknown ⁵⁰¹⁰				
→ If Yes, Clinically Relevant Ventricular Arrhythmias Induced ⁵⁰¹⁵ :		O No	☒ Yes			

D. DIAGNOSTIC STUDIES (CONT.)ECG Performed⁵⁰³⁰:O No ☒ Yes→ If Yes, ECG Date⁵⁰³⁵:**04/25/2014**→ If Yes, Was ECG Normal⁵⁰⁴⁰:O No ☒ YesOnly Ventricular Paced QRS Complexes Present⁵⁰⁴⁵:O No ☒ Yes→ If Yes, Ventricular Paced QRS Duration⁵⁰⁵⁰:**.12** msec→ If No, QRS Duration (Non-Ventricular Paced Complex)⁵⁰⁵⁵:

_____ msec

Abnormal Intraventricular Conduction⁵⁰⁷⁰:O No ☒ Yes→ If Yes, Intraventricular Conduction Types⁵⁰⁷⁵: (Select all that apply)☒ Left Bundle Branch Block (LBBB)☐ Delay, Nonspecific☐ Right Bundle Branch Block (RBBB)☐ Alternating RBBB and LBBBAtrial Rhythm⁵¹⁰⁰:

(Select all that apply)

☐ Sinus☒ Afib☐ Atrial tach☐ Aflutter☐ Sinus arrest☒ Atrial paced☐ Not DocumentedVentricular Paced⁵¹²⁰:O No ☒ Yes**E. LABS**Hemoglobin⁵²²⁰: **15.2** g/dL☐ Not Drawn⁵²²¹Sodium⁵²³⁰: **124** mEq/L☐ Not Drawn⁵²³¹BUN⁵²¹⁰: _____ mg/dL☒ Not Drawn⁵²¹¹**F. PROCEDURE INFORMATION (COMPLETE FOR EACH LAB VISIT)**Procedure Start Date/Time^{6000/6001}: **04/26/2014 10:13**Procedure End Date/Time^{6005/6006}: **04/26/2014 13:15**Procedure Type⁶⁰¹⁰:☐ Initial generator implant☒ Generator change☐ Generator explant☐ Lead onlyICD Indication⁶⁰¹⁵:☒ Primary prevention☐ Secondary preventionPremarket Clinical Trial⁶⁰²⁰:☐ No ☒ Yes**G. ICD IMPLANT / EXPLANT (COMPLETE FOR EACH LAB VISIT IN WHICH AN INITIAL GENERATOR IMPLANT OR GENERATOR CHANGE WAS PERFORMED)**Operator Name^{6100,6105,6110}: **Samuel Adams**Operator NPI⁶¹¹⁵: **1238765498**Device Implanted⁶¹³⁰:O No ☒ Yes→ If Yes, Final Device Type⁶¹³⁵:☐ Single chamber☐ Dual chamber☒ CRT-D☐ S-ICD (Sub Q)→ If Yes, CS/LV Lead⁶¹⁴⁰:☐ No, attempt unsuccessful☐ No, not attempted☐ Yes☒ Previously implanted**DEVICE INFORMATION FOR IMPLANTED DEVICES**→ If Yes, Device ID⁶¹⁵⁰: **10**→ If Yes, Serial Number⁶¹⁷⁵: **123-234-3212345**If Yes, UDI⁶¹⁸⁰: **987654321**→ IF PROCEDURE TYPE⁶⁰¹⁰ = 'GENERATOR CHANGE' OR 'GENERATOR EXPLANT'Reason(s) for Re-Implantation⁶¹⁹⁰: (Select all that apply)☐ End of expected battery life☐ Replaced at time of lead revision☐ Upgrade☒ Infection☐ Under manufacturer advisory/recalled☐ Faulty Connector/Header☐ Device relocation☐ Malfunction→ If Upgrade, Reason for Upgrade⁶²⁰⁰:☐ Single ICD to Dual ICD☒ ICD to CRT-DDevice Explanted⁶²²⁵:

O No

☒ Yes☐ Previously explanted→ If Previously Explanted, Explant Date⁶²³⁰:

mm / dd / yyyy

Explant Treatment Recommendation⁶²³⁵:☐ No Re-implant☒ Downgrade**DEVICE INFORMATION FOR CHANGED OR EXPLANTED DEVICES**Device ID⁶²⁴⁵: **12**Serial Number⁶²⁵⁰: **123456**UDI⁶²⁵⁵: (future)**+25PLHH123C123456789+26Q5+1TL23456789+14D20110101**

**H. LEAD ASSESSMENT** (COMPLETE FOR ALL LEADS, INCLUDING NEW LEADS IMPLANTED, EXISTING LEADS EXTRACTED, ABANDONED, OR REUSED)

