

Integrating the Healthcare Enterprise



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**IHE IT Infrastructure
Technical Framework Supplement**

10

**Cross-Community Document Reliable
Interchange
(XCDR)**

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Trial Implementation

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Please verify you have the most recent version of this document. See [here](#) for Trial Implementation and Final Text versions and [here](#) for Public Comment versions.

Foreword

30 This is a supplement to the IHE IT Infrastructure Technical Framework V11.0. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on March 31, 2015 for trial implementation and may be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the IT Infrastructure Technical Framework. Comments are invited and may be submitted at
35 [http://www.ihe.net/ITI Public Comments](http://www.ihe.net/ITI_Public_Comments). This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

<i>Amend Section X.X by the following:</i>

40 Where the amendment adds text, make the added text bold underline. Where the amendment removes text, make the removed text bold strikethrough. When entire new sections are added, introduce with editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

45 General information about IHE can be found at: <http://www.ihe.net>.

Information about the IHE IT Infrastructure domain can be found at:
[http://www.ihe.net/IHE Domains](http://www.ihe.net/IHE_Domains).

Information about the structure of IHE Technical Frameworks and Supplements can be found at:
[http://www.ihe.net/IHE Process](http://www.ihe.net/IHE_Process) and <http://www.ihe.net/Profiles>.

50 The current version of the IHE Technical Framework can be found at:
[http://www.ihe.net/Technical Frameworks](http://www.ihe.net/Technical_Frameworks).

CONTENTS

55	Introduction to this Supplement.....	5
	Open Issues and Questions	5
	Closed Issues	6
	General Introduction	7
60	Appendix B - Transaction Summary Definitions	7
	Glossary	7
40	Cross-Community Document Reliable Interchange (XCDR) Profile	8
	40.1 XCDR Actors, Transactions, and Content Modules	8
	40.1.1 Actor Descriptions and Actor Profile Requirements.....	9
65	40.2 XCDR Actor Options.....	10
	40.2.1 Basic Patient Privacy Enforcement	10
	40.3 XCDR Required Actor Groupings	10
	40.4 XCDR Overview	10
	40.4.1 Concepts	11
70	40.4.2 XCDR Use Cases	12
	40.4.2.1 XCDR Source Community with XDR	13
	40.4.2.1.1 XCDR Source Community with XDR Use Case Description.....	13
	40.4.2.1.2 XCDR Source Community with XDR Process Flow	14
	40.4.2.2 XCDR Responding Community with XDR	14
75	40.4.2.2.1 XCDR Responding Community with XDR Use Case Description.....	14
	40.4.2.2.2 XCDR Remote Community with XDR Process Flow.....	15
	40.4.2.3 XCDR Federated Communities with XCA	15
	40.4.2.3.1 XCDR Federated Communities with XCA Use Case Description.....	15
	40.4.2.3.2 XCDR Federated Communities with XCA Process Flow	17
80	40.4.2.4 XCDR in Cross-Community XDW Workflow	17
	40.4.2.4.1 XCDR Updating XDW Workflow Document Use Case Description	17
	40.4.2.4.2 XCDR Updating XDW Workflow Document Process Flow	19
	40.5 XCDR Security Considerations	19
	40.6 XCDR Cross-Profile Considerations	20
85	40.6.1 Grouping with the Initiating Gateway - XDR.....	20
	40.6.2 Grouping with the Responding Gateway - XDS	21
	40.6.3 Grouping with the Responding Gateway - XDR	21
	15.2 XDR Integration Profile Options	22
	15.2.4 Transmit Home Community ID Option	22
90	Volume 2 – Transactions	23
	3.41.1 Scope	23
	3.41.4.1.3.2 Transmit Home Community Id Option.....	23
	3.80 Cross-Gateway Document Provide [ITI-80].....	24
	3.80.1 Scope	24
95	3.80.2 Actor Roles.....	25
	3.80.3 Reference Standards	25
	3.80.4 Interaction Diagrams	25
	3.80.4.1 Cross-Gateway Document Provide Request	26

	3.80.4.1.1 Trigger Events	26
100	3.80.4.1.2 Message Semantics	26
	3.80.4.1.3 Expected Actions	26
	3.80.4.1.3.1 Basic Patient Privacy Enforcement	27
	3.80.4.2 Cross-Gateway Document Provide Response	28
	3.80.4.2.1 Trigger Events	28
105	3.80.4.2.2 Message Semantics	28
	3.80.4.2.3 Expected Actions	28
	3.80.5 Protocol Requirements	28
	3.80.5.1 Sample SOAP Messages	29
	3.80.5.1.1 Sample Cross Gateway Document Provide SOAP Request.....	30
110	3.80.5.1.1.1 Synchronous Web Services Exchange.....	30
	3.80.5.1.1.2 Asynchronous Web Services Exchange.....	31
	3.80.5.1.2 Sample ... Document SOAP Response	32
	3.80.5.1.2.1 Synchronous Web Services Exchange.....	32
	3.80.5.1.2.2 Asynchronous Web Services Exchange.....	32
115	3.80.6 Security Considerations.....	33
	3.80.7 Audit Record Considerations	33
	3.80.7.1 Initiating Gateway audit message:.....	33
	3.80.7.2 Responding Gateway audit message:	35

120 **Introduction to this Supplement**

This supplement contains the Cross-Community Document Reliable Interchange Profile (XCDR) and a number of extensions to the existing Cross-Enterprise Document Reliable Interchange Profile (XDR) to support the combined use of XCDR and XDR.

125 XCDR introduces the capability to send documents from a source Community with sufficient information to direct the documents through gateways to a designated target Community.

The XCDR Profile:

- has similar deployment characteristics to the Cross-Community Access Profile (XCA) and is easily combined and deployed in an environment that is already using XCA.
- fully specifies the combined use with XDR, enabling an XDR Document Source and Document Recipient Actors in separate communities to become connected. The XDR Document Source receives acknowledgment only when the Document Recipient in a remote community has received the document. End-to-end acknowledgement is assured.
- Extends the deployment of a community organized around an XDS Document Registry and Repository(s) by allowing both Document Source Actors within this XDS based community, and also in different communities to act as a source of documents

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XCDR can be:

- used as a stand-alone Profile to enable an Initiating Gateway in a source Community to provide a set of documents to the Responding Gateway of a remote Community ;
- used in conjunction with the XDR Profile to enable a Document Source in one Community to provide a set of document to a Document Recipient in a remote Community.
- used in conjunction with the XCA Profile to enable two way communication between Communities.
- used in conjunction with the XDW Profile to enable the exchange of Workflow Documents in cross-community environments.

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This Supplement includes two major parts that are interrelated.

1. The first part introduces the XCDR Profile
2. The second part extends the Cross-Enterprise Document Reliable Interchange Profile (XDR) with one new option, enabling Document Sources to convey a target homeCommunityId.

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Open Issues and Questions

1. None

Closed Issues

- 155 1. In XCA, the Initiating Gateway is responsible for Patient ID reconciliation before initiating the query or retrieve (i.e., the Initiating Gateway must query with the Patient ID as it is known in the responding community (w/ responding community's assigning authority)). This could be done using XCPD or some other method. How shall the Patient ID be reconciled in XCDR? Must it be the Patient ID as the patient is known in the target community? Resolution: The transaction reflects that the sending side is required to send enough information so that the receiving side can associate the data with a local patient. How this is done will vary by deployment but is expected to include either a patient ID known to the receiver or enough demographics to support a match. Although XDR does not have any text regarding reconciliation of the patient, the approach taken here should be considered for addition to XDR because it is flexible and minimal.
- 160
- 165 2. Is this profile necessary with its separate transaction or can the capabilities be put in place through a new option on XDR and chaining of combination of XDR actors? It was felt that creating a new Profile was a better approach because it follows the pattern used for XCA. This allows it to be easily combined and deployed in an environment that is already using XCA.
- 170 3. How, if at all, should SubmissionSet.intendedRecipient be used for document routing? CLOSED: The need to specify SubmissionSet.intendedRecipient is to be optional and a recommendation only.
- 175 4. Should the document Recipient support the Document Replacement Option? CLOSED: This is normal (expected) behavior in the XDR Document Recipient, and need not be made more explicit, nor specified differently in the XCDR Responding Gateway.
- 180 5. Should there be a Home Community Id Option on the XDR Document Recipient? CLOSED: This option should be only on the XDR Document Source. The Document Recipient will not have a Home Community Id Option, thus the design assumes that the Document Recipient may or may not use Home Community Id, and if it uses it, it may be in a way that suits their implementation.
6. How shall BPPC and Privacy Enforcement be incorporated, if at all? CLOSED: Enforcement follows the same approach as is used for XDS and XDR.

General Introduction

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Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.

Appendix B - Transaction Summary Definitions

Add the following transactions to the IHE Technical Frameworks General Introduction list of Transactions:

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Transaction	Definition
Cross-Gateway Document Provide [ITI-80]	This Transaction allows an Initiating Gateway in a Community to provide a set of Documents to the Responding Gateway of a remote Community

Glossary

Add the following glossary terms to the IHE Technical Frameworks General Introduction Glossary:

None

195

Add the following profile to IHE ITI Technical Framework Volume 1

40 Cross-Community Document Reliable Interchange (XCDR) Profile

200 The Cross-Community Document Reliable Interchange (XCDR) Profile provides the capability to send a set of documents in a Cross-Community environment. The XCDR Profile specifies the transaction to push documents from an Initiating Gateway of a source Community to the Responding Gateway of a target Community.

The XCDR Profile:

- 205 • has similar deployment characteristics to the IHE Cross-Community Access (XCA) Profile and is easily combined and deployed in an environment that is already using XCA.
- 210 • fully specifies the combined use with the IHE Cross-Enterprise Document Reliable Interchange (XDR) Profile, enabling XDR Document Source and Document Recipient Actors in separate communities to become connected. End-to-end acknowledgement is assured. A Document Source receives acknowledgment only when the Document Recipient in a remote community has received the document.
- 215 • extends the deployment of a community organized around an XDS Document Registry and Repository(s) by allowing both Document Sources Actors within this XDS based community, and also those in different communities to act as a source of documents.

