

# Integrating the Healthcare Enterprise



5

## **IHE Pharmacy Technical Framework Supplement**

10

### **Pharmacy Prescription (PRE)**

15

### **Trial Implementation**

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## Foreword

25 This is a supplement to the forthcoming IHE Pharmacy Technical Framework. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is submitted for Trial Implementation as of September 27, 2012 and will be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the forthcoming  
30 Pharmacy Technical Framework. Comments are invited and may be submitted at <http://www.ihe.net/pharmacy/pharmacycomments.cfm> or by email to [pharmacy@ihe.net](mailto:pharmacy@ihe.net).

This supplement introduces a new forthcoming technical framework and where indicated amends text by addition (**bold underline**) or removal (**~~bold strikethrough~~**), as well as addition of large new sections introduced by editor’s instructions to “add new text” or similar, which for  
35 readability are not bolded or underlined. “Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume:

|  |
|--|
| <i>Replace Section X.X by the following:</i> |
|--|

40

General information about IHE can be found at: [www.ihe.net](http://www.ihe.net)

Information about the IHE Pharmacy domain can be found at:  
<http://www.ihe.net/Domains/index.cfm>

45 Information about the structure of IHE Technical Frameworks and Supplements can be found at:  
<http://www.ihe.net/About/process.cfm> and <http://www.ihe.net/profiles/index.cfm>

The current version of IHE Technical Frameworks can be found at:  
[http://www.ihe.net/Technical\\_Framework/index.cfm](http://www.ihe.net/Technical_Framework/index.cfm)

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## Introduction

95 The Pharmacy Prescription Document Profile (PRE) describes the content and format of a prescription document generated during the process in which a health care professional (in most cases, but not necessarily always, a medical specialist or a general practitioner) decides that the patient needs medication. A prescription is an entity that can be seen as an order to anyone entitled to dispense (prepare and hand out) medication to the patient.

100 Documents created according to this profile are intended to be used in the context of the “Community Prescription and Dispense” Integration Profile (CMPD). This supplement also references other documents<sup>1</sup>. The reader should have already read and understood these documents:

1. [PHARM Common parts document](#)
2. [PHARM Community Prescription and Dispense Integration Profile \(CMPD\)](#)
3. [PCC Technical Framework Volume 1](#)
- 105 4. [PCC Technical Framework Volume 2](#)
5. [IT Infrastructure Technical Framework Volume 1](#)
6. [IT Infrastructure Technical Framework Volume 2](#)
7. [IT Infrastructure Technical Framework Volume 3](#)
8. HL7 and other standards documents referenced in this document

110

## Open Issues and Questions

- Prescription of non-medication products": shall they be covered by this Profile?
- Prescription Section Content Module: It is still in discussion, if it's allowed to state the CCD template as “parent”, or if we have to weaken it to “derived from”.

115

## Closed Issues

- Substitution Handling: Evaluation if incorporating parts of the HL7 COCT\_RM360000UV structure to provide a semantically better solution than now. -> The structure has been corrected in accordance with HL7 (see CP-PHARM-005\_v2)

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<sup>1</sup> The first seven documents can be located on the IHE Website at [http://www.ihe.net/Technical\\_Framework/index.cfm](http://www.ihe.net/Technical_Framework/index.cfm). The remaining documents can be obtained from their respective publishers.

120

## Volume 1 – Profiles

*Add the following to section 1.n*

### 1.n Copyright Permissions

125 Health Level Seven, Inc., has granted permission to the IHE to reproduce tables from the HL7 standard. The HL7 tables in this document are copyrighted by Health Level Seven, Inc. All rights reserved. Material drawn from these documents is credited where used.

