

**Integrating the Healthcare Enterprise**



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**IHE Patient Care Device  
Technical Framework Supplement**

10

**Medical Equipment Management  
Device Management Communication  
(MEMDMC)**

15

**Trial Implementation**

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**Please verify you have the most recent version of this document. See [here](#) for Trial Implementation and Final Text versions and [here](#) for Public Comment versions.**

## Foreword

30 This is a supplement to the IHE Patient Care Device Technical Framework 4.0. Each supplement  
undergoes a process of public comment and trial implementation before being incorporated into  
the volumes of the Technical Frameworks.

This supplement is published on November 4, 2014 for trial implementation and may be  
available for testing at subsequent IHE Connectathons. The supplement may be amended based  
on the results of testing. Following successful testing it will be incorporated into the Patient Care  
Device Technical Framework. Comments are invited and can be submitted at  
35 [http://www.ihe.net/PCD\\_Public\\_Comments](http://www.ihe.net/PCD_Public_Comments).

This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the  
relevant section(s) into the relevant Technical Framework volume.

<i>Amend Section X.X by the following:</i>
--

40 Where the amendment adds text, make the added text **bold underline**. Where the amendment  
removes text, make the removed text **~~bold strikethrough~~**. When entire new sections are added,  
introduce with editor’s instructions to “add new text” or similar, which for readability are not  
bolded or underlined.

45 General information about IHE can be found at: [www.ihe.net](http://www.ihe.net).

Information about the IHE Patient Care Device domain can be found at: [ihe.net/IHE\\_Domains](http://ihe.net/IHE_Domains).

Information about the organization of IHE Technical Frameworks and Supplements and the  
process used to create them can be found at: [http://ihe.net/IHE\\_Process](http://ihe.net/IHE_Process) and  
<http://ihe.net/Profiles>.

50 The current version of the IHE Patient Care Device Technical Framework can be found at:  
[http://ihe.net/Technical\\_Frameworks](http://ihe.net/Technical_Frameworks).

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## Introduction to this Supplement

105 This supplement affects volumes 1 and 2 of the PCD Technical Framework. The supplement adds a new profile, new actors, new triggers, and a new transaction. This supplement defines a profile for the communication of detailed device component identification, hardware and software versioning information, and device, battery, and power source status in the absence of patient observations, alerts, or event notifications.

## Open Issues and Questions

110 Identification of some observation identifications (MDC & REFID) may not be currently defined in Rosetta Terminology Mapping (RTM) or in IEEE 11073-10101 Nomenclature and so a submission may be required.

## Closed Issues

115 Communication of the same information that this profile communicates as observations in conjunction with the data, alert, and event use cases associated with existing PCD profiles can be accomplished using the observation documentation found in this profile as additional observations to existing transactions in association with existing actors without the requirement for vendor adoption of this new profile. The justification for this additional profile is the definition of a new actor type (CMMS, or more specifically a CEMS) which is distinct from existing actors as well as the trigger condition which is unrelated to any device associated patient.

120 Other methods for communication of equipment information exist in the operating environment (SNMP, vendor proprietary SOAP/XML, etc.) today, are expected to continue to exist, but are not expected to integrate with medical device data communication.

## General Introduction

125 *Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.*

### Appendix A - Actor Summary Definitions

*Add the following actors to the IHE Technical Frameworks General Introduction list of Actors:*

The Device Management Information Reporter (DMIR) produces observations.

130 The Device Management Information Consumer (DMIC) consumes observations.

Actor	Definition
DMIR	Device Management Information Reporter
DMIC	Device Management Information Consumer

135 The DMIR may also be an actor in a different profile (DEC DOR, ACM AR, IPEC DOR). The DMIC is a new and distinct destination actor and is likely to be a Computerized Maintenance Management System (CMMS), or more specifically a Clinical Equipment Management System (CEMS), but may also be an actor in a different profile (DEC DOC, ACM AM, IPEC DOC).

### Appendix B - Transaction Summary Definitions

*Add the following transactions to the IHE Technical Frameworks General Introduction list of Transactions:*

140

Device Management Information Observation (DMIO) [PCD-15] (from DMIR to DMIC)

Transaction	Definition
DMIO	Device Management Information Observation. This transaction might not commonly contain patient associated information and would likely be destined for a CMMS and not for an EMR for EHR storage.

## Glossary

145 *Add the following glossary terms to the IHE Technical Frameworks General Introduction Glossary:*

IHE Patient Care Device Technical Framework Supplement – Medical Equipment Management  
Device Management Communication (MEMDMC)

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<b>Glossary Term</b>	<b>Definition</b>
CEMS	Clinical Equipment Management System, a clinical equipment specific variant of a CMMS
CMMS	Computerized Maintenance Management System

# Volume 1 – Profiles

## Copyright Licenses

*Add the following to the IHE Technical Frameworks General Introduction Copyright section:*

150 NA

## Domain-specific additions

None

*Add Section X*

155

## **X Medical Equipment Management Device Management Communication (MEMDMC) Profile**

Existing profile transaction observation information does not include detailed device component identification, hardware and software versioning information, and device, self-test, battery, and power source status.