XCDR supports the exchange of documents within a Cross-Community environment with Web-Services as transport. The routing of the document set relies on an Initiating Gateway in the source community and Responding Gateway(s) in one or more remote communities.

220 XCDR is document-format agnostic. Document content is described in IHE Document Content Profiles; examples include XDS-MS, XD-LAB, XPHR, and XDS-SD.

XCDR uses metadata defined in ITI TF-3:4 - Metadata used in IHE Document Sharing Profiles; it defines no new metadata.

40.1 XCDR Actors, Transactions, and Content Modules

225 This section defines the actors, transactions, and/or content modules in this profile. General definitions of actors are given in the Technical Frameworks General Introduction Appendix A at http://ihe.net/Technical_Frameworks/.

230 Figure 40.1-1 shows the actors directly involved in the XCDR Profile and the relevant transactions between them. If needed for context, other actors that may be indirectly involved due to their participation in other related profiles are shown in dotted lines. Actors which have a mandatory grouping are shown in conjoined boxes.

- An XDR Document Recipient may be grouped with the XCDR Initiating Gateway. See ITI TF-1:40.6.1.

- An XDS or XDR Document Source may be grouped with the XCDR Responding Gateway. See ITI TF-1:40.6.2 and 40.6.3.
- Other actors that may be indirectly involved due to their participation in XCA, XDS, PIX or XUA are not shown.

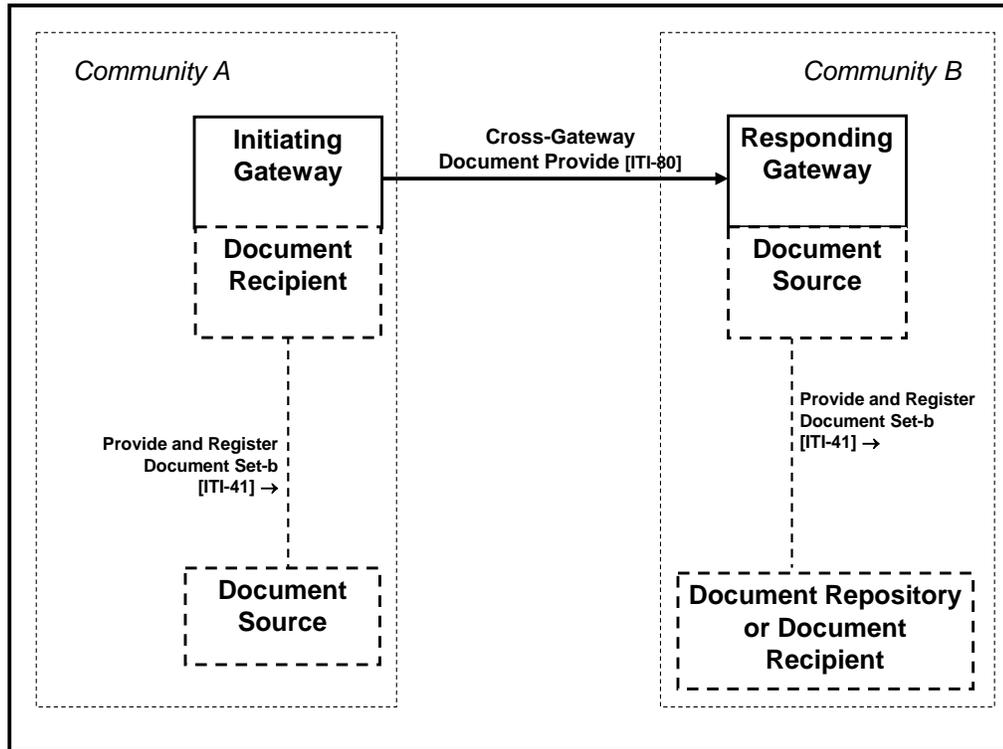


Figure 40.1-1: XCDR Actor Diagram

Table 40.1-1 lists the transactions for each actor directly involved in the XCDR Profile. To claim compliance with this Profile, an actor shall support all required transactions (labeled “R”) and may support the optional transactions (labeled “O”).

Table 40.1-1: XCDR Integration Profile - Actors and Transactions

Actors	Transactions	Optionality	Section in Vol. 2
Initiating Gateway	Cross-Gateway Document Provide [ITI-80]	R	ITI TF-2c:3.80
Responding Gateway	Cross-Gateway Document Provide [ITI-80]	R	ITI TF-2c:3.80

40.1.1 Actor Descriptions and Actor Profile Requirements

Most requirements are documented in Transactions (Volume 2) and Content Modules (Volume 3). This section documents any additional requirements on profile’s actors.

40.2 XCDR Actor Options

Options that may be selected for each actor in this profile, if any, are listed in Table 40.2-1.
 250 Dependencies between options when applicable are specified in notes.

Table 40.2-1: XCDR - Actors and Options

Actor	Options	Reference
Initiating Gateway	Basic Patient Privacy Enforcement	ITI TF-1:40.2.1 ITI TF-2c: 3.80.4.1.3.1
Responding Gateway	Basic Patient Privacy Enforcement	ITI TF-1:40.2.1 ITI TF-2c: 3.80.4.1.3.1

40.2.1 Basic Patient Privacy Enforcement

255 An Initiating Gateway Actor shall be able to be configured with the Patient Privacy Policies, Patient Privacy Policy Identifiers (OIDs) and associated information necessary to understand and enforce the community Policy where the XCDR Initiating and Responding Gateways operate. See ITI TF-2c:3.80.4.1.3.1.

40.3 XCDR Required Actor Groupings

260 An actor from this profile (Column 1) shall implement all of the required transactions and/or content modules in this profile in addition to all of the transactions required for the grouped actor (Column 2).

Section 40.5 describes some optional groupings that may be of interest for security considerations and Section 40.6 describes some optional groupings in other related profiles.

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Table 40.3-1: XCDR - Required Actor Groupings

XCDR Actor	Actor to be grouped with	Reference	Content Bindings Reference
Initiating Gateway	ATNA Secure Node or Secure Application	ITI TF-1: 9	--
Responding Gateway	ATNA Secure Node or Secure Application	ITI TF-1: 9	--

40.4 XCDR Overview

270 The Cross-Community Document Reliable Interchange (XCDR) Profile provides the capability to store a set of documents in a Cross-Community environment.

40.4.1 Concepts

275 XCDR describes the exchange of a set of documents between healthcare providers or organizations. The scope of the XCDR Profile is to specify the transaction to push these documents from the Initiating Gateway of a source Community to the Responding Gateway of a target Community. The set of documents belongs to the same patient and contains metadata that allows the Responding Gateway to process the documents. The type of processing will vary by community, for example, routing them on the basis of content in the metadata.

Figure 40.4.1-1 depicts a base example of the XCDR Use Case.

1. A document exists in Community A (source community)
- 280 2. The document is stored in Community B (target community)

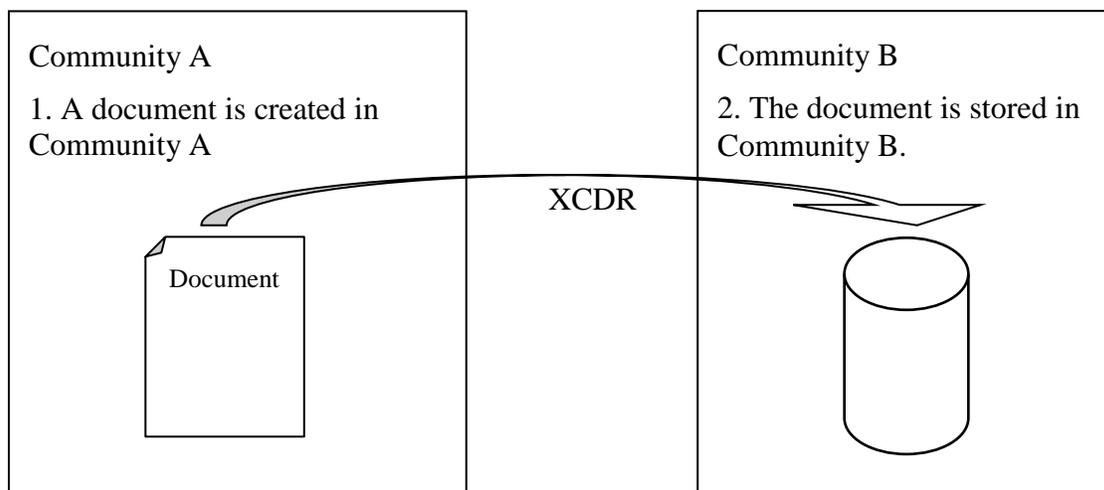
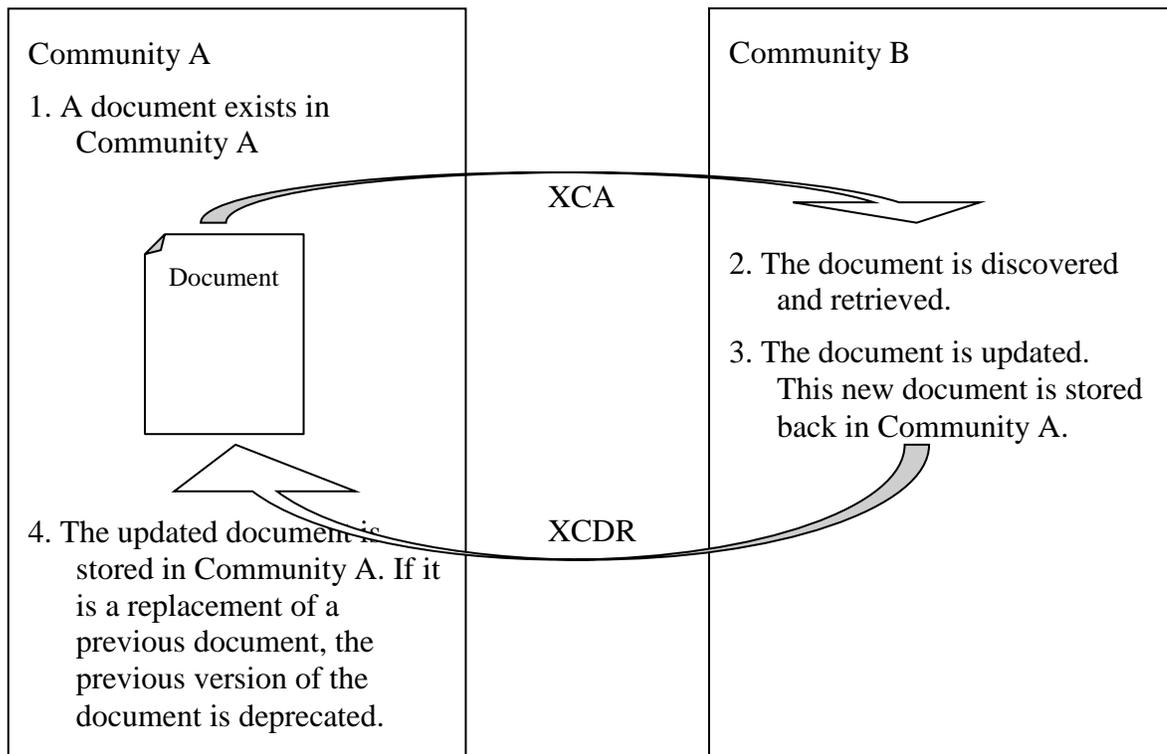


