Foreword

This is a supplement to the IHE Eye Care Technical Framework V3.7. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on February 23, 2015 for Public Comment. Comments are invited and may be submitted at http://ihe.net/Eye_Care_Public_Comments. In order to be considered in development of the Trial Implementation version of the supplement, comments must be received by March 25, 2015.

This supplement describes changes to the existing technical framework documents and where indicated amends text by addition (bold underline) or removal (bold strikethrough), as well as addition of large new sections introduced by editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume:

Replace Section X.X by the following:

45 General information about IHE can be found at: www.ihe.net.

Information about the IHE Eye Care domain can be found at: ihe.net/IHE_Domains.

Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at: http://ihe.net/IHE_Process and http://ihe.net/Profiles.

50 The current version of the IHE Eye Care Technical Framework can be found at: http://ihe.net/Technical_Frameworks.
CONTENTS

Introduction to this Supplement ...................................................................................................... 5
Open Issues and Questions .............................................................................................................. 5
Closed Issues ................................................................................................................................. 5
General Introduction ....................................................................................................................... 6
Appendix A - Actor Summary Definitions ..................................................................................... 6
Appendix B - Transaction Summary Definitions ........................................................................... 6
Glossary .......................................................................................................................................... 6

Volume 1 – Profiles ....................................................................................................................... 7
Copyright Licenses ..................................................................................................................... 7
Domain-specific additions ......................................................................................................... 7
1.7 History of Annual Changes .................................................................................................. 7
2.2.7 Eye Care Patient Summary Record Content Profile ..................................................... 7
9 Eye Care Patient Summary Record (EC-PatSum) Content Profile ............................................. 8
9.1 EC-PatSum Actors, Transactions and Content Modules ..................................................... 8
9.1.1 Actor Descriptions and Actor Profile Requirements .................................................... 9
9.1.1.1 Content Creator .................................................................................................... 9
9.1.1.2 Content Consumer ............................................................................................. 9
9.2 EC-PatSum Actor Options ................................................................................................. 10
9.3 Required Actor Groupings ................................................................................................. 10
9.4 EC-PatSum Overview ........................................................................................................ 11
9.4.1 Concepts ..................................................................................................................... 11
9.4.2 Use Cases .................................................................................................................. 11
9.4.2.1 Use Case #1: Glaucoma ...................................................................................... 12
9.4.2.1.1 Glaucoma Use Case Description .................................................................. 12
9.4.2.2 Use case #2: Complete Transfer of Patient Care ............................................ 13
9.4.2.2.1 Complete Transfer of Care Description .................................................... 13
9.4.2.3 Use Case #3: Provider or practice migrates to a new EHR .............................. 13
9.4.2.3.1 Provider or practice migrates to new EHR Description............................ 13
9.4.2.4 Use Case #4: Sending or receiving EHR is not able to create or import discrete 85
data elements found in Eye Care CDAs ........................................................................... 13
9.4.2.4.1 Sending or receiving EHR is not able to create or import discrete data 13
elements found in Eye Care CDAs Description ......................................................... 13
9.5 EC-PatSum Security Considerations ................................................................................. 13
9.6 EC-PatSum Cross Profile Considerations .......................................................................... 14

Volume 2 – Transactions ............................................................................................................ 15
Volume 3 – Content Modules ..................................................................................................... 16
5 Namespaces and Vocabularies ................................................................................................ 17
6 Content Modules .................................................................................................................... 19
6.3 CDA Release 2 Content Modules ........................................................................................ 19
6.3.1 CDA Document Content Modules ................................................................................. 19
6.3.1.1 General Eye Evaluation (GEE) C-CDA Progress Note Document Content Module (1.3.6.1.4.1.19376.1.12.1.1.2) .............................................................. 19
6.3.1.2 General Eye Evaluation (GEE) C-CDA Consult Note Document Content Module (1.3.6.1.4.1.19376.1.12.1.1.3) .............................................................. 19
6.3.1.3 C-CDA CCD Eye Care Patient Summary Record Document Content Module (1.3.6.1.4.1.19376.1.12.1.1.xxx) ........................................................................ 19
   6.3.1.3.1 Parent Template .......................................................................................... 19
   6.3.1.3.2 Relationship to C-CDA ............................................................................... 20
   6.3.1.3.3 XDS Metadata Extensions for EC-PatSum ................................................ 20
   6.3.1.3.4 C-CDA CCD Eye Care Patient Summary Header Section ...................... 20
   6.3.1.3.5 C-CDA CCD Eye Care Patient Summary Specification ......................... 20
6.3.2 CDA Section Content Modules .................................................................................. 24
   6.3.2.20 Ocular Encounter Summary 1.3.6.1.4.1.19376.1.12.1.2.yyy .................. 24
Introduction to this Supplement

The Eye Care Patient Summary Record (EC-PatSum) describes the structure of data that is collected for a patient’s eye care summary medical record, generally for the purpose of transfer or referral of care to another provider.