Specific triggers, transactions, and destination actors in existing profiles do not exist for the sole purpose of communication of detailed device component identification, hardware and software versioning information, and device, battery, and power source status in the absence of patient observations, alerts, or event notifications. The absence of the communication of this information outside of patient observations, alerts, or event notifications reduces the effectiveness of CMMS solutions and impacts the effectiveness of the management of medical equipment by not permitting the tracking of hardware and software versioning information across multiple patient uses for the same device or battery utilization and battery cycling in the absence of patient associations.

This is not the reporting of a patient associated medical device observational data as would be accomplished using the Device to Enterprise Communication (DEC) Profile.

This is not the reporting of a patient associated operational event as would be accomplished using an Event Communication (EC) associated profile or device specialization, such as Infusion Pump Event Communication (IPEC).

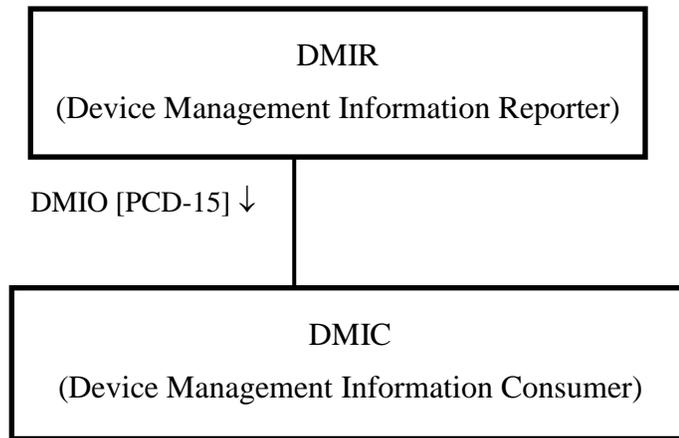
This is not the reporting of an Alert for response by a person as that would be accomplished by the Alert Communication Management (ACM) Profile.

This profile is a combination of profile types as it defines workflow through use case specification and transport through its described use of the HL7 and IEEE 11073 standards for information communication.

180 **X.1 MEMDMC Actors, Transactions, and Content Modules**

This section defines the actors, transactions, and/or content modules in this profile. General definitions of actors are given in the Technical Frameworks General Introduction Appendix A at [http://www.ihe.net/Technical\\_Frameworks](http://www.ihe.net/Technical_Frameworks).

185 Figure X.1-1 shows the actors directly involved in the MEMDMC Profile and the relevant transactions between them. If needed for context, other actors that may be indirectly involved due to their participation in other related profiles are shown in dotted lines. Actors which have a mandatory grouping are shown in conjoined boxes.



190

**Figure X.1-1: MEMDMC Actor Diagram**

195 Table X.1-1 lists the transactions for each actor directly involved in the MEMDMC Profile. To claim compliance with this Profile, an actor shall support all required transactions (labeled “R”) and may support the optional transactions (labeled “O”).

**Table X.1-1: MEMDMC Profile - Actors and Transactions**

Actors	Transactions	Optionality	Reference
DMIR	DMIO (PCD-15)	R	PCD TF-2: 3.Y1
DMIC	DMIO (PCD-15)	R	PCD TF-2: 3.Y1

### **X.1.1 Actor Descriptions and Actor Profile Requirements**

200 Most requirements are documented in Transactions (Volume 2) and Content Modules (Volume 3). This section documents any additional requirements on profile's actors.

#### **X.1.1.1 Device Management Information Reporter (DMIR)**

205 The Device Management Information Reporter (DMIR) may also be an observation transaction sending actor in other IHE PCD profiles, such as a DEC DOR, an ACM AR, or an IPEC DOR. If that is the case then the observations defined in this document may be included in existing observation content for those profiles without adoption of this profile, unless the destination to which the observations are being sent is a CMMS and not an EMR/EHR system. If that the case then this profile should be implemented.

#### **X.1.1.2 Device Management Information Consumer (DMIC)**

210 It is not highly probable that the Device Management Information Consumer (DMIC) is an actor in other IHE PCD profiles. The CMMS specific role of the DMIC Actor is the justification for this unique profile as a medical device sending the observations is likely to require the destination configuration and message content for the DMIC Actor to be different from those of other IHE profile actors, such as the EMR/HER system.