Figure 40.4.1-1: XCDR between a source and a target community

285 Figure 40.4.1-2 depicts an example of cross-community exchange, where XCDR is used in conjunction with XCA:

1. A document is created and stored within Community A
2. The document is discovered and pulled into Community B. This is typically supported by the query and retrieve transactions in the XCA Profile.
- 290 3. The document is updated within Community B and then pushed it back into Community A using the XCDR Profile.
4. The updated document now exists within Community A (as a new document, or as a replacement document).



295

Figure 40.4.1-2: XCDR with XCA Example

40.4.2 XCDR Use Cases

300 The XCDR Profile may be used on its own. It may also be combined with a number of other IHE Profiles to extend its application in configurations such as:

1. XCDR Source Community with XDR: The XDR Document Source is the source of a set of documents and uses the XDR Profile to send these documents to an XDR Document Recipient Actor grouped with the XCDR Initiating Gateway. The XCDR Initiating Gateway will forward the set of documents to an XCDR Responding Gateway serving a target community.
- 305

The above XDR Document Source may also be playing the role of an XDS Document Source in an unrelated XDS Affinity Domain. This does not affect the above behavior.

2. XCDR Remote community with XDR: In the target Community, the Responding Gateway may be grouped with the XDR Document Source to be able to forward the document set to one or more XDR Document Recipients within the target Community.
 3. XCDR Remote community with XDS: This use cases uses XDR in a source community and XDS in a target community. In a source community, an XDR Document Source uses the XDR Profile to send a set of document to an XDR Document Recipient Actor
- 310

- 315 grouped with the XCDR Initiating Gateway of the source community. The set of documents is received by an XCDR Responding Gateway serving the remote community and grouped with an XDS Document Source that uses the document metadata to select the appropriate XDS Document Repository in the target community. Through use of its XCA Responding Gateway, the remote community may support access to the exchanged document by any other community with an XCA Initiating Gateway.
- 320 4. XCDR in Cross-Community XDW Workflow: XCDR may also be used to bridge Communities for a variety of workflows. (See ITI TF-1: E.11.4 – Two Deployment Models for XDW used in an XCA Environment). One of the environments where XCDR is used is the cross-enterprise workflow management across federated communities as specified by the IHE Cross-Enterprise Document Workflow (XDW) Profile. In particular,
- 325 XCDR enables the update of one or more workflow documents. For example when a workflow has been initiated in a remote non-XDS community and updates to the workflow need to be recorded in the community where the workflow was initiated.

40.4.2.1 XCDR Source Community with XDR

40.4.2.1.1 XCDR Source Community with XDR Use Case Description

- 330 Pre-conditions: A Document Source needs to send a set of documents to a remote community
- Post-conditions: Documents are under the control of the XCDR Responding Gateway and the Document Source receives the acknowledgement that the document set has been received in the remote community.

Actors:

- 335
- XDR Document Source with the Home Community ID Option
 - XDR Document Recipient grouped with XCDR Initiating Gateway
 - XCDR Responding Gateway.

Process flow:

1. In the source Community, the XDR Document Source creates a set of documents
- 340 2. In the source Community, the XDR Document Source sends the documents to an XDR Document Recipient grouped with the XCDR Initiating Gateway.
3. The Initiating Gateway uses the homeCommunityId to identify the target remote community and sends the document set to the XCDR Responding Gateway in that community. The Initiating Gateway awaits acknowledgement of the transaction from the
- 345 Responding Gateway.
4. The XCDR Responding Gateway sends acknowledgement to the XCDR Initiating Gateway
5. In the source community, the XCDR Initiating Gateway grouped with the XDR Document Recipient forwards the acknowledgement to the original XDR Document
- 350 Source.

40.4.2.1.2 XCDR Source Community with XDR Process Flow

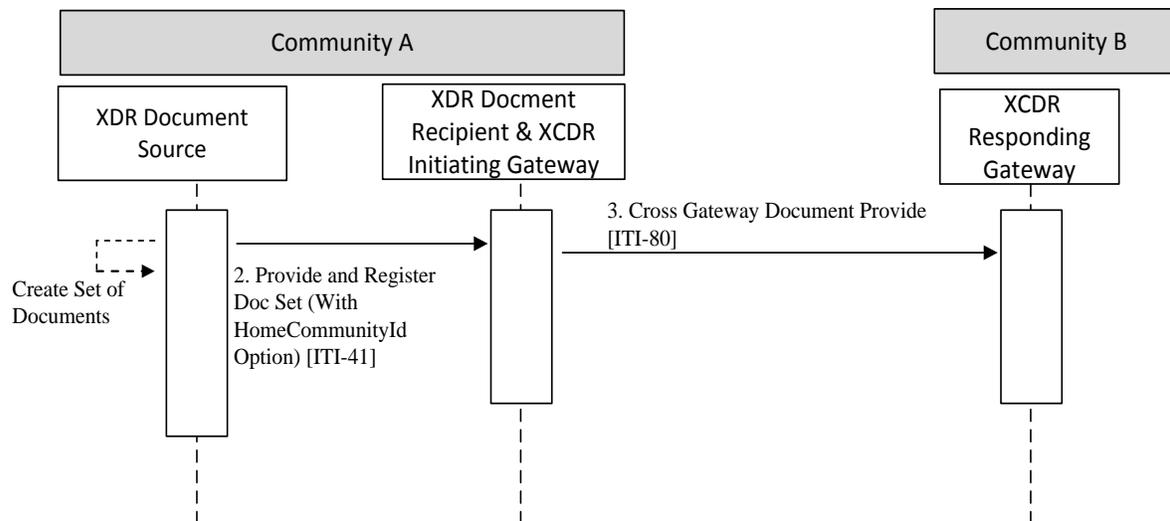


Figure 40.4.2.1.2-1: XCDR Source with XDR Process Diagram

40.4.2.2 XCDR Responding Community with XDR

355 40.4.2.2.1 XCDR Responding Community with XDR Use Case Description

Pre-conditions: A Source Community needs to send a set of documents to a Document Recipient in a remote community

Post-conditions: Initiating Gateway receives the acknowledgement that the document set has been received by the intended recipient (if specified) or the Document Recipient (if intended recipient not specified)

360

Actors:

- XCDR Initiating Gateway
- XCDR Responding Gateway grouped with XDR Document Source,
- XDR Document Recipient in remote community

365 Process flow:

1. In the source Community, an XCDR Initiating Gateway creates the set of documents
2. The Initiating Gateway inserts the homeCommunityId of the remote Community and sends the documents to an XCDR Responding Gateway Actor grouped with an XDR Document Source. The XCDR Initiating Gateway awaits acknowledgment of receipt in the remote community.
3. The XCDR Responding Gateway, grouped with the XDR Document Source, forwards the document set to an XDR Document Recipient within the remote Community and receives acknowledgement.

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- 375 4. The Responding Gateway then acknowledges the XCDR Cross-Gateway Document
Provide transaction to the XCDR Initiating Gateway

40.4.2.2.2 XCDR Remote Community with XDR Process Flow

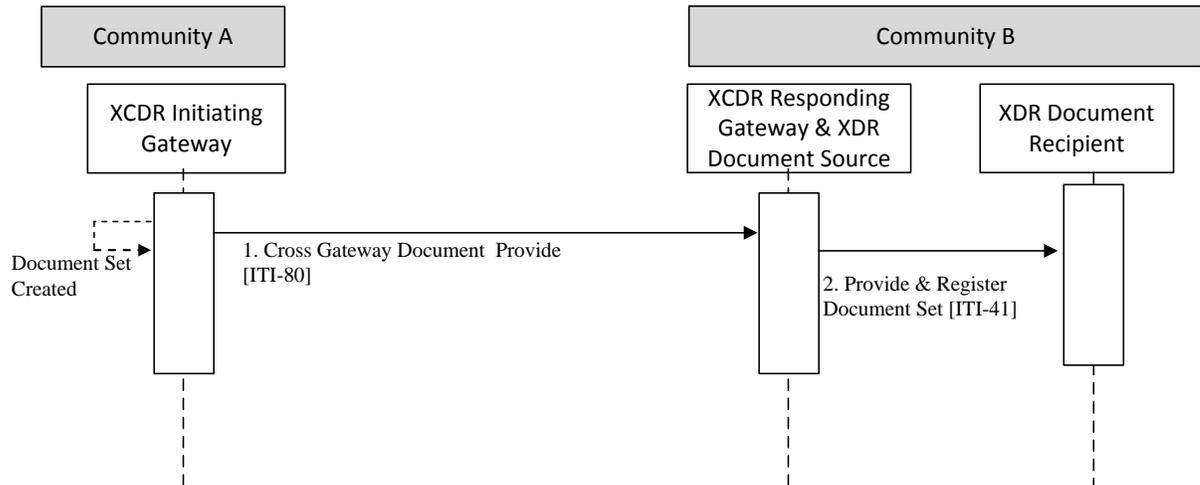


Figure 40.4.2.2.2-1: Remote Community with XDR Process Diagram

380 40.4.2.3 XCDR Federated Communities with XCA

40.4.2.3.1 XCDR Federated Communities with XCA Use Case Description

Pre-conditions: A Document Source needs to send a set of documents to remote community which saves incoming documents into an XDS Document Repository and enables access to those documents through XCA.