This supplement is written as changes to the documents listed below. The reader should have already read and understood these documents:

1. IHE Eye Care Technical Framework Volume 1, Integration Profiles
2. IHE Eye Care Technical Framework Volume 2, Transactions

This supplement also references other documents1. The reader should have already read and understood these documents:

1. IHE Eye Care C-CDA based General Eye Evaluation – Trial Implementation
2. IT Infrastructure Technical Framework Volume 1
3. IT Infrastructure Technical Framework Volume 2
4. IT Infrastructure Technical Framework Volume 3
5. IHE Patient Care Coordination Technical Framework Volume 1
6. IHE Patient Care Coordination Technical Framework Volume 2
8. HL7 and other standards documents referenced in Volume 1 and Volume 2

Open Issues and Questions

None

Closed Issues

None

1 The first eight documents can be located on the IHE Website at http://ihe.net/Technical_Frameworks. The remaining documents can be obtained from their respective publishers.
General Introduction

Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.

Appendix A - Actor Summary Definitions

Add the following actors to the IHE Technical Frameworks General Introduction list of Actors:

No new actors.

Appendix B - Transaction Summary Definitions

Add the following transactions to the IHE Technical Frameworks General Introduction list of Transactions:

No new transactions.

Glossary

Add the following glossary terms to the IHE Technical Frameworks General Introduction Glossary:

No new glossary terms.
Volume 1 – Profiles

Copyright Licenses

165 NA

Domain-specific additions

NA

Add the following to Section 1.7

170 1.7 History of Annual Changes

Added a Content Profile that defines the structure of the data that is collected to capture a patient’s eye care summary medical record.

- Eye Care Patient Summary Record (EC-PatSum)

Add the following section to Section 2.2

2.2.7 Eye Care Patient Summary Record Content Profile

The Eye Care Patient Summary Record (EC-PatSum) consists of one content profile. This profile defines the structure of data that is collected for a patient’s eye care summary medical record, generally for the purpose of transfer or referral of care to another provider.

The United States Final Rule for Stage 2 of the EHR Incentive Program aka Meaningful Use (MU2) adopted the HL7 Consolidated CDA (C-CDA) Implementation Guide to exchange clinical documents (i.e., patient’s summary of care record, consultation notes, progress notes, etc.). The C-CDA defines specifications for many “general” medical sections such as medications, allergies, chief complaint, problems, and more. The Eye Care Patient Summary Record (EC-PatSum) Content Profile specifies many of the same applicable general sections as defined in MU2 and include sections specific to a patient’s eye care summary medical record.

IHE Eye Care has decided to create a content profile that is a superset of the summary based C-CDA specification selected for MU2. It is a superset of the C-CDA Continuity of Care (CCD) document.

Add Section 9
9 Eye Care Patient Summary Record (EC-PatSum) Content Profile

The Eye Care Patient Summary Record (EC-PatSum) consists of one content profile. This profile defines the structure of data that is collected for a patient’s eye care summary medical record, generally for the purpose of transfer or referral of care to another provider.

The United States Final Rule for Stage 2 of the EHR Incentive Program aka Meaningful Use (MU2) adopted the HL7 Consolidated CDA (C-CDA) Implementation Guide to exchange clinical documents (i.e., patient’s summary of care record, consultation notes, progress notes, etc.). The C-CDA defines specifications for many “general” medical sections such as medications, allergies, chief complaint, problems, and more. The Eye Care Patient Summary Record (EC-PatSum) Content Profile specifies many of the same applicable general sections as defined in MU2 in addition to sections specific to eye care.

IHE Eye Care has decided to create a content profile that is a superset of the summary based C-CDA specification selected for MU2. It is a superset of the C-CDA Continuity of Care (CCD) document.

Note: Access to DICOM data (i.e., images, measurements, reports) is very important for the patient summary record. This capability is not defined in this content profile but IHE Eye Care highly recommends that a DICOM CD be available for the patient.

9.1 EC-PatSum Actors, Transactions and Content Modules

This section defines the actors, transactions, and/or content modules in this profile. General definitions of actors are given in the Technical Frameworks General Introduction Appendix A at http://ihe.net/Technical_Frameworks/.

Figure 9.1-1 shows the actors directly involved in the EC-PatSum Profile and the direction that the content is exchanged.

A product implementation using this profile must group actors from this profile with actors from a workflow or transport profile to be functional. The grouping of the content module described in this profile to specific actors is described in more detail in the “Required Actor Groupings” section below.

There are two actors in this profile, the Content Creator and the Content Consumer. Content is created by a Content Creator and is consumed by a Content Consumer. The sharing or transmission of content from one actor to the other is addressed by the appropriate use of IHE profiles described below, and is out of scope of this profile. A Document Source or a Portable Media Creator may embody the Content Creator Actor. A Document Consumer, a Document Recipient or a Portable Media Importer may embody the Content Consumer Actor. The sharing or transmission of content or updates from one actor to the other is addressed by the use of appropriate IHE profiles described in the section on Content Bindings with XDS, XDM and XDR in PCC TF-2:4.1.
Table 9.1-1 lists the content module(s) defined in the EC-PatSum Profile. To claim support with this profile, an actor shall support all required content modules (labeled “R”) and may support optional content modules (labeled “O”).