Operator Name ^{7000,7005,7010} : David Jones		Operator NPI ⁷⁰¹⁵ : 9123456789	
Lead Counter ⁷⁰²⁵ :	1	2	3
Identification ⁷⁰³⁰ :	☒ New Lead ☒ Existing Lead	☒ New Lead ☒ Existing Lead	☐ New Lead ☐ Existing Lead
Device ID ⁷⁰³⁵ :	8	33	
Serial Number ⁷⁰⁵⁵ :	555555	998765	
UDI ⁷⁰⁶⁰ :	111111	55555	(future)
Lead Location ⁷⁰⁶⁵ :	☐ RA endocardial ☒ LV epicardial ☒ RV endocardial ☐ SVC/subclavian ☐ LV via CVS ☐ Subcutaneous (S-ICD) ☐ Subcutaneous array ☐ Other	☐ RA endocardial ☐ LV epicardial ☐ RV endocardial ☒ SVC/subclavian ☐ LV via CVS ☐ Subcutaneous (S-ICD) ☐ Subcutaneous array ☐ Other	☐ RA endocardial ☐ LV epicardial ☐ RV endocardial ☐ SVC/subclavian ☐ LV via CVS ☐ Subcutaneous (S-ICD) ☐ Subcutaneous array ☐ Other

COMPLETE FOR EXISTING LEADS ONLY

Existing Lead Implant Date ⁷⁰⁷⁰ :	08/15/2012	08/15/2012	mm / dd / yyyy
Existing Lead Status ⁷⁰⁷⁵ :	☐ Extracted ☐ Abandoned ☒ Reused	☐ Extracted ☒ Abandoned ☐ Reused	☐ Extracted ☐ Abandoned ☐ Reused

I. INTRA OR POST PROCEDURE EVENTS (COMPLETE FOR EACH LAB VISIT)

Cardiac Arrest ⁸⁰⁰⁰ :	☐ No ☒ Yes	Myocardial Infarction ⁸⁰³⁰ :	☐ No ☒ Yes
Cardiac Perforation ⁸⁰⁰⁵ :	☐ No ☒ Yes	Pericardial Tamponade ⁸⁰³⁵ :	☐ No ☒ Yes
Coronary Venous Dissection ⁸⁰¹⁰ :	☐ No ☒ Yes	Pneumothorax ⁸⁰⁴⁰ :	☐ No ☒ Yes
Hematoma (Req re-op, evacuation or transfusion) ⁸⁰¹⁵ :	☐ No ☒ Yes	TIA ⁸⁰⁴⁵ :	☒ No ☐ Yes
Hemothorax ⁸⁰²⁰ :	☐ No ☒ Yes	Stroke (CVA) ⁸⁰⁵⁰ :	☐ No ☒ Yes
Infection Requiring Antibiotics ⁸⁰²⁵ :	☐ No ☒ Yes	Urgent Cardiac Surgery ⁸⁰⁵⁵ :	☐ No ☒ Yes

POST PROCEDURE EVENT(S)

Set Screw Problem ⁸⁰⁸⁰ :	☐ No ☒ Yes
Lead Dislodgement ⁸⁰⁸⁵ :	☐ No ☒ Yes
→ If Yes, Lead Location ⁸⁰⁹⁰ :	☒ RA endocardial ☐ LV epicardial ☐ RV endocardial ☒ SVC/subclavian ☐ LV via CVS ☐ Subcutaneous (S-ICD) ☐ Subcutaneous array ☐ Other

J. DISCHARGE (COMPLETE FOR EACH EPISODE OF CARE/ADMISSION)
CABG⁹⁰⁰⁰: (During this admission) ☐ No ☒ Yes → If Yes, **CABG Date⁹⁰⁰⁵:** **04/028/2014**
PCI⁹⁰¹⁰: (During this admission) ☐ No ☒ Yes → If Yes, **PCI Date⁹⁰¹⁵:** **04/25/2014**
Discharge Date⁹⁰²⁰: **05/01/2014**
Discharge Status⁹⁰²⁵: ☒ Alive ☐ Deceased

→ If Alive, **Discharge Location⁹⁰³⁰:** ☐ Home ☐ Skilled Nursing facility
☐ Extended care/TCU/rehab ☐ Other
☐ Other acute care hospital ☒ Left against medical advice (AMA)

→ If Deceased, **Cause of Death⁹⁰³⁵:** ☐ Cardiac ☐ Non-Cardiac

→ If Deceased, **Death During the Procedure⁹⁰⁴⁰:** ☐ No ☐ Yes
DISCHARGE MEDICATIONS (PRESCRIBED AT DISCHARGE)
Medications prescribed at discharge are not required for patients who expired or discharged to "Other acute care Hospital," or "AMA".

MEDICATION ⁹⁵⁰⁰	PRESCRIBED ⁹⁵⁰⁵				
	YES	NO - NO REASON	NO - MEDICAL REASON	NO - PT. REASON	NO - SYSTEM REASON
ACE-Inhibitor (Any)	☒	☐	☐	☐	☐
Antiarrhythmic Agents	☒	☐	☐	☐	☐
ARB (Any)	☐	☐	☒	☐	☐
Beta Blocker (Any)	☐	☒	☐	☐	☐
Mineralocorticoid Receptor Antagonist	☐	☐	☐	☒	☐
Aldosterone Antagonist	☐	☒	☐	☐	☐
ASA (Any)	☒	☐	☐	☐	☐
Lipid Lowering Statin (Any)	☒	☐	☐	☐	☐
Warfarin (Coumadin)	☒	☐	☐	☐	☐
Antiplatelet Agents	☐	☐	☐	☐	☒
Non-Vitamin K Dependent Oral Anticoagulants	☐	☐	☐	☒	☐