- 385 Post-conditions: Document Source receives the acknowledgement that the document set has been received by the intended recipient(s) and the documents are available through XCA.

Community Roles:

- Community A: source Community
- Community B: remote Community
- 390 • Community C: Community that wishes to query and retrieve the document.

Actors:

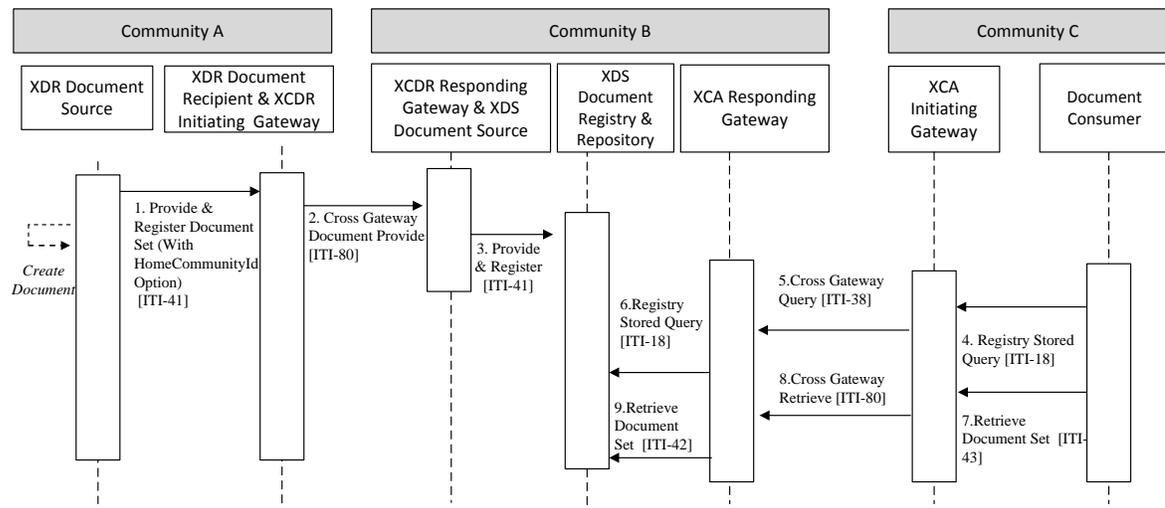
- XDR Document Source in the source community (Community A) that wants to submit a document
- XDR Document Recipient grouped with an XCDR Initiating Gateway representing
395 Community A

- XDS Document Source grouped with XCDR Responding Gateway in the remote community (Community B)
- XDS Registry/Repository to store the document within Community B
- 400 • XCA Responding Gateway implementing the XDS Affinity Domain Option in Community B.
- XDS Document Consumer in Community C wanting access to the source community's document
- XCA Initiating Gateway representing Community C

Process Flow:

- 405 1. In the source Community A, the XDR Document Source with the Home Community Id Option sends the document to an XDR Document Recipient Actor grouped with the XCDR Initiating Gateway
- 410 2. The XCDR Initiating Gateway uses the homeCommunityId to identify the target Community B and sends the documents to the XCDR Responding Gateway in that community. The Initiating Gateway awaits acknowledgement of the transaction from the Responding Gateway
- 415 3. The XCDR Responding Gateway in B, grouped with an XDS Document Source, stores the document set to an XDS Document Repository it selects within its Community(ies). The document set is registered by the XDS Document Registry. When the Provide and Register transaction is acknowledged, the grouped XDS Document Source and XCDR Responding Gateway sends its acknowledgement back to the XCDR Initiating Gateway in source Community A.
- 420 4. A Document Consumer within another community (C) wishes to update the document and Queries the XCA Initiating Gateway for the location of the document
- 425 5. The XCA Initiating Gateway in Community C queries the XCA Responding Gateway in Community B
6. The XCA Responding Gateway queries the XDS Registry/Repository and responds
7. The Document Consumer in Community C retrieves the document via the XCA Initiating Gateway
8. The XCA Initiating Gateway retrieves the document from the XCA Responding Gateway
9. The XCA Responding Gateway retrieves the document from the XDS Document Repository in Community B.

40.4.2.3.2 XCDR Federated Communities with XCA Process Flow



430 40.4.2.3.1-1 XCDR Federated Communities with XCA Process Flow Diagram

40.4.2.4 XCDR in Cross-Community XDW Workflow

40.4.2.4.1 XCDR Updating XDW Workflow Document Use Case Description

One of the environments where XCDR is recommended is the Cross-enterprise workflow management as specified by the XDW Profile, but federated across communities using XCDR.

435 In particular, XCDR enables the update of one or more query workflow documents, when such workflows have been initiated in a remote XDS community and updates to the workflow need to be recorded in the community where the workflow was initiated. (See IHE ITI TF-1: E.11.4 – Two Deployment Models for XDW used in an XCA Environment).

Community Roles:

- 440
- Community A: XDS source Community
 - Community B: remote Community

Pre-conditions: Document Consumer in a remote Community B needs to retrieve and update an XDW Workflow Document

Post-conditions: Updated XDW Workflow Document resides in originating source Community A

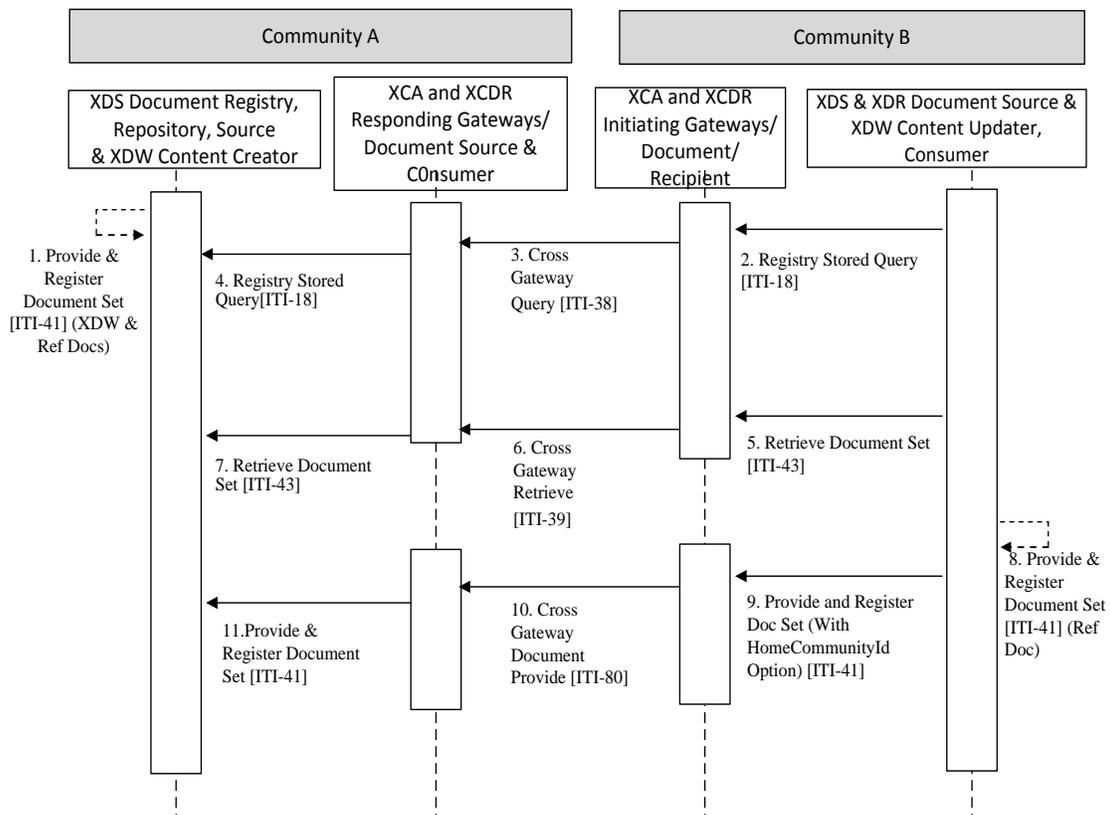
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Process Flow:

1. The XDW Content Creator/Source creates a Workflow Document and stores it in its local Document Repository in Community A
2. An XDW Updater/Consumer in Community B queries for the Workflow Document

- 450 3. The Community B's XCA Initiating Gateway forwards the query to the Community A's XCA Responding Gateway
4. The XCA Responding Gateway grouped with a Document Consumer forwards the query to the XDS Registry in Community A
- 455 5. The XDW Content Updater/Consumer in Community B receives the response from the Responding Gateway and requests to retrieve the Workflow Document from Community A
6. The Request is forwarded from Community B's Initiating Gateway to the Responding Gateway in Community A
- 460 7. XCA Responding Gateway grouped with a Document Consumer retrieves the document from its local Repository and returns it (via its XCA Responding Gateway) to the XDW Content Updater/Consumer in Community A (via its Initiating Gateway)
8. The XDW Updater/Consumer in Community B updates the Workflow Document,
9. The XDW Updater/Consumer then sends the document via XDR to its XCDR Initiating Gateway.
- 465 10. The XCDR Responding Gateway in Community A processes the Cross-Gateway Document Provide transaction.
11. The XCDR Responding Gateway grouped with an XDS Document Source updates (RPLC) in Community A the document in the XDS Repository within Community A.