<table>
<thead>
<tr>
<th>Actors</th>
<th>Content Modules</th>
<th>Optionality</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Content Creator</td>
<td>Eye Care Patient Summary Record 1.3.6.1.4.1.19376.1.12.1.1.xxx</td>
<td>R</td>
<td>EyeCare TF-3: 6.3.1.3</td>
</tr>
<tr>
<td>Content Consumer</td>
<td>Eye Care Patient Summary Record 1.3.6.1.4.1.19376.1.12.1.1.xxx</td>
<td>R</td>
<td>EyeCare TF-3: 6.3.1.3</td>
</tr>
</tbody>
</table>

9.1.1 Actor Descriptions and Actor Profile Requirements

Most requirements are documented in Transactions (Volume 2) and Content Modules (Volume 3). This section documents any additional requirements on profile’s actors.

9.1.1.1 Content Creator

1. A Content Creator shall be able to create an eye care patient summary document according to the C-CDA CCD Eye Care Patient Summary Record Document (1.3.6.1.4.1.19376.1.12.1.1.xxx) that is found in EYECARE TF-3 6.3.1.3.

9.1.1.2 Content Consumer

1. A Content Consumer shall be able to consume (receive and process) the C-CDA CCD Eye Care Patient Summary Record Document (1.3.6.1.4.1.19376.1.12.1.1.xxx) that is found in EYECARE TF-3 6.3.1.3.

2. A Content Consumer shall implement the View Option or Discrete Data Import Option, or both.

3. A Content Consumer that implements the Document Import or Section Import Option shall implement the View Option as well.
4. A Content Consumer that implements the View Option shall be able to:
   a. Demonstrate rendering of the document for display.
   b. Print the document.
   c. Display the document with its original style sheet.
   d. Support traversal of any links contained within the document.

5. A Content Consumer that implements the Document Import Option shall:
   a. Store the document.
   b. Demonstrate the ability to access the document again from that storage.

6. A Content Consumer that implements the Section Import Option shall offer a means to
   import one or more document sections into the patient record as free text.

7. A Content Consumer that implements the Discrete Data Import Option shall offer a
   means to import structured data from one or more sections of the document.

9.2 EC-PatSum Actor Options

Options that may be selected for each actor in this profile, if any, are listed in the Table 9.2-1.
Dependencies between options when applicable are specified in notes.

Table 9.2-1: Eye Care Patient Summary Record Options

<table>
<thead>
<tr>
<th>Actor</th>
<th>Option</th>
<th>Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>Content Consumer</td>
<td>View Option (See Section 8.3.1.2)</td>
<td>PCC TF-2: 3.1.1</td>
</tr>
<tr>
<td></td>
<td>Document Import Option (See Section 8.3.1.2 )</td>
<td>PCC TF-2: 3.1.2</td>
</tr>
<tr>
<td></td>
<td>Section Import Option (See Section 8.3.1.2 Note 1)</td>
<td>PCC TF-2: 3.1.3</td>
</tr>
<tr>
<td></td>
<td>Discrete Data Import Option (See Section 8.3.1.2 Note 1)</td>
<td>PCC TF-2: 3.1.4</td>
</tr>
<tr>
<td>Content Creator</td>
<td>Shall implement the C-CDA CCD Eye Care Patient Summary Document (1.3.6.1.4.1.19376.1.12.1.1.xxx).</td>
<td>EYECARE TF-3 6.3.1.3</td>
</tr>
</tbody>
</table>

9.3 Required Actor Groupings

This section describes the behaviors expected of the Content Creator and Content Consumer
actors of this profile when grouped with actors of other IHE profiles. No grouping rules are specified.

IHE Eye Care recommends that the Content Creator and Content Consumer support at least one
of the IHE and/or Direct Messaging exchange profiles.

- IHE XDS, IHE XDR, IHE XDM
- XDR and XDM for Direct Messaging, Version 1, Finalized 9 March 2011
9.4 EC-PatSum Overview

The Eye Care Patient Summary Record (EC-PatSum) consists of one content profile. This profile defines the structure of data that is collected for a patient’s eye care summary medical record. EC-PatSum is a customized extension of the C-CDA specifications chosen to align with MU2. This facilitates:

- Increasing interoperability with systems that chose to support MU2
- Reducing the burden on EHR systems that simultaneously support IHE Eye Care and MU2
- Easing the burden for organizations incorporating eye care patient summary records into their EHRs

Although EC-PatSum aligns with the summary based document specified by MU2 (i.e., Continuity of Care), IHE Eye Care does not specify whether or not systems support MU2. Vendors need to verify themselves if they are both MU2 and EC-PatSum compliant.