*Add the following to section 2.5*

### 2.5 Dependencies of the Pharmacy Integration Profiles

|                             |     |                    |  |
|-----------------------------|-----|--------------------|--|
| Pharmacy Prescription (PRE) | PCC | Content definition | This profile includes Section and Entry Content Modules of the Patient Care Coordination (PCC) domain. |
|-----------------------------|-----|--------------------|--|

130

*Add the following to section 2.7*

### 2.7 History of Annual Changes

*Add Section 3*

### 3 Pharmacy Prescription Content Profile

- 135 The Pharmacy Prescription Document Profile (PRE) describes the content and format of a prescription document generated during the process in which a health care professional (in most cases, but not necessarily always, a medical specialist or a general practitioner) decides that the patient needs medication. A prescription is an entity that can be seen as an order to anyone entitled to dispense (prepare and hand out) medication to the patient.
- 140 Documents created according to this profile are intended to be used in the context of the “Community Prescription and Dispense” Integration Profile (CMPD).

#### 3.1 Purpose and Scope

- 145 The Community Pharmacy Prescription and Dispense workflow starts with the creation of a prescription in case the health care professional decides that the patient needs medication.
- A prescription document is issued by one ordering healthcare professional for one patient, in the context of zero or one administrative encounter (between the patient and the ordering physician and/or the healthcare institution). A prescription may contain one or more Prescription Items (lines on a paper prescription). Each line relates to one medication. A prescription is the outcome
- 150 of a clinical decision.
- This profile defines the content and format of such a prescription document.
- For a detailed overview on the whole Pharmacy domain business processes, please refer to the “Common parts” document, which is accompanying this profile.

155

## 3.2 Process Flow

### 3.2.1 Use Case 1: Placing a prescription

160 During treatment of a patient, physicians or other allowed persons may have to prescribe drugs for the patient. The prescription shall contain one or more positions (Prescription Items) which contain the drug identified by a medication identifier, the dosing as well as other information necessary for correct dispensing and administering by the patient.

165 Usually the physician uses the prescribing module in the physician information system for preparing the prescription. After the prescription is completely assembled it shall be submitted to the Community Pharmacy Prescription and Dispense system to be validated and dispensed.

Refer to the Community Pharmacy Prescription and Dispense Integration Profile (CMPD) for detailed use case information.

## 3.3 Actors/Transactions

170 There are two actors in this profile, the Content Creator and the Content Consumer. Content is created by a Content Creator and is to be consumed by a Content Consumer. The sharing or transmission of content from one actor to the other is addressed by the appropriate use of IHE profiles described below, and is out of scope of this profile. A Document Source or a Portable Media Creator may embody the Content Creator Actor. A Document Consumer, a Document Recipient or a Portable Media Importer may embody the Content Consumer Actor.

175 The sharing or transmission of content or updates from one actor to the other is addressed by the use of appropriate IHE profiles described in the section on Content Bindings with XDS, XDM and XDR in PCC TF\_2:4.1



180

**Figure 3.3-1: Actor Diagram**

185

### 3.4 Options

Options that may be selected for this Content Profile are listed in table 3.4-1 along with the Actors to which they apply. Dependencies between options when applicable are specified in notes.

190

**Table 3.4-1: Pharmacy Prescription Actors and Options**

| Actor            | Option                                   | Section         |
|------------------|--|-----------------|
| Content Consumer | View Option (See Note 1)                 | PCC TF-2: 3.1.1 |
|                  | Document Import Option (See Note 1)      | PCC TF-2: 3.1.2 |
|                  | Section Import Option (See Note 1)       | PCC TF-2: 3.1.3 |
|                  | Discrete Data Import Option (See Note 1) | PCC TF-2: 3.1.4 |
| Content Creator  | No options defined                       |                 |

Note 1: The Actor shall support at least one of these options.

### 3.5 Groupings

Content profiles may impose additional requirements on the transactions used when grouped with actors from other IHE Profiles.

195

#### 3.5.1 Community Pharmacy Prescription and Dispense

Actors from the Pharmacy CMPD profile embody the Content Creator and Content Consumer sharing function of this profile. A Content Creator or Content Consumer may be grouped with appropriate actors from the XDS, XDM or XDR profiles to exchange the content described therein. The metadata sent in the document sharing or interchange messages has specific relationships or dependencies (which we call bindings) to the content of the clinical document described in the content profile.