40.4.2.4.2 XCDR Updating XDW Workflow Document Process Flow



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40.4.2.4.2-1 XCDR Updating XDW Workflow Document Process Flow

40.5 XCDR Security Considerations

The XCDR Profile assumes that the health organizations using Initiating Gateways and Responding Gateways have an agreement defining when they can interchange PHI. This may require an explicit patient consent (depending on policy and regulation) and an agreement on how to manage potential inconsistencies between the security policies. The main aspects that should be covered by this agreement are similar to XDS – See ITI TF-2x: Appendix L. In the case of XCDR, the Gateway to Gateway communication is between two independent security domains. This means there must be an agreement to cover all aspects of security and privacy for exchanges. In addition, the following aspects should be covered:

- Manage patient identification in order to perform patient reconciliation correctly upon import of documents into its community. The patient identification domain in the receiving community may not be the same as in the source community so the patient ID must be reconciled by either the sending or the receiving Actors.
- XCDR Initiating Gateway and Responding Gateway Actors shall be grouped with an ATNA Secure Node or Secure Application.

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- 490 • The Initiating Gateway Actor, based on its community and the cross-community policies, is expected to use the confidentialityCode in the document metadata with the list of values that identify the sensitivity classifications that apply to the associated document. The confidentiality codes for different communities may need mapping.
- The Initiating Gateway Actor is expected to use Privacy Policy Identifiers (OIDs) and associated information necessary to understand and enforce the community and cross-community Policy where the XCDR Initiating and Responding Gateways operate.
- 495 • The Responding Gateway Actor is expected to be configured with the Patient Privacy Policies, Patient Privacy Policy Identifiers (OIDs) and associated information necessary based on its community and the cross-community policies. The detail of how this is done is product specific and not specified by IHE.
- 500 • Management of audit record tracking and exchange for operational, security management, regulatory purposes must be covered. Especially see Section A.11 within the IHE IT Infrastructure Technical Committee White Paper: Template for XDS Affinity Domain Deployment Planning.

40.6 XCDR Cross-Profile Considerations

The following are required rules that shall be implemented when the associated grouping is being implemented.

505 40.6.1 Grouping with the Initiating Gateway - XDR

The Initiating Gateway Actor of the XCDR Profile may be grouped with the Document Recipient Actor of the XDR Profile (See Section 40.2.1)

When the Initiating Gateway is grouped with an XDR Document Recipient:

- 510 • If the Document Recipient/Initiating Gateway does not receive a homeCommunityId from the XDR Document Source in [ITI-41], the Document Recipient shall return an error.
- 515 • The Initiating Gateway shall use the homeCommunityId specified by the XDR Document Source to target the correct Responding Gateway. The Initiating Gateway shall copy the homeCommunityId value received from the XDR Document Source in [ITI-41] into the XCDR Cross-Gateway Document Provide [ITI-80] transaction it sends to the Responding Gateway. The Initiating Gateway shall copy the sourceId attribute from the SubmissionSet received from Document Source in [ITI-41] into the sourceId attribute of the SubmissionSet it sends to the Responding Gateway in [ITI-80].
- 520 • The Document Recipient/Initiating Gateway that receives XDR Provide and Register Document Set-b [ITI-41] shall send the acknowledgement to the XDR Document Source only after it receives the acknowledgement of the XDCR Cross Gateway Document Provide [ITI-80] from the Responding Gateway.

40.6.2 Grouping with the Responding Gateway - XDS

When the Responding Gateway is grouped with an XDS Document Source:

- 525 • The Responding Gateway/Document Source shall copy the sourceId attribute from the SubmissionSet received from the Initiating Gateway in [ITI-80] into the sourceId attribute of the SubmissionSet in the Provide and Register Document Set-b [ITI-41] issued to a local Document Repository.
- 530 • The Responding Gateway/XDS Document Source chooses the local Document Repository on the basis of local routing rules, supplemented by the SubmissionSet.intendedRecipient metadata attribute (see ITI TF-3: 4.2.3.3.7), if applicable. The intendedRecipient may contain organization level information. In this case, a mapping table could be locally configured.
- 535 • The Responding Gateway/Document Source shall acknowledge the XDCR Cross Gateway Document Set Provide [ITI-80] received from the Initiating Gateway, only after it receives the acknowledgement of the XDS Provide and Register Document Set [ITI-41] from the local Document Repository.

40.6.3 Grouping with the Responding Gateway - XDR

When the Responding Gateway is grouped with an XDR Document Source:

- 540 • The Responding Gateway shall copy the sourceId attribute of the received SubmissionSet into the SourceId attribute of the SubmissionSet provided in the Provide and Register Document Set-b Transaction issued within the responding Community.
- 545 • XDR Document Recipient chooses the grouped actor XCDR Responding Gateway/XDR Document Source on the basis of local routing rules supplemented by the SubmissionSet.intendedRecipient metadata element (See ITI TF-3: 4.2.3.3.7), if applicable. The intendedRecipient may contain organization level information and may be handled with a locally configured mapping table. It may also contain personal level information but would require additional complexity in maintaining the routing table.
- 550 • XCDR Provide Document Set transaction request received by the Responding Gateway shall be acknowledged only when the XDR Provide and Register Document Set Transaction acknowledgement is received.

The following update applies to the Final Text ITI Technical Framework Volume 1: In IHE ITI TF-1: 15 - XDR Integration Profile

555 *Update Section 15.2: XDR Integration Profile Option as follows:*

15.2 XDR Integration Profile Options

Options that may be selected for this Integration Profile are listed in Table 15.2-1 along with the Actors to which they apply. Dependencies between options when applicable are specified in notes.

560

Table 15.2-1: XDR - Actors and Options

Actor	Options	Vol. & Section
Document Source	Basic Patient Privacy Enforcement	ITI-TF-2b: 3.41.4.1.3.1
	Transmit Home Community ID	ITI TF-1:15.2.4
Metadata-Limited Document Source	Basic Patient Privacy Enforcement	ITI TF-2b: 3.41.4.1.3.1
Document Recipient	Basic Patient Privacy Enforcement	ITI-TF-2b: 3.41.4.1.3.1
	Accepts Limited Metadata	ITI TF-1:15.2.3

Insert the following new Section into ITI TF-1, immediately following ITI TF-1:15.2.3.

565

15.2.4 Transmit Home Community ID Option

When the Document Source supports the Transmit Home Community Id Option, it shall have the ability to include in the Provide & Register Document Set-b [ITI-41] Transaction a value for homeCommunityId. (See ITI TF-1: 3.41.4.1.3.2).

570

Volume 2 – Transactions

Update ITI TF-2b: 3.41.1 as follows:

3.41.1 Scope

The Provide and Register Document Set-b transaction passes a Repository Submission Request (see ITI TF-3: 4.1.3.1) from a Document Source to a Document Repository or Document Recipient.

575

A Provide and Register Document Set-b transaction shall carry:

580

- Metadata describing zero or more documents
- Within metadata, one XDSDocumentEntry object per document
- An optional homeCommunityId
- XDS SubmissionSet definition along with the linkage to new documents and references to existing documents
- Zero or more XDS Folder definitions along with linkage to new or existing documents
- Zero or more documents

585

Update ITI-TF-2b, by creating a new Section 3.41.4.1.3.2 Transmit Home Community Id Option:

3.41.4.1.3.2 Transmit Home Community Id Option

590

The Document Source that supports the Transmit Home Community Id Option shall be able to populate both the homeCommunityId Slot and the addressing block in the SOAP header with a target homeCommunityId value that identifies the Community for which the document set is intended. This attribute may be used by the XDR Document Recipient to further route the document set when the Document Recipient serves multiple Communities (e.g., is grouped with an XCDR Initiating Gateway). If the metadata attribute “intendedRecipient” is coded, the homeCommunityId should point to a Community which is able to understand the value within the intendedRecipient.

595

1. The homeCommunityId in the addressing block shall contain the value of the target homeCommunity in order to support content encryption, if needed. It shall be encoded within the Addressing Block as an ihe:homeCommunityBlock with the code “urn:ihe.net:iti:xdr:2014”.
2. The homeCommunityId Slot within the SubmitObjectRequest element shall contain the value of the target homeCommunityId.