Note: Vendors are highly recommended to reference the MU2 requirements in order to determine compliance and cannot rely on IHE for this.

Vendors may create a C-CDA based Continuity of Care (CCD) document which can be used to satisfy MU2 and the IHE EC-PatSum requirements simultaneously. Thus, an ophthalmologist or optometrist may provide the patient with a patient summary record which simultaneously satisfies MU2 and contains sufficient eye care information to be useful. It is important for implementers to understand that they, and not IHE Eye Care, must take responsibility for MU2 compliance. IHE Eye Care EC-PatSum documents enable, but do not assure, MU2 compliance because optionality may differ from MU2 requirements. For example, vendors may choose to generate MU2 documents without the additional EC-PatSum information sections and also offer a MU2 document with the additional EC-PatSum information sections.

9.4.1 Concepts

9.4.2 Use Cases
varies widely and may be discrete and defined by existing terminology standards (e.g., visual acuity, intraocular pressure) or narrative and available only as free text (e.g., description of a lesion). After this data is collected the clinician will arrive at an assessment and management plan. All of this must be recorded in a fashion that will allow subsequent transfer across diverse information platforms without loss of content or meaning using existing standards and protocols. IHE defines the General Eye Evaluation (GEE) Content Profile to document these patient encounters.

During the course of a patient’s care, services may be needed by a variety of subspecialty providers. The patient may need to move his/her care in part or whole to another provider. The safe and effective transfer or referral of an eye care patient to another provider requires an appropriate summary of the eye care record. IHE defines the Eye Care Patient Summary Record (EC-PatSum) Content Profile to document this summary record.

By its nature, this summary does not include all the details from each individual patient encounter (GEE). Therefore, if the organization providing healthcare services wishes to consume all the patient encounter information, the patient’s encounter documents (GEE) should be transferred as well as the patient’s summary (EC-PatSum). The goal of this EC-PatSum is to provide key historical data that will satisfy the needs of most eye care providers for care of a new patient. The data in this summary document is not exhaustive, and may not satisfy the needs of all specialty providers. Nevertheless, the clinical summary is an important clinical tool for continuity of care, whether or not it is accompanied by all detailed patient encounter documentation. Even in the setting of ongoing care of a patient by a single provider, a succinct summary allows the provider to recognize in context important details that may otherwise be missed among the large volume of data in the medical record.

9.4.2.1 Use Case #1: Glaucoma

9.4.2.1.1 Glaucoma Use Case Description

Transfer of care for a glaucoma patient is especially dependent upon historical data. Determination of clinical targets for disease control requires careful review of multiple data points over time and in relationship to each other, such as intraocular pressure, cup/disc ratio, optic disc hemorrhages, visual field, retinal nerve fiber layer thickness, pachymetry, eye medications, adverse drug reactions, etc. Ability to quickly review of all of these data points in proper context would greatly facilitate patient safety and quality care by the receiving eye care practitioner. The data points used in glaucoma management are especially important to include in a patient’s summary of care. Even if documentation of every past patient encounter is included in the transfer of records, these data points can be difficult to find and evaluate if a succinct summary is not provided.
9.4.2.2 Use case #2: Complete Transfer of Patient Care

9.4.2.2.1 Complete Transfer of Care Description

When circumstances require a complete transfer in care from one provider to another, continuity of care can be possible only with a complete transfer of the patient’s medical data. There is no substitute for a comprehensive transfer of all patient encounter content accumulated during the course of the patient’s care by the transferring provider. However, a succinct summary of this information is still important to provide the receiving provider a proper understanding of the patient’s key status and needs.

9.4.2.3 Use Case #3: Provider or practice migrates to a new EHR

9.4.2.3.1 Provider or practice migrates to new EHR Description

The ophthalmic community has a large installed user base of a wide variety of EHRs. For reasons including evolving regulatory constraints, market influence, and personal preference providers are frequently choosing to migrate from one eye care EHR to another. Ideally a provider would want all of the data collected in his/her current EHR to migrate to the new one, but this has proven to be difficult and costly even when similar data elements are being stored by the various EHRs. The EC-PatSum Profile provides a data migration option for conforming eye care EHRs. The goal of this profile is to provide key data that will satisfy the needs of most eye care providers for ongoing care of their patients. The data in this summary document is not exhaustive, and may not satisfy the needs of all specialty providers when migrating from one EHR system to another.

9.4.2.4 Use Case #4: Sending or receiving EHR is not able to create or import discrete data elements found in Eye Care CDAs

9.4.2.4.1 Sending or receiving EHR is not able to create or import discrete data elements found in Eye Care CDAs Description

Various EHRs may conform to specifications for GEE or EC-PatSum profiles to different degrees. Some may be able to create or import every data element specified, and some may only be able to create or receive a viewable document. In the latter case the receiving EHR would only be able to receive and display the document, and would not be able to import the discrete data elements (e.g., intraocular pressure measurements over time) into the appropriate locations in its own database.