200

The Patient Care Coordination Technical Framework defines the bindings to use when grouping the Content Creator of this Profile with actors from the Pharmacy CMPD Integration Profiles.

### 3.6 Security Considerations

205

The PRE Integration Profile assumes that a minimum security and privacy environment has been established across all participants. There must exist security policies regarding the use of training, agreements, risk management, business continuity and network security that need to be already in place prior to the implementation of PRE.

The IHE ITI ATNA Integration Profile is required of the actors involved in the IHE transactions specified in this profile to protect node-to-node communication and to produce an audit trail of the PHI related actions when they exchange messages.

210

In addition, the IHE ITI DSG Integration Profiles can be applied to the actors involved in the transactions specified in this profile to securely identify individuals involved in transactions and verify document integrity and authorizations (DSG).

215



Interested parties should also read the detailed Security Considerations sections provided for each of the aforementioned profiles in the IHE ITI Technical Framework and its supplements.

The PRE profile does have a few security considerations of its own.

220 Pharmacy systems should be thoughtfully designed so that providers are able to review and verify information before it is imported into their Pharmacy system, and that positive user acknowledgements are made before import, and audit trails are recorded when imports occur.

Imported information should be traceable both to the source Pharmacy system, and the receiver that accepted it into the Pharmacy system. XDS Affinity domain policies should support policies and procedures for tracing information flows between Pharmacy systems.

225 Because the information being transferred is in XML, it will be common that different Pharmacy systems utilize different transformations to render the contents into human readable form. A Content Creator should make available the transforms used by the sending provider to review the documents, and a Content Consumer must support rendering the information as seen by the sending provider, allowing both providers to see what was sent in its original rendered form.