600

An example of the homeCommunityId Slot and the addressing block in the SOAP header is shown below:

```
605 <?xml version="1.0" encoding="UTF-8"?>
<soapenv:Envelope xmlns:soapenv="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <soapenv:Header>
    <wsa:To>http://localhost:4040/axis2/services/test11966a</wsa:To>
    <wsa:MessageID>urn:uuid:76A2C3D9BCD3AECFF31217932910053</wsa:MessageID>
610 <ihe:homeCommunityBlock xmlns:ihe="urn:ihe.net:iti:xdr:2014"
xmlns:env="http://www.w3.org/2003/05/soap-envelope"
env:role="urn:ihe.net:iti:xd:id" env:relay="true">
  <ihe:homeCommunityId>urn:oid:1.2.3.4.5.6.2333.23</ihe:homeCommunityId>
  </ihe:homeCommunityBlock>
615 <wsa:Action
soapenv:mustUnderstand="1">urn:ihe:iti:2015:CrossCommunityDocumentProvide</ws
a:Action>
  </soapenv:Header>
  <soapenv:Body>
620 <xdsb:ProvideAndRegisterDocumentSetRequest xmlns:xdsb="urn:ihe:iti:xds-
b:2007" xmlns:rsm="urn:oasis:names:tc:ebxml-regrep:xsd:rsm:3.0">
  <lcm:SubmitObjectsRequest xmlns:lcm="urn:oasis:names:tc:ebxml-
regrep:xsd:lcm:3.0">
    <rsm:RequestSlotList>
625 <rsm:Slot name="homeCommunityId">
      <rsm:ValueList>
        <rsm:Value>urn:oid:1.2.3.4.5.6.2333.23</rsm:Value>
      </rsm:ValueList>
    </rsm:Slot>
630 </rsm:RequestSlotList>
    <ihe:homeCommunityBlock xmlns:ihe="urn:ihe.net:iti:xdr:2014"
xmlns:env="http://www.w3.org/2003/05/soap-envelope"
env:role="urn:ihe.net:iti:xd:id" env:relay="true">
    <ihe:homeCommunityId>urn:oid:1.2.3.4.5.6.2333.23</ihe:homeCommunityId>
635 </ihe:homeCommunityBlock>
    <rsm:RegistryObjectList xmlns:rsm="urn:oasis:names:tc:ebxml-
regrep:xsd:rsm:3.0"></rsm:RegistryObjectList>
  </lcm:SubmitObjectsRequest>
  </xdsb:ProvideAndRegisterDocumentSetRequest>
640 </soapenv:Body>
</soapenv:Envelope>
```

Update ITI TF-2c, by adding a new Section 3.80:

3.80 Cross-Gateway Document Provide [ITI-80]

3.80.1 Scope

645 The scope of this transaction is based on Provide and Register Document Set.b [ITI-41].

The differences between the [ITI-41] and the [ITI-80] transactions are:

- the Document Source Actor in ITI-41 is replaced by the Initiating Gateway Actor in ITI-80 and

- 650
- the Document Recipient Actor in ITI-41 is replaced by a Responding Gateway Actor in ITI-80.
 - ITI-41 has a Transmit Home Community Option. ITI-80 does not have the option because the capabilities associated with that option are required.

3.80.2 Actor Roles

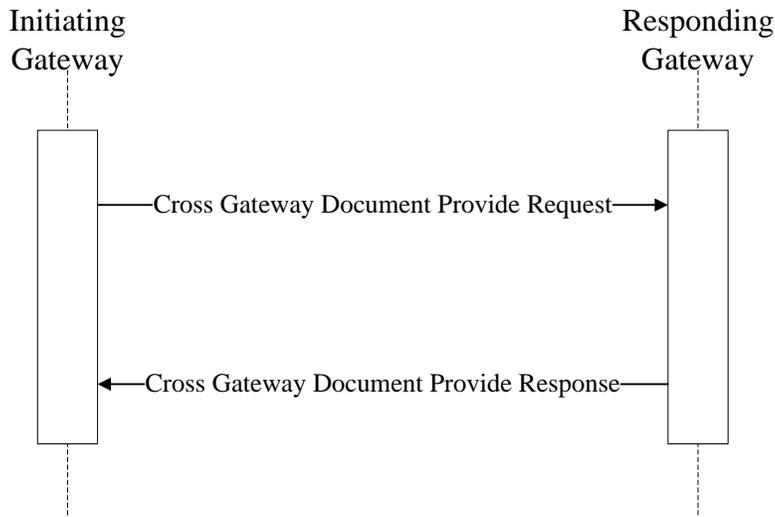
Actor:	Initiating Gateway
Role:	Sends documents and associated metadata to a Responding Gateway
Actor:	Responding Gateway
Role:	Receives a set of documents and, if required, forwards them to the intended recipient or a registry/repository in its local community.

3.80.3 Reference Standards

655 Implementers of this transaction shall comply with all requirements described in: ITI TF-2x: Appendix V: Web Services for IHE Transactions.

ebRIM	OASIS/ebXML Registry Information Model v3.0
ebRS	OASIS/ebXML Registry Services Specifications v3.0
ebMS	OASIS/ebXML Messaging Services Specifications v3.0

3.80.4 Interaction Diagrams



660

3.80.4.1 Cross-Gateway Document Provide Request

An Initiating Gateway sends documents and associated metadata to a Responding Gateway. The Responding Gateway may then forward the documents to the intended recipient or repository.

665 3.80.4.1.1 Trigger Events

The Initiating Gateway wants to submit a set of zero or more documents to the Responding Gateway of another community.

670 The Initiating Gateway shall provide enough information about the patient to ensure that the Responding Gateway can process the documents. The definition of enough will vary by deployment. In some cases this might be by providing a patient ID that is known by the Responding Gateway. In other cases it might include specification of enough demographics that the Responding Gateway will be confident of the association of the submission to a local patient. To accommodate the variety of policies which might apply, the Initiating Gateway shall be able to be configured to provide in the metadata at least: (1) a patient ID known to the Responding
675 Gateway, and (2) demographics for the patient including first name, last name, birth date and gender.

3.80.4.1.2 Message Semantics

Message semantics for the Cross-Gateway Document Provide adhere to the Message Semantics for Provide and Register Document Set-b [ITI-41] in ITI TF-2b:3.41.4.1.2.

680 The Cross Gateway Document Provide Request message shall include the metadata attributes as defined in ITI TF-3: 4.3.1.2.1. Optionality for these attributes is the same as for XDR Document Source (XDR DS) in ITI TF-3: Table 4.3.1.1-3.

3.80.4.1.3 Expected Actions

685 A Responding Gateway receives the metadata and the associated document(s). It shall be able to interpret the submission without any context (e.g., a prior submission). The Responding Gateway may validate the metadata as described in ITI TF-3: Table 4.3.1.1-3.

The Responding Gateway uses the patient ID and/or patient demographics in order to associate the submission to a local patient.

690 The Initiating Gateway may include Folders in metadata. If the Responding Gateway is not able to process the Folder-specific content, it shall return a PartialFolderContentNotProcessed warning which includes a textual description identifying that Folder Content was not processed. In this case the Responding Gateway shall process the rest of the submission successfully.

695 In the case where the Initiating Gateway submits a replacement set of documents, if the
Responding Gateway is not able to process the replacement semantics in the submission, it shall
return a PartialReplaceContentNotProcessed warning which includes a textual description
identifying that the replacement semantics were not processed. In this case the Responding
Gateway shall process the rest of the submission successfully. The document replacement
semantics required for the Responding Gateway are consistent with those defined in ITI TF-
3:4.2.2.2.3, which requires that Responding Gateways shall:

- 700
1. persist documents and associated metadata
 2. persist a replacement document if the replaced document is known, then deprecate the
replaced document
 3. if the replaced document does not exist at the Responding Gateways, the submission shall
fail with an appropriate error (See ITI-TF-3:4.2.4 Table 4.2.4.1-1)

705 If the Responding Gateway detects a failure, it shall return an error message to the Initiating
Gateway thus terminating this transaction. The conditions of failure and possible error messages
are the same as those for [ITI-41] in ITI TF-3: 4.2.4 Error Reporting.

3.80.4.1.3.1 Basic Patient Privacy Enforcement

If the Basic Patient Privacy Enforcement Option is implemented:

- 710
1. The Initiating Gateway Actor shall populate the confidentialityCode in the document
metadata with the list of values that identify the sensitivity classifications that apply to
the associated document. The confidentiality codes for different documents in the same
submission may be different for different Communities.
 - 715 2. The Initiating Gateway Actor shall be able to be configured with the Patient Privacy
Policies, Patient Privacy Policy Identifiers (OIDs) and associated information necessary
to understand and enforce the Policy in the community where the XCDR Initiating and
Responding Gateways operate. The details of this are product-specific and not specified
by IHE.
 - 720 3. The Initiating Gateway Actor may have business rule capabilities to determine the
appropriate confidentiality codes for each document. The details of this are product-
specific and not specified by IHE. However, the information about how confidentiality
codes are assigned must be part of the published in the community where the XCDR
Initiating and Responding Gateways operate.
 - 725 4. The Responding Gateway Actor shall be able to be configured with the Patient Privacy
Policies, Patient Privacy Policy Identifiers (OIDs) and associated information necessary
to understand and enforce the Policies in the community where the XCDR Initiating and
Responding Gateways operate. The meanings of the codes on the media must be provided
out of band, e.g., by telephone, fax, or email. The details of this are product-specific and
not specified by IHE. If the documents are transferred internally within the organization
730 or to other members of the Community served by the Responding Gateway, appropriate
internal confidentiality codes shall be applied.

3.80.4.2 Cross-Gateway Document Provide Response

The Responding Gateway shall send a Cross-Gateway Document Provide Response message when the processing of a Cross-Gateway Document Provide Request message is complete.

735 3.80.4.2.1 Trigger Events

The following events can trigger this message:

- Documents were successfully received and processed by the Responding Gateway
- Documents were not successfully received by the Responding Gateway

3.80.4.2.2 Message Semantics

740 The Cross-Gateway Document Provide Response message shall carry the status of the requested operation and an error message if the requested operation failed. The conditions of failure and possible error messages are given in the eBRS standard and are the same as those for [ITI-41] in ITI TF-3: 4.2.4 Error Reporting.

3.80.4.2.3 Expected Actions

745 The Initiating Gateway now knows that the transaction succeeded/failed and can continue. The document(s) received by the Responding Gateway shall be available for further processing according to the capabilities of the system. These capabilities are not specified by IHE except when the Initiating Gateway is grouped with an Actor identified in ITI TF-1:40.6.

3.80.5 Protocol Requirements

750 Implementers of this transaction shall comply with all requirements described in ITI TF-2x: Appendix V: Web Services for IHE Transactions.

The Cross-Gateway Document Provide transaction shall use SOAP12 and MTOM with XOP encoding (labeled MTOM/XOP in this specification). See ITI TF-2x: Appendix V for details.