### **X.2 MEMDMC Actor Options**

215 Options that may be selected for each actor in this profile, if any, are listed in the Table X.2-1. Dependencies between options when applicable are specified in notes.

**Table X.2-1: MEMDMC - Actors and Options**

<b>Actor</b>	<b>Option Name</b>	<b>Reference</b>
DMIR	Doesn't send PID	--
DMIC	Doesn't process PID	--

220

### **X.3 MEMDMC Required Actor Groupings**

There are no required actor groupings.

### **X.4 MEMDMC Overview**

225 MEMDMC is focused with sending equipment identification observations whether or not there is a patient associated with the device to equipment management systems, such as CMMS.

#### **X.4.1 Concepts**

Equipment identification, configuration, and status information needs to be recorded for effective management of the equipment, whether or not there is a patient currently associated with the equipment.

230 **X.4.2 Use Cases**

If the observations identified in this profile are added to messages of existing profiles then conformance to this profile is not required. However if the destination of the observations is not in conjunction with a device associated patient or are meant to be received by an equipment management system (CMMS) this conformance to this profile is required.

235 **X.4.2.1 Use Case #1: Equipment Observations to CMMS**

In this use case equipment observations are sent to the CMMS, whether or not the equipment is currently associated with a patient.

240 If the observation is of a condition for which notification of a person is required for prioritized attention then that should be an additional alert notification to an ACM AM and this profile is not appropriate, simply define a new alert and add the observation to it as an ACM PCD-04 transaction.

Depending upon information in the observation sent to the CMMS the CMMS can choose originate an ACM PCD-04 advisory alert transaction as an ACM AR Actor in order to get someone to tend to an equipment issue.

245 **X.4.2.1.1 Equipment Observations to CMMS Use Case Description**

250 When a piece of equipment undergoes a status or configuration change, ends a battery charging cycle, or executes a self-test, and has a status to report, it reports it as an observation. The following is a sample list of situations under which observations would be reported. This is not a complete list as new observations are expected to be able to be accommodated without updating this profile. If equipment location tracking information is available (where embedded or through coordination with a gateway) that information can be included as additional observations in this transaction without adopting the MEMLS Profile.

- Equipment power up (a last seen indication)
- Equipment network configuration is about to change (in case the change takes it offline)
- 255 • Equipment power transitions from mains to battery or back to mains (in case of battery failure)
- Self-test status is being reported and whether it failed or not (last known health)
- Battery status/level for all batteries is being reported (last known battery health)
- Battery charging success is being reported (last known battery health)
- 260 • Preventative maintenance cycle status being reported (metering)

This is not the reporting of a patient associated operational event as would be accomplished using an Event Communication (EC) associated profile or device specialization, such as Infusion Pump Event Communication (IPEC).

265 This is not the reporting of an Alert for response by a person as that would be accomplished by the Alert Communication Management (ACM) Profile.

#### X.4.2.1.2 Equipment Observations to Process Flow

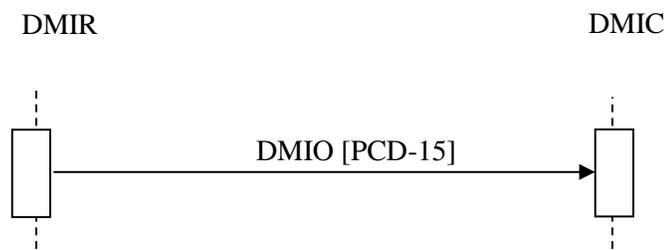


Figure X.4.2.1.2-1: Basic Process Flow in MEMDMC Profile

270

### X.5 MEMDMC Security Considerations

275 During the Profile development there were no unusual security or privacy concerns identified. There are no mandatory security controls but the implementer is encouraged to use the underlying security and privacy profiles from ITI that are appropriate to the transports such as the Audit Trail and Node Authentication (ATNA) Profile. The operational environment risk assessment, following ISO 80001, will determine the actual security and safety controls employed.

### X.6 MEMDMC Cross Profile Considerations

280 A DMIR is likely to also be a DEC DOR, an ACM AR, an IPEC DOR, or a MEMLS LIOR. There is no grouping required.

# Appendices

## Appendix A – Transaction Examples

These are the transaction examples for this profile.

### 285 **A.1 Device Management Information Observation**

The Device Management Information Observation (DMIO) PCD-15 is the report of an observation of the identification, status, or configuration of a piece of equipment and the reason for the report.