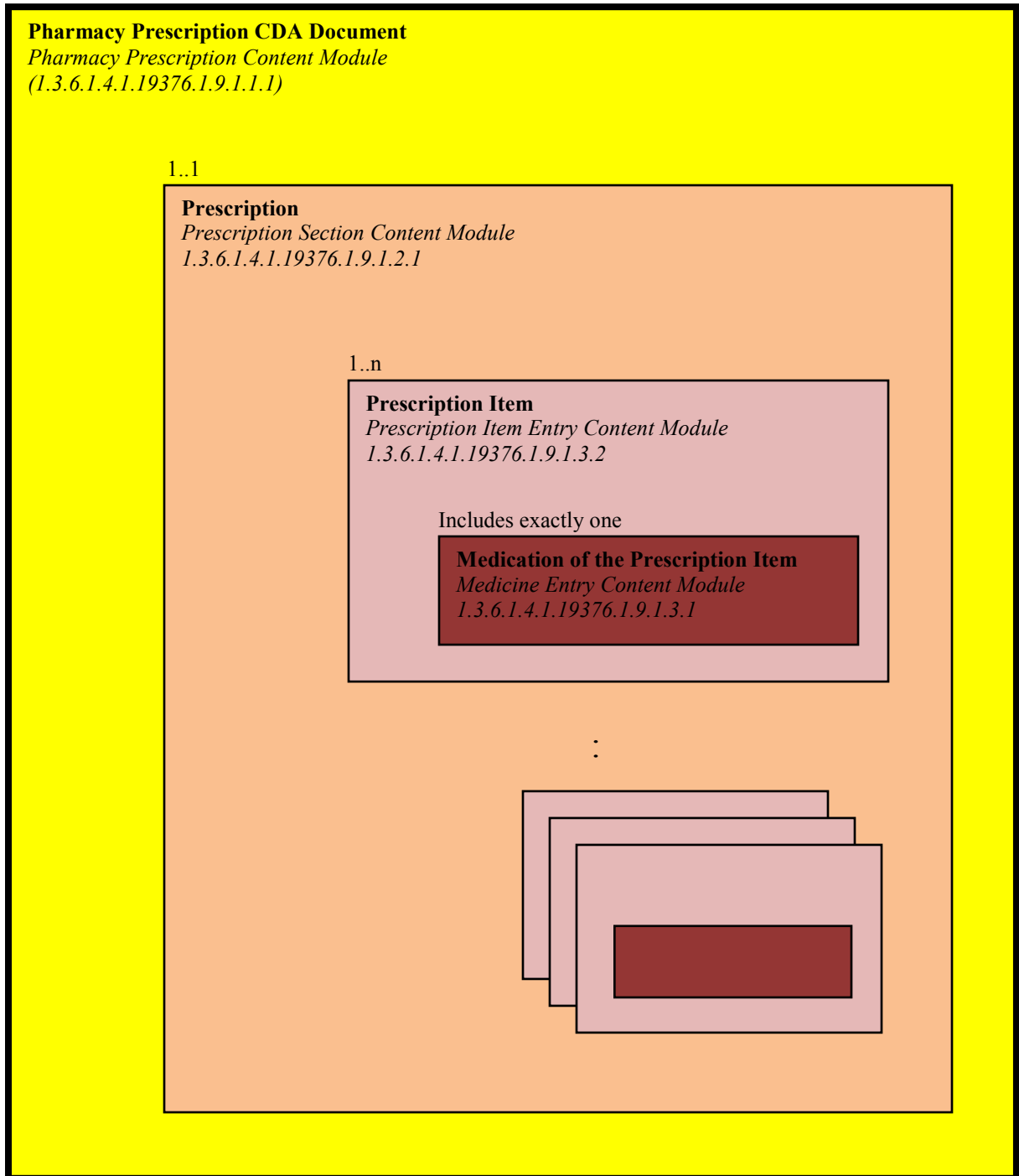
### 230 **3.7 Content Modules**

Content modules describe the content of a payload found in an IHE transaction. Content profiles are transaction neutral. They do not have dependencies upon the transaction that they appear in. These dependencies are reflected in the Bindings listed above.

235 All Pharmacy Prescriptions shall be structured and coded as required by the Pharmacy Prescription Document Content Module described in this profile. The inclusion of the specific coded attributes explicitly defined as optional, may be supported by specific implementations of Document Sources using an IHE identified coded terminology of their choice. The requirements and manner in which implementations support such capabilities is beyond the scope of this Integration Profile.

240

### 3.7.1 Structure of a Pharmacy Prescription Document



## Glossary

*Add the following terms to the Glossary:*

### **ICA**

250 ICAs are Intolerances, Contra-indications and Allergies. An ICA may be considered as a relationship between a Patient and a Medicine. A detected problem in a Pharmaceutical Advice may refer to an ICA.

### **Medication Dispenser**

255 In the domain of community pharmacy a Medication Dispenser is an abstract actor which dispenses prescribed medication to a patient (generally a healthcare professional, usually a pharmacist when the patient enters the pharmacy to get the prescribed medication).

### **Medication**

260 A medication is part of a Prescription Item and defines the actual prescribed drug. It contains the brand or generic name of the drug, national and/or regional drug codes, unit strength, active ingredients and packaging information.

### **Pharmaceutical Adviser**

A Pharmaceutical Adviser is an abstract actor which validates Prescription Items issued on a prescription (generally a healthcare professional, usually a pharmacist when the patient enters the pharmacy to get the prescribed medication).

265 **Prescriber**

A prescriber is an abstract actor who issues a prescription to a patient (generally a healthcare professional, usually a physician during treatment of a patient).

### **Prescription**

270 A prescription is issued by one ordering healthcare professional for one patient, in the context of zero or one administrative encounter (between the patient and the ordering physician and/or the healthcare institution).

### **Prescription Item**

275 A Prescription Item belongs to one prescription and represents one prescribed medication. It may be associated with one or more observations. Prescription Item is the atomic entity for logistics, distribution and billing.

## Volume 3 – Content Modules

### 5.0 Namespaces and Vocabularies

| codeSystem                | codeSystemName                  | Description  |
|---------------------------|---------------------------------|--|
| 1.3.6.1.4.1.19376.1.9     | IHE Pharmacy Object Identifiers | This is the root OID for all IHE Pharmacy objects        |
| 1.3.6.1.4.1.19376.1.5.3.4 |                                 | Namespace OID used for IHE Extensions to CDA Release 2.0 |

280 See also the Namespaces and Vocabularies of the IHE PCC Technical Framework ([PCC-TF2/Namespaces and Vocabularies](#)).