755

WSDL Namespace Definitions

ihe	urn:ihe:iti:xds-b:2007
rs	urn:oasis:names:tc:ebxml-regrep:xsd:rs:3.0
lcm	urn:oasis:names:tc:ebxml-regrep:xsd:lcm:3.0
query	urn:oasis:names:tc:ebxml-regrep:xsd:query:3.0

A full XML Schema Document for the XDS types is available online on the IHE FTP site, see ITI TF-2x: Appendix W.

760 Responding Gateway: These are the requirements for the Cross-Gateway Document Provide transaction presented in the order in which they would appear in the Responding Gateway WSDL definition:

- The following types shall be imported (xsd:import) in the /definitions/types section:
 - namespace="urn:oasis:names:tc:ebxml-regrep:xsd:rs:3.0", schema="rs.xsd"

- namespace="urn:ihe:iti:xds-b:2007", schemaLocation="IHEXDS.xsd"
- 765 • The /definitions/message/part/@element attribute of the Cross-Gateway Document Provide Request message shall be defined as “ihe:ProvideAndRegisterDocumentSetRequest”
- The /definitions/message/part/@element attribute of the Cross-Gateway Document Provide Response message shall be defined as “rs:RegistryResponse”
- Refer to Table 3.80.5-1 below for additional attribute requirements

770 These are the requirements that affect the wire format of the SOAP message. The other WSDL properties are only used within the WSDL definition and do not affect interoperability. Full sample request and response messages are in ITI TF-2c: 3.80.5.1 Sample SOAP Messages. For informative WSDL for the Responding Gateway Actor see ITI TF-2x: Appendix W. The <ihe:ProvideAndRegisterDocumentSetRequest /> element is defined as:

- 775 • One <lcm:SubmitObjectsRequest/> element that contains the submission set metadata
- Zero or more <ihe:Document/> elements that contain document content being submitted to the Responding Gateway. The <ihe:Document/> element also includes the document id attribute (ihe:Document/@id) of type xsd:anyURI to match the document ExtrinsicObject id in the metadata and providing the necessary linkage

780 The use of MTOM/XOP is governed by the following rules:

- The Responding Gateway shall accept documents in a Cross Gateway Document Provide transaction in MTOM/XOP format. The response message shall use MTOM/XOP format.
- The Initiating Gateway shall generate Cross Gateway Document Provide transactions in MTOM/XOP format. It shall accept the response message in MTOM/XOP format.

785

Table 3.80.5-1: Additional Attribute Requirements

Attribute	Value
/definitions/portType/operation/@name	DocumentRepository_CrossGatewayDocumentProvide
/definitions/portType/operation/input/@wsaw:Action	urn:ihe:iti:2015:CrossGatewayDocumentProvide
/definitions/portType/operation/output/@wsaw:Action	urn:ihe:iti:2015:CrossGatewayDocumentProvideResponse
/definitions/binding/operation/soap12:operation/@soapAction	urn:ihe:iti:2015:CrossGatewayDocumentProvide

3.80.5.1 Sample SOAP Messages

790 The samples in the following two sections show a typical SOAP request and its relative SOAP response. The sample messages also show the WS-Addressing headers <Action/>, <MessageID/>, <ReplyTo/>...; these WS-Addressing headers are populated according to the ITI TF-2x:

Appendix V: Web Services for IHE Transactions. The body of the SOAP message is omitted for brevity; in a real scenario the empty element will be populated with the appropriate metadata.

795 Samples presented in this section are also available online on the IHE FTP site, see ITI TF-2x:
Appendix W.

3.80.5.1.1 Sample Cross Gateway Document Provide SOAP Request

3.80.5.1.1.1 Synchronous Web Services Exchange

```
800 POST /axis2/services/repository HTTP/1.1
Content-Type: multipart/related;
boundary=MIMEBoundaryurn_uuid_76A2C3D9BCD3AECFF31217932910180;
type="application/xop+xml";
start="<0.urn:uuid76A2C3D9BCD3AECFF31217932910181@apache.org>"; start-
info="application/soap+xml";
805 action="urn:ihe:iti:2015:CrossCommunityDocumentProvide"
User-Agent: Axis2
Host: localhost:4040
Content-Length: 4567

--MIMEBoundaryurn_uuid_76A2C3D9BCD3AECFF31217932910180
810 Content-Type: application/xop+xml; charset=UTF-8; type="application/soap+xml"
Content-Transfer-Encoding: binary
Content-ID: <0.urn:uuid:76A2C3D9BCD3AECFF31217932910181@apache.org>

<?xml version="1.0" encoding="UTF-8"?>
815 <soapenv:Envelope xmlns:soapenv="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <soapenv:Header>
    <wsa:To>http://localhost:4040/axis2/services/test11966a</wsa:To>
    <wsa:MessageID>urn:uuid:76A2C3D9BCD3AECFF31217932910053</wsa:MessageID>
820 <ihe:homeCommunityBlock xmlns:ihe="urn:ihe.net:iti:xdr:2014"
xmlns:env="http://www.w3.org/2003/05/soap-envelope"
env:role="urn:ihe.net:iti:xd:id" env:relay="true">
  <ihe:homeCommunityId>urn:oid:1.2.3.4.5.6.2333.23</ihe:homeCommunityId>
  </ihe:homeCommunityBlock>
825 <wsa:Action
soapenv:mustUnderstand="1">urn:ihe:iti:2015:CrossCommunityDocumentProvide
</wsa:Action>
  </soapenv:Header>
  <soapenv:Body>
830 <xdsb:ProvideAndRegisterDocumentSetRequest xmlns:xdsb="urn:ihe:iti:xds-
b:2007">
  <lcm:SubmitObjectsRequest xmlns:lcm="urn:oasis:names:tc:ebxml-
regrep:xsd:lcm:3.0">
  <rim:RequestSlotList xmlns:rim="urn:oasis:names:tc:ebxml-
835 regrep:xsd:rim:3.0">
    <rim:Slot name="homeCommunityId">
    <rim:ValueList>
    <rim:Value>urn:oid:1.2.3.4.5.6.2333.23</rim:Value>
    </rim:ValueList>
```

```
840     </rim:Slot>
      </rim:RequestSlotList>
      <rim:RegistryObjectList xmlns:rim="urn:oasis:names:tc:ebxml-
      regrep:xsd:rim:3.0">
      <!-- Registry Metadata goes here -->
845     </rim:RegistryObjectList>
      </lcm:SubmitObjectsRequest>
      <xdsb:Document id="Document01">
      <xop:Include xmlns:xop="http://www.w3.org/2004/08/xop/include"
      href="cid:1.urn:uuid:76A2C3D9BCD3AECFF3121793290229@apache.org"/>
850     </xdsb:Document>
      </xdsb:ProvideAndRegisterDocumentSetRequest>
      </soapenv:Body>
      </soapenv:Envelope>

855 --MIMEBoundaryurn_uuid_76A2C3D9BCD3AECFF31217932910180
      Content-Type: text/plain
      Content-Transfer-Encoding: binary
      Content-ID: <1.urn:uuid:76A2C3D9BCD3AECFF31217932910229@apache.org>

860 Ceci est mon Document.
      Il est très bien!

      --MIMEBoundaryurn_uuid_76A2C3D9BCD3AECFF31217932910180--

3.80.5.1.1.2 Asynchronous Web Services Exchange

865 <?xml version="1.0" encoding="UTF-8"?>
      <s:Envelope xmlns:s="http://www.w3.org/2003/05/soap-envelope"
      xmlns:a="http://www.w3.org/2005/08/addressing">
      <s:Header>
      <a:Action
870 s:mustUnderstand="1">urn:ihe:iti:2015:CrossGatewayDocumentProvide</a:Action>
      <a:MessageID>urn:uuid:6d296e90-e5dc-43d0-b455-7c1f3eb35d83</a:MessageID>
      <a:ReplyTo>

      <a:Address>http://192.168.2.4:9080/XdsService/DocumentSourceReceiver.svc</a:A
875 ddress>
      <ihe:homeCommunityBlock xmlns:ihe="urn:ihe.net:iti:xdr:2014"
      xmlns:env="http://www.w3.org/2003/05/soap-envelope"
      env:role="urn:ihe.net:iti:xd:id" env:relay="true">
      <ihe:homeCommunityId>urn:oid:1.2.3.4.5.6.2333.23</ihe:homeCommunityId>
880 </ihe:homeCommunityBlock>
      </a:ReplyTo>
      <a:To
      s:mustUnderstand="1">http://localhost:2647/XdsService/DocumentRepositoryRecei
885 ver.svc</a:To>
      </s:Header>
      <s:Body>
      <ProvideAndRegisterDocumentSetRequest xmlns="urn:ihe:iti:xds-b:2007"
      xmlns:lcm="urn:oasis:names:tc:ebxml-regrep:xsd:lcm:3.0"
```

```
890 xmlns:rim="urn:oasis:names:tc:ebxml-regrep:xsd:rim:3.0"
xmlns:rs="urn:oasis:names:tc:ebxml-regrep:xsd:rs:3.0">
  <lcm:SubmitObjectsRequest>
    <!--Rest of SubmitObjectsRequest message goes here -->
  </lcm:SubmitObjectsRequest>
  <Document
895 id="Document01">UjBsR09EbGhjZ0dTQUxNQUFBUUNBRU1tQ1p0dU1GUXhEUzhi</Document>
  </ProvideAndRegisterDocumentSetRequest>
  </s:Body>
</s:Envelope>
```