290

## Volume 2 – Transactions

*Add section 3.Y*

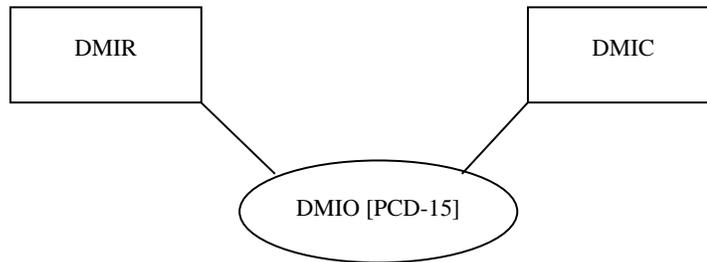
### 3.Y Device Management Information Observation (DMIO) [PCD-15]

#### 3.Y.1 Scope

295 This transaction is used to report equipment management observations whether or not the equipment is currently associated with a patient.

#### 3.Y.2 Actor Roles

The DMIR sends the DMIO to the DMIC.



300

**Figure 3.Y.2-1: Use Case Diagram**

The Roles in this transaction are defined in the following table and may be played by the actors shown here:

305

**Table 3.Y.2-1: Actor Roles**

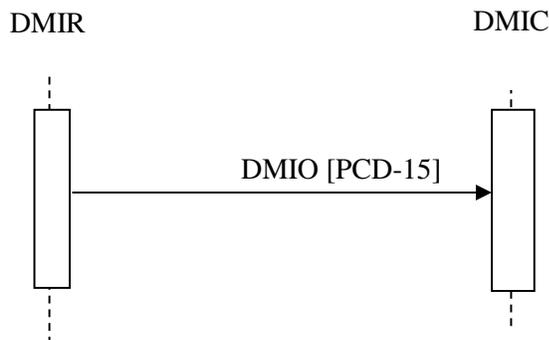
<b>Role:</b>	Producer
<b>Actor(s):</b>	The following actors may play the role of Producer: Device Management Information Reporter (DMIR)
<b>Role:</b>	Consumer
<b>Actor(s):</b>	The following actors may play the role of Consumer: Device Management Information Consumer (DMIC)

### 3.Y.3 Referenced Standards

HL7 v2.6, Chapter 7 Observations

IEEE 11073-10101

### 310 3.Y.4 Interaction Diagram



#### 3.Y.4.1 Device Management Information Observation (DMIO) [PCD-15]

The observations are mapped to OBX segment and contained under an OBR segment.

A single transaction should report a single observation about a single piece of equipment.

315 More than one sending actor instance can send to the same receiving actor instance.

##### 3.Y.4.1.1 Trigger Events

The HL7 trigger event is an ORU^R01^ORU\_R01.

1. Equipment power up
2. Equipment network configuration is about to change
- 320 3. Equipment power transitions from mains to battery or back to mains
4. Self-test status is being reported (whether it failed or not)
5. Battery status/level for all batteries is being reported
6. Battery charging success is being reported

### 3.Y.4.1.2 Message Semantics

325 The message is an HL7 observation. The content of the message is governed by HL7, IHE PCD Technical Framework and this profile. The objects for which the observations are being reported are governed by IHEE 11073.

The MDS, VMD, CHAN, and METRICs are to be reported per the IHE PCD Technical Framework.

### 330 3.Y.4.1.3 Expected Actions

In response to the receipt of the message the receiver will generate an HL7 acknowledgement to advise the sending of the status of the receipt of the message that was sent.

As a result of receiving the observation the receiver can store the information for later retrieval or the information can be used to trigger the production of transactions in other IHE profiles,  
335 such the generation of an ACM alert.

### 3.Y.5 Security Considerations

During the Profile development there were no unusual security or privacy concerns identified. There are no mandatory security controls but the implementer is encouraged to use the underlying security and privacy profiles from ITI that are appropriate to the transports such as  
340 the Audit Trail and Node Authentication (ATNA) Profile. The operational environment risk assessment, following ISO 80001, will determine the actual security and safety controls employed.

## Volume 2 Namespace Additions

<i>Add the following terms to the IHE General Introduction Appendix G:</i>
--

345 The following OIDs have been allocated to the MEMDMC Profile.

Specific IHE-PCD Transactions: 1.3.6.1.4.1.19376.1.6.15.9 / 1.3.6.1.4.1.19376.1.6.1.15.1 (PCD-15).

The 1.3.6.1.4.1.19376.1.6.1.15.1 will appear in MSH-21 to identify the PCD-15 transaction.

Specific IHE-PCD Conformance Profiles: 1.3.6.1.4.1.19376.1.6.6.15.1 (PCD-15)

350

## **Volume 3 – Content Modules**

None

## 5 Namespaces and Vocabularies

<i>Add to section 5 Namespaces and Vocabularies</i>
---

355 None

## 6 Content Modules

Not applicable. CDA is not being produced.

### Volume 3 Namespace Additions

360

<i>Add the following terms to the IHE Namespace:</i>
--

None

## Volume 4 – National Extensions

*Add appropriate Country section*

### **4 National Extensions**

365 None at this time