### 5.1 IHE Format Codes

| Profile                     | Format Code            | Media Type | Template ID                 |
|-----------------------------|------------------------|------------|-----------------------------|
| <b>2010 Profiles</b>        |                        |            |                             |
| Pharmacy Prescription (PRE) | urn:ihe:pharm:pre:2010 | text/xml   | 1.3.6.1.4.1.19376.1.9.1.1.1 |

285

## 6.0 Pharmacy Content Modules

### 6.3 HL7 Version 3.0 Content Modules

#### 6.3.1 CDA Document Content Modules

*Add section 6.3.1.1*

#### 290 6.3.1.1 Pharmacy Prescription Specification 1.3.6.1.4.1.19376.1.9.1.1.1

This section defines the base set of constraints used by almost all medical document profiles described the PCC Technical Framework.

| Structure                  | Pharmacy Prescription                       |
|----------------------------|---|
| Format Code                | urn:ihe:pharm:pre:2010                      |
| LOINC Code                 | 57833-6 (Prescriptions)                     |
| Document Template ID       | 1.3.6.1.4.1.19376.1.9.1.1.1                 |
| Section name / template ID | Prescription<br>1.3.6.1.4.1.19376.1.9.1.2.1 |

| Structure                                    | Pharmacy Prescription  |
|--|--|
| Entry name / template ID                     | Prescription Item<br>1.3.6.1.4.1.19376.1.9.1.3.2               |
| Medicine Content Entry<br>Module template ID | Medication of Prescription Item<br>1.3.6.1.4.1.19376.1.9.1.3.1 |

### 6.3.1.1.1 Format Code

295 The XDSDocumentEntry format code for this content is **urn:ihe:pharm:pre:2010**.

### 6.3.1.1.2 Parent Template

This document is an instance of the [Medical Document](#) template.

### 6.3.1.1.3 Standards

|                     |   |
|---------------------|---|
| <b>HL7V3 NE2009</b> | <a href="#">HL7 V3 2009 Normative Edition</a>               |
| <b>CDAR2</b>        | <a href="#">HL7 CDA Release 2.0</a>                         |
| <b>CCD</b>          | <a href="#">ASTM/HL7 Continuity of Care Document</a>        |
| <b>XMLXSL</b>       | <a href="#">Associating Style Sheets with XML documents</a> |

### 6.3.1.1.4 Data Element Index

| Data Elements                       | CDA Release 2.0   |
|-------------------------------------|---|
| <b>Patient Information</b>          | recordTarget/patientRole                                  |
| Patient Administrative Identifiers  | recordTarget/patientRole/id                               |
| Patient Name                        | recordTarget/patientRole/patient/name                     |
| Patient Gender                      | recordTarget/patientRole/patient/administrativeGenderCode |
| Patient Birth Date                  | recordTarget/patientRole/patient/birthTime                |
| Patient Address                     | recordTarget/patientRole/addr                             |
| Patient Telecom                     | recordTarget/patientRole/telecom                          |
| <b>HCP Person Information</b>       | author  |
| HCP ID(s)                           | author/assignedAuthor/id                                  |
| HCP Profession                      | author/functionCode                                       |
| HCP Name                            | author/assignedAuthor/assignedPerson/name                 |
| HCP Address                         | author/assignedAuthor/addr                                |
| HCP Telecom                         | author/assignedAuthor/telecom                             |
| HCP Specialty                       | author/assignedAuthor/code                                |
| <b>HCP Represented Organization</b> | author/assignedAuthor/representedOrganization             |
| HCP Organization Name               | author/assignedAuthor/representedOrganization/name        |
| HCP Organization Address            | author/assignedAuthor/representedOrganization/addr        |
| HCP Organization Telecom            | author/assignedAuthor/representedOrganization/telecom     |