3.80.5.1.2 Sample ... Document SOAP Response

900 3.80.5.1.2.1 Synchronous Web Services Exchange

```
<?xml version="1.0" encoding="UTF-8"?>
<s:Envelope xmlns:s="http://www.w3.org/2003/05/soap-envelope"
xmlns:a="http://www.w3.org/2005/08/addressing">
  <s:Header>
905   <a:Action
s:mustUnderstand="1">urn:ihe:iti:2007:CrossGatewayDocumentProvideResponse</a:
Action>
  <a:RelatesTo>urn:uuid:6d296e90-e5dc-43d0-b455-7c1f3eb35d83</a:RelatesTo>
  </s:Header>
910   <s:Body>
  <rs:RegistryResponse xmlns:rs="urn:oasis:names:tc:ebxml-regrep:xsd:rs:3.0"
status="urn:oasis:names:tc:ebxml-regrep:ResponseStatusType:Success"/>
  </s:Body>
</s:Envelope>
```

915 3.80.5.1.2.2 Asynchronous Web Services Exchange

```
<?xml version="1.0" encoding="UTF-8"?>
<s:Envelope xmlns:s="http://www.w3.org/2003/05/soap-envelope"
xmlns:a="http://www.w3.org/2005/08/addressing">
  <s:Header>
920   <a:Action
s:mustUnderstand="1">urn:ihe:iti:2007:CrossGatewayDocumentProvideResponse</a:
Action>
  <a:MessageID>urn:uuid:D6C21225-8E7B-454E-9750-21622C099DB</a:MessageID>
  <a:RelatesTo>urn:uuid:6d296e90-e5dc-43d0-b455-7c1f3eb35d83</a:RelatesTo>
925   <a:To
s:mustUnderstand="1">http://localhost:2647/XdsService/DocumentSourceReceiver.
svc</a:To>
  </s:Header>
  <s:Body>
930   <rs:RegistryResponse xmlns:rs="urn:oasis:names:tc:ebxml-regrep:xsd:rs:3.0"
status="urn:oasis:names:tc:ebxml-regrep:ResponseStatusType:Success"/>
  </s:Body>
</s:Envelope>
```

3.80.6 Security Considerations

935 This transaction assumes that the communities that are using Initiating Gateways and
 Responding Gateways have an agreement defining when they can interchange PHI.

3.80.7 Audit Record Considerations

940 The Cross Community Document Provide Transaction is either a PHI-Import event or a PHI-
 Export event, depending on actor, as defined in ITI TF-2a: Table 3.20.6-1, with the following
 exceptions.

These audit messages are identical to [ITI-41] with the exception of the EventTypeCode and the
 inclusion of homeCommunityId.

3.80.7.1 Initiating Gateway audit message:

	Field Name	Opt	Value Constraints
Event AuditMessage/ EventIdentificati on	EventID	M	EV(110106, DCM, “Export”)
	EventActionCode	M	“R” (Read)
	<i>EventDateTime</i>	<i>M</i>	<i>not specialized</i>
	<i>EventOutcomeIndicator</i>	<i>M</i>	<i>not specialized</i>
	EventTypeCode	M	EV(“ITI-80”, “IHE Transactions”, “CrossGatewayDocumentProvide”)
Source (Document Source) (1)			
Human Requestor (0..n)			
Destination (Document Repository) (1)			
Audit Source (Document Source) (1)			
Patient (1)			
SubmissionSet (1)			

Where:

Source AuditMessage/ ActiveParticipant	UserID	M	The content of the <wsa:ReplyTo/> element.
	AlternativeUserID	M	the process ID as used within the local operating system in the local system logs.
	<i>UserName</i>	<i>U</i>	<i>not specialized</i>
	<i>UserIsRequestor</i>	<i>U</i>	<i>not specialized</i>
	RoleIDCode	M	EV(110153, DCM, “Source”)
	NetworkAccessPointTypeCode	M	“1” for machine (DNS) name, “2” for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.

945

Human Requestor (if known) AuditMessage/ ActiveParticipant	UserID	M	Identity of the human that initiated the transaction.
	<i>AlternativeUserID</i>	<i>U</i>	<i>not specialized</i>
	<i>UserName</i>	<i>U</i>	<i>not specialized</i>
	<i>UserIsRequestor</i>	<i>U</i>	<i>not specialized</i>
	RoleIDCode	U	Access Control role(s) the user holds that allows this transaction.
	NetworkAccessPointTypeCode	NA	
	NetworkAccessPointID	NA	

Destination AuditMessage/ ActiveParticipant	UserID	M	SOAP endpoint URI.
	<i>AlternativeUserID</i>	<i>U</i>	<i>not specialized</i>
	<i>UserName</i>	<i>U</i>	<i>not specialized</i>
	UserIsRequestor	M	“false”
	RoleIDCode	M	EV(110152, DCM, “Destination”)
	NetworkAccessPointTypeCode	M	“1” for machine (DNS) name, “2” for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.
	HomeCommunityID	M	The homeCommunityId of the destination intended recipient

Audit Source AuditMessage AuditSourceIdentification	<i>AuditSourceID</i>	<i>U</i>	<i>not specialized</i>
	<i>AuditEnterpriseSiteID</i>	<i>U</i>	<i>not specialized</i>
	<i>AuditSourceTypeCode</i>	<i>U</i>	<i>not specialized</i>

950

Patient (AuditMessage/ ParticipantObjectIdentification)	ParticipantObjectTypeCode	M	“1” (Person)
	ParticipantObjectTypeCodeRole	M	“1” (Patient)

	<i>ParticipantObjectDataLifeCycle</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectTypeCode	M	EV(2, RFC-3881, “Patient Number”)
	<i>ParticipantObjectSensitivity</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectID	M	The patient ID in HL7 CX format.
	<i>ParticipantObjectName</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectQuery</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectDetail</i>	<i>U</i>	<i>not specialized</i>

Submission Set (AuditMessage/ ParticipantObjectIdentification)	ParticipantObjectTypeCode	M	“2” (System)
	ParticipantObjectTypeCodeRole	M	“20” (job)
	<i>ParticipantObjectDataLifeCycle</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectTypeCode	M	EV(“urn:uuid:a54d6aa5-d40d-43f9-88c5-b4633d873bdd”, “IHE XDS Metadata”, “submission set classificationNode”)
	<i>ParticipantObjectSensitivity</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectID	M	The submissionSet unique ID
	<i>ParticipantObjectName</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectQuery</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectDetail</i>	<i>U</i>	<i>not specialized</i>

955 **3.80.7.2 Responding Gateway audit message:**

	Field Name	Opt	Value Constraints
Event AuditMessage/ EventIdentification	EventID	M	EV(110107, DCM, “Import”)
	EventActionCode	M	“C” (Create)
	<i>EventDateTime</i>	<i>M</i>	<i>not specialized</i>
	<i>EventOutcomeIndicator</i>	<i>M</i>	<i>not specialized</i>
	EventTypeCode	M	EV(“ITI-80”, “IHE Transactions”, “CrossGatewayDocumentProvide”)
Source (Document Source) (1)			

IHE IT Infrastructure Technical Framework Supplement – Cross-Community Document
 Reliable Interchange (XCDR)

Destination (Document Repository or Document Recipient) (1)
Audit Source (Document Repository or Document Recipient) (1)
Patient (1)
SubmissionSet (1)

Where:

Source AuditMessage/ ActiveParticipant	UserID	M	The content of the <wsa:ReplyTo/> element.
	<i>AlternativeUserID</i>	<i>U</i>	<i>not specialized</i>
	<i>UserName</i>	<i>U</i>	<i>not specialized</i>
	<i>UserIsRequestor</i>	<i>U</i>	<i>not specialized</i>
	RoleIDCode	M	EV(110153, DCM, “Source”)
	NetworkAccessPointTypeCode	M	“1” for machine (DNS) name, “2” for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.

Destination AuditMessage/ ActiveParticipant	UserID	M	SOAP endpoint URI
	AlternativeUserID	M	the process ID as used within the local operating system in the local system logs.
	<i>UserName</i>	<i>U</i>	<i>not specialized</i>
	<i>UserIsRequestor</i>	<i>M</i>	“false”
	RoleIDCode	M	EV(110152, DCM, “Destination”)
	NetworkAccessPointTypeCode	M	“1” for machine (DNS) name, “2” for IP address
	NetworkAccessPointID	M	The machine name or IP address, as specified in RFC 3881.
	HomeCommunityID	M	The homeCommunityId of the destination intended recipient

Audit Source AuditMessage/ AuditSourceIdentification	<i>AuditSourceID</i>	<i>U</i>	<i>not specialized</i>
	<i>AuditEnterpriseSiteID</i>	<i>U</i>	<i>not specialized</i>
	<i>AuditSourceTypeCode</i>	<i>U</i>	<i>not specialized</i>

960

Patient	ParticipantObjectTypeCode	M	“1” (Person)
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IHE IT Infrastructure Technical Framework Supplement – Cross-Community Document
 Reliable Interchange (XCDR)

(AuditMessage/ ParticipantObjectI dentification)	ParticipantObjectTypeCodeRole	M	“1” (Patient)
	<i>ParticipantObjectDataLifeCycle</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectTypeCode	M	EV(2, RFC-3881, “Patient Number”)
	<i>ParticipantObjectSensitivity</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectID	M	The patient ID in HL7 CX format.
	<i>ParticipantObjectName</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectQuery</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectDetail</i>	<i>U</i>	<i>not specialized</i>

Submission Set (AuditMessage/ ParticipantObjectI dentification)	ParticipantObjectTypeCode	M	“2” (System)
	ParticipantObjectTypeCodeRole	M	“20” (job)
	<i>ParticipantObjectDataLifeCycle</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectTypeCode	M	EV(“urn:uuid:a54d6aa5-d40d-43f9-88c5-b4633d873bdd”, “IHE XDS Metadata”, “submission set classificationNode”)
	<i>ParticipantObjectSensitivity</i>	<i>U</i>	<i>not specialized</i>
	ParticipantObjectID	M	The submissionSet unique ID
	<i>ParticipantObjectName</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectQuery</i>	<i>U</i>	<i>not specialized</i>
	<i>ParticipantObjectDetail</i>	<i>U</i>	<i>not specialized</i>