9.5 EC-PatSum Security Considerations

The security considerations for a content module are dependent upon the security provisions defined by the grouped actor(s).
9.6 EC-PatSum Cross Profile Considerations

A Content Creator in EC-PatSum might be grouped with a Content Creator to GEE so that it has the ability to generate a patient’s encounter document.

A Content Consumer in EC-PatSum might be grouped with a Content Consumer to GEE so that it has the ability to consume a patient’s encounter document.
Volume 2 – Transactions

NA
Volume 3 – Content Modules
5 Namespaces and Vocabularies

Add to Section 5 Namespaces and Vocabularies

<table>
<thead>
<tr>
<th>codeSystem</th>
<th>codeSystemName</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.3.6.1.4.1.19376.1.5.3.1</td>
<td>IHE PCC Template Identifiers</td>
<td>This is the root OID for all IHE PCC Templates. A list of PCC templates can be found in IHE PCC TF-2.6.3 (CDA Release 2.0 Content Modules).</td>
</tr>
<tr>
<td>2.16.840.1.113883.6.1</td>
<td>LOINC</td>
<td>Logical Observation Identifier Names and Codes</td>
</tr>
<tr>
<td>2.16.840.1.113883.6.96</td>
<td>SNOMED CT</td>
<td>SNOMED Controlled Terminology</td>
</tr>
<tr>
<td>1.2.840.10008.2.16.4</td>
<td>DCM</td>
<td>DICOM Controlled Terminology; PS 3.16 Content Mapping Resource, Annex D</td>
</tr>
<tr>
<td>1.3.6.1.4.1.19376.1.12.1</td>
<td>IHE Eye Care Template Identifiers</td>
<td>This is the root OID for all IHE Eye Care Templates.</td>
</tr>
<tr>
<td>1.3.6.1.4.1.19376.1.14.1</td>
<td>IHE Cardiology Template Identifiers</td>
<td>This is the root OID for all IHE Cardiology Templates.</td>
</tr>
</tbody>
</table>

Add to Section 5.1.1 IHE Format Codes

The table below lists the format codes, root template identifiers and media types used by the IHE Profiles specified in the Eye Care Technical Framework.

Note: The code system for these codes is 1.3.6.1.4.1.19376.1.2.3 as assigned by the ITI Domain for codes used for the purposes of cross-enterprise document sharing (XDS).

<table>
<thead>
<tr>
<th>Profile</th>
<th>Format Code</th>
<th>Media Type</th>
<th>Template ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Eye Evaluation (GEE) C-CDA Progress Note</td>
<td>urn:ihe:eyecare:geneyeevalpn:2014</td>
<td>text/xml</td>
<td>1.3.6.1.4.1.19376.1.12.1.1.2</td>
</tr>
<tr>
<td>General Eye Evaluation (GEE) C-CDA Consultation Note</td>
<td>urn:ihe:eyecare:geneyeevalcn:2014</td>
<td>text/xml</td>
<td>1.3.6.1.4.1.19376.1.12.1.1.3</td>
</tr>
<tr>
<td>C-CDA CCD Eye Care Patient Summary Record</td>
<td>urn:ihe:eyecare:patsum:2015</td>
<td>text/xml</td>
<td>1.3.6.1.4.1.19376.1.12.1.1.xxx</td>
</tr>
</tbody>
</table>

Add to Section 5.1.2 IHE ActCode Vocabulary

NA
Add to Section 5.1.3 IHE RoleCode Vocabulary

NA

Update Section 6
6 Content Modules

6.3 CDA Release 2 Content Modules

6.3.1 CDA Document Content Modules

6.3.1.1 General Eye Evaluation (GEE) C-CDA Progress Note Document Content Module (1.3.6.1.4.1.19376.1.12.1.1.2)

......

6.3.1.2 General Eye Evaluation (GEE) C-CDA Consult Note Document Content Module (1.3.6.1.4.1.19376.1.12.1.1.3)

......

6.3.1.3 C-CDA CCD Eye Care Patient Summary Record Document Content Module (1.3.6.1.4.1.19376.1.12.1.1.xxx)

The Eye Care Patient Summary Record (EC-PatSum) is a content profile that defines the structure of data that is collected during a patient’s eye care summary medical record. It is designed to be an extension to the C-CDA Continuity of Care (CCD) document.

1. The templateId/@root for conformance to this document SHALL be 1.3.1.4.1.19376.1.12.1.1.xxx to assert conformance to this template.


3. TheXDSDocumentEntry format code for this content SHALL be urn:ihe:eyecare:patsum:2015.

4. The mapping of CDA header attributes to XDS metadata SHALL be identical to the XDS-MS mapping specified in PCC TF-2: 4.1.1. EC-PatSum specific extensions are shown in Section 6.3.1.3.3.

6.3.1.3.1 Parent Template

The EC-PatSum clinical document is an extension to the C-CDA Continuity of Care (CCD) document. Therefore, the parent of this document template shall be:

1. C-CDA Continuity of Care “2.16.840.1.113883.10.20.22.1.2”

-CDA Progress Note "nt template shall be either:
  Consultation Note of the C-CDA specification. Therefore, the 191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191919191
6.3.1.3.2 Relationship to C-CDA

Some CDA sections and entries used within this EC-PatSum document are based on the HL7 Implementation Guide for CDA Release 2: IHE Health Story Consolidation, Release 1 DSTU (C-CDA) section and entry definitions. Specifically, it is a superset of the C-CDA Continuity of Care.

If there are no new or modified constraints for a section or entry or if only the value sets are constrained, then the definition of the section or entry is considered unchanged from the C-CDA definition and the C-CDA template ID will be used. These unchanged sections/entries are referenced directly to the C-CDA specification and are not included in this specification.

6.3.1.3.3 XDS Metadata Extensions for EC-PatSum

This section specifies extensions to the XDS metadata requirements defined by IHE ITI.

1. The XDSDocumentEntry classCode LOINC code for the class SHALL be 68887-9, “Ophthalmology Transfer Summary Note”.
2. The XDSDocumentEntry practiceSettingCode for this content SHALL be 394594003, SNOMED CT, “Ophthalmology”
3. The XDSDocumentEntry typeCode LOINC code for the typeCode SHALL be 28619-5, “Ophthalmology/Optometry Studies (set)”.
4. The XDSDocumentEntry typeCode code for the authorSpecialty SHALL use SNOMED CT to identify the specialty of the author.
   a. The following codes are provided to express the scope of this attribute; additional SNOMED CT codes MAY be used.

<table>
<thead>
<tr>
<th>SNOMED CT code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>422234006, SNOMED CT, Ophthalmologist (occupation)</td>
<td></td>
</tr>
<tr>
<td>28229004, SNOMED CT, Optometrist (occupation)</td>
<td></td>
</tr>
</tbody>
</table>

6.3.1.3.4 C-CDA CCD Eye Care Patient Summary Header Section


6.3.1.3.5 C-CDA CCD Eye Care Patient Summary Specification

The following table defines the Document Content specification requirements.
### Table 6.3.1.3.5-1: Eye Care Patient Summary Using C-CDA CCD Document Content Specification

<table>
<thead>
<tr>
<th>Template Name</th>
<th>OPT</th>
<th>Template Id</th>
<th>Additional Requirements or Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDA Header Modules</td>
<td>M [1..1]</td>
<td>See Section 6.3.1.3.4</td>
<td></td>
</tr>
<tr>
<td>Advanced Directive (entries optional)</td>
<td>O[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.21</td>
<td></td>
</tr>
<tr>
<td>Allergies (entries required)</td>
<td>R[1..1]</td>
<td>2.16.840.1.113883.10.20.22.2.6.1</td>
<td></td>
</tr>
<tr>
<td>Encounters (entries optional)</td>
<td>O[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.22</td>
<td></td>
</tr>
<tr>
<td>Encounters (entries required)</td>
<td>R[1..1]</td>
<td>2.16.840.1.113883.10.20.22.2.22.1</td>
<td>This section is not specified in the C-CDA CCD but required for EC-PatSum.</td>
</tr>
<tr>
<td>Family History</td>
<td>R[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.15</td>
<td>This section is optional in the C-CDA CCD but required for EC-PatSum.</td>
</tr>
<tr>
<td>Functional Status</td>
<td>R[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.14</td>
<td>This section is optional in the C-CDA CCD but required for EC-PatSum.</td>
</tr>
<tr>
<td>Immunizations (entries optional)</td>
<td>O[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.2</td>
<td></td>
</tr>
<tr>
<td>Medical Equipment</td>
<td>O[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.23</td>
<td></td>
</tr>
<tr>
<td>Medications (entries required)</td>
<td>R[1..1]</td>
<td>2.16.840.1.113883.10.20.22.2.1.1</td>
<td></td>
</tr>
<tr>
<td>Payers</td>
<td>O[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.18</td>
<td></td>
</tr>
<tr>
<td>Plan of Care</td>
<td>O[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.10</td>
<td></td>
</tr>
<tr>
<td>Problem (entries required)</td>
<td>R[1..1]</td>
<td>2.16.840.1.113883.10.20.22.2.5.1</td>
<td></td>
</tr>
<tr>
<td>Procedure (entries required)</td>
<td>R[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.7.1</td>
<td></td>
</tr>
<tr>
<td>Results (entries required)</td>
<td>R[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.3.1</td>
<td></td>
</tr>
<tr>
<td>Social History</td>
<td>R[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.17</td>
<td>This section is optional in the C-CDA CCD but required for EC-PatSum.</td>
</tr>
<tr>
<td>Vital Signs (entries optional)</td>
<td>O[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.4</td>
<td></td>
</tr>
<tr>
<td>Surgery Description</td>
<td>R[0..1]</td>
<td>2.16.840.1.113883.10.20.22.2.26</td>
<td>This section is expected to be an accumulated list of surgeries.</td>
</tr>
<tr>
<td>Coded List of Surgeries (accumulated list of surgeries)</td>
<td>O[0..1]</td>
<td>1.3.6.1.4.1.19376.1.5.3.1.3.12</td>
<td>This section is expected to be an accumulated list of surgeries.</td>
</tr>
<tr>
<td>Ocular History</td>
<td>R[0..1]</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.3</td>
<td>Note: Able to include a narrative description and coded Ocular Surgeries/Procedures, with dates, etc.</td>
</tr>
<tr>
<td>Ocular Encounters Summary</td>
<td>R[1..*]</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.yyy</td>
<td></td>
</tr>
</tbody>
</table>
Example XML Code

```xml
ClinicalDocument xmlns='urn:hl7-org:v3'>
  <typeId extension='POCD_HD000040' root='2.16.840.1.113883.1.3'/>
  <templateId root='2.16.840.1.113883.10.20.22.1.2'/>
  <templateId root='1.3.6.1.4.1.19376.1.12.1.1.xxx'/>
  <id root=' ' extension=' '/>
  <code code='68887-9' displayName='General eye evaluation'
       codeSystem='2.16.840.1.113883.6.1' codeSystemName='LOINC'/>
  <title> Ophthalmology Transfer Summary Note</title>
  <effectiveTime value='20151004012005'/>
  <confidentialityCode code='N' displayName='Normal'
                      codeSystem='2.16.840.1.113883.5.25' codeSystemName='Confidentiality' />
  <languageCode code='en-US'/>
  :;
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.21'/>
      <!-- Optional Advanced Directive Section content -->
    </section>
  </component>
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.6.1'/>
      <!-- Required Allergies Section content -->
    </section>
  </component>
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.22'/>
      <!-- Optional Encounters Section content -->
    </section>
  </component>
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.22.1'/>
      <!-- Required Encounters Section content -->
    </section>
  </component>
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.15'/>
      <!-- Required if known Family History Section content -->
    </section>
  </component>
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.14'/>
      <!-- Required if known Functional Status Section content -->
    </section>
  </component>
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.2'/>
      <!-- Optional Immunizations Section content -->
    </section>
  </component>
```

<section>
  <templateId root='2.16.840.1.113883.10.20.22.2.23'/>
  <!-- Optional Medical Equipment Section content -->
</section>

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.1.1'/>
    <!-- Required Medications Section content -->
  </section>
</component>

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.1.8'/>
    <!-- Optional Payers Section content -->
  </section>
</component>

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.1.10'/>
    <!-- Optional Plan of Care Section content -->
  </section>
</component>

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.5.1'/>
    <!-- Required Problems Section content -->
  </section>
</component>

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.7.1'/>
    <!-- Required if Known Procedure Section content -->
  </section>
</component>

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.3.1'/>
    <!-- Required if Known Results Section content -->
  </section>
</component>

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.17'/>
    <!-- Required if known Social History Section content -->
  </section>
</component>
6.3.2 CDA Section Content Modules

6.3.2.20 Ocular Encounter Summary 1.3.6.1.4.1.19376.1.12.1.2.yyy

<table>
<thead>
<tr>
<th>Template ID</th>
<th>1.3.6.1.4.1.19376.1.12.1.2.yyy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parent Template</td>
<td>General Description</td>
</tr>
<tr>
<td>xx-xxxx-LOINC</td>
<td>The ocular encounter section shall contain summary information from patient’s eye care encounters.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section Code</th>
<th>Specification Document</th>
<th>Constraint</th>
</tr>
</thead>
<tbody>
<tr>
<td>x-x-x-x-xxx</td>
<td>“Ocular Encounter Summary”</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Opt</th>
<th>Data Element or Section Name</th>
<th>Template ID</th>
<th>Specification Document</th>
<th>Constraint</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Subsections
<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>OID</th>
<th>HL7 C-CDA</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>C[1..1]</td>
<td>Assessment and Plan</td>
<td>2.16.840.1.113883.10.20.22.2.9</td>
<td>HL7 C-CDA</td>
<td>Shall include an Assessment and Plan Section or an Assessment Section and a Plan Section.</td>
</tr>
<tr>
<td>C[1..1]</td>
<td>Assessment</td>
<td>2.16.840.1.113883.10.20.22.2.8</td>
<td>HL7 C-CDA</td>
<td>Shall include an Assessment and Plan Section or an Assessment Section and a Plan Section.</td>
</tr>
<tr>
<td>C[1..1]</td>
<td>Plan of Care</td>
<td>2.16.840.1.113883.10.20.22.2.10</td>
<td>HL7 C-CDA</td>
<td>Shall include an Assessment and Plan Section or an Assessment Section and a Plan Section.</td>
</tr>
<tr>
<td>R[0..1]</td>
<td>Intraocular Pressure</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.2</td>
<td>EYECARE TF-2: 6.3.2.11</td>
<td>If Known, shall contain one set of Visual Acuity fields based upon the following observation/code priority list: 1. 419775003, SNOMED CT, Best Corrected Visual Acuity 2. 111686, DCM, Habitual Visual Acuity 3. 420050001, SNOMED CT, Uncorrected Visual Acuity If the observation/code is not one of the above lists, this section shall not be included.</td>
</tr>
<tr>
<td>R[0..1]</td>
<td>Refractive Measurements</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.9</td>
<td>EYECARE TF-2: 6.3.2.9</td>
<td>If Known, shall contain one set of Visual Acuity fields based upon the following observation/code priority list: 1. 419775003, SNOMED CT, Best Corrected Visual Acuity 2. 111686, DCM, Habitual Visual Acuity 3. 420050001, SNOMED CT, Uncorrected Visual Acuity If the observation/code is not one of the above lists, this section shall not be included.</td>
</tr>
<tr>
<td>R[0..1]</td>
<td>Lensometry Measurements</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.10</td>
<td>EYECARE TF-2: 6.3.2.10</td>
<td>If Known, shall contain one set of Visual Acuity fields based upon the following observation/code priority list: 1. 419775003, SNOMED CT, Best Corrected Visual Acuity 2. 111686, DCM, Habitual Visual Acuity 3. 420050001, SNOMED CT, Uncorrected Visual Acuity If the observation/code is not one of the above lists, this section shall not be included.</td>
</tr>
<tr>
<td>R[0..1]</td>
<td>Ophthalmic Medications</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.4</td>
<td>EYECARE TF-2: 6.3.2.4</td>
<td>If Known, shall contain one set of Visual Acuity fields based upon the following observation/code priority list: 1. 419775003, SNOMED CT, Best Corrected Visual Acuity 2. 111686, DCM, Habitual Visual Acuity 3. 420050001, SNOMED CT, Uncorrected Visual Acuity If the observation/code is not one of the above lists, this section shall not be included.</td>
</tr>
<tr>
<td>R[0..1]</td>
<td>Visual Acuity</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.7</td>
<td>EYECARE TF-2: 6.3.2.8</td>
<td>If Known, shall contain one set of Visual Acuity fields based upon the following observation/code priority list: 1. 419775003, SNOMED CT, Best Corrected Visual Acuity 2. 111686, DCM, Habitual Visual Acuity 3. 420050001, SNOMED CT, Uncorrected Visual Acuity If the observation/code is not one of the above lists, this section shall not be included.</td>
</tr>
<tr>
<td>R[0..1]</td>
<td>Posterior Segment</td>
<td>1.3.6.1.4.1.19376.1.12.1.2.18</td>
<td>EYECARE TF-2: 6.3.2.17</td>
<td>If Known, shall be included if the observation/code contains one or more of the following values: 637369018, SNOMED CT, Optic cup/disc ratio observable, 370937003, SNOMED CT, Vertical cup/disc ratio observable, 370938008, SNOMED CT, Horizontal cup/disc ratio observable</td>
</tr>
</tbody>
</table>
Example XML Code

```
<component>
  <section>
    <templateId root='1.3.6.1.4.1.19376.1.12.1.2.yyy'/>
    <id root=' ' extension=' '/>
    <code code='70934-5' displayName='Ocular history' codeSystem='2.16.840.1.113883.6.1' codeSystemName='LOINC'/>
    <text>
      Text as described above
    </text>
  </section>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.9'/>
      <!-- Conditional Assessment and Plan Section content -->
    </section>
  </component>
  <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.22.2.8'/>
      <!-- Conditional Assessment Section content -->
    </section>
  </component>
```

<component>
  <section>
    <templateId root='2.16.840.1.113883.10.20.22.2.10'/>
  <!-- Conditional Plan of Care Section content -->
</section>
</component>

<component>
  <section>
    <templateId root='1.3.6.1.4.1.19376.1.12.1.2.2'/>
  <!-- Required if Known Intraocular Pressure Section content -->
</section>
</component>

<component>
  <section>
    <templateId root='1.3.6.1.4.1.19376.1.12.1.2.9'/>
  <!-- Required if Known Refractive Measurements Section content -->
</section>
</component>

<component>
  <section>
    <templateId root='1.3.6.1.4.1.19376.1.12.1.2.10'/>
  <!-- Required if Known Lensometry Measurements Section content -->
</section>
</component>

<component>
  <section>
    <templateId root='1.3.6.1.4.1.19376.1.12.1.2.4'/>
  <!-- Required if Known Ophthalmic Medications Section content -->
</section>
</component>

<component>
  <section>
    <templateId root='1.3.6.1.4.1.19376.1.12.1.2.7'/>
  <!-- Required if Known Visual Acuity Section content -->
</section>
</component>

<component>
  <section>
    <templateId root='1.3.6.1.4.1.19376.1.12.1.2.18'/>
  <!-- Required if Known Posterior Segment Section content -->
</section>
</component>

</section>
</component>