| Data Elements  | CDA Release 2.0                                      |
|--|--|
| <b>Service Event<sup>2</sup></b>                           | documentationOf/serviceEvent                         |
| Date of Service Event                                      | documentationOf/serviceEvent/effectiveTime           |
| Service Event Code   | documentationOf/serviceEvent/code                    |
| <b>Encounter in the healthcare institution<sup>3</sup></b> | componentOf/encompassingEncounter                    |
| ID of the encounter  | componentOf/encompassingEncounter/id                 |
| Date of Admission/Encounter start date                     | componentOf/encompassingEncounter/effectiveTime/low  |
| Date of Discharge/Encounter end date                       | componentOf/encompassingEncounter/effectiveTime/high |
| Authorization  | authorization/consent                                |
| Patient contacts   | guardian   |
| Payers   | PAYMENT SOURCES                                      |
| General Medical Information<br>Height, Weight              | VITAL SIGNS  |
| Allergies and Drug Sensitivities                           | ALLERGIES, ADVERSE REACTIONS, ALERTS                 |
| Active Problems  | PROBLEM LIST   |
| Resolved Problems  | HISTORY OF PAST ILLNESS                              |
| Immunizations  | HISTORY OF IMMUNIZATIONS                             |
| Pregnancy History  | HISTORY OF PREGNANCIES                               |
| Prescription   | HISTORY OF MEDICATION USE                            |

300

### 6.3.1.1.5 Data Element Specification

| Data Element Name   | Opt | Template ID                   |
|---|-----|-------------------------------|
| Patient Information<br>Name<br>Personal Identification<br>Gender<br>Date of Birth<br><br>HCP Person Information<br>Name<br>Address<br>HCP Identification<br>Profession<br>Specialty | R   | 1.3.6.1.4.1.19376.1.5.3.1.1.1 |

<sup>2</sup> Service Event is optional and may contain service event information of the medical event in which context the prescription has been taken.

<sup>3</sup> Encounter is optional and shall contain encounter information if applicable.

| Data Element Name  | Opt            | Template ID                       |
|--|----------------|-----------------------------------|
| HCP Organization Information<br>Name<br>Address<br>Organization Identifier<br>Contact Information  |                |                                   |
| Patient Information<br>Address<br>Contact Information  | R2             | 1.3.6.1.4.1.19376.1.5.3.1.1.1     |
| Patient Information<br>Marital Status<br>Race<br>Ethnicity<br>Religious Affiliation<br><br>HCP Person Information<br>Contact Information | O              | 1.3.6.1.4.1.19376.1.5.3.1.1.1     |
| <a href="#">Authorization</a>  | R2             | 1.3.6.1.4.1.19376.1.5.3.1.2.5     |
| <a href="#">Patient Contacts</a>   | O <sup>4</sup> | 1.3.6.1.4.1.19376.1.5.3.1.2.4     |
| <a href="#">Payers</a>   | R2             | 1.3.6.1.4.1.19376.1.5.3.1.1.5.3.7 |
| <a href="#">Coded Vital Signs</a>  | O <sup>5</sup> | 1.3.6.1.4.1.19376.1.5.3.1.1.5.3.2 |
| <a href="#">Allergies and Drug Sensitivities</a>   | O              | 1.3.6.1.4.1.19376.1.5.3.1.3.13    |
| <a href="#">Active Problems</a>  | O              | 1.3.6.1.4.1.19376.1.5.3.1.3.6     |
| <a href="#">Resolved Problems</a>  | O              | 1.3.6.1.4.1.19376.1.5.3.1.3.8     |
| <a href="#">Immunizations</a>  | O              | 1.3.6.1.4.1.19376.1.5.3.1.3.23    |
| <a href="#">Pregnancy History</a>  | O <sup>6</sup> | 1.3.6.1.4.1.19376.1.5.3.1.1.5.3.4 |
| <a href="#">Prescription</a>   | R              | 1.3.6.1.4.1.19376.1.9.1.2.1       |

Additional explanation:

305 The sections “Coded Vital Signs”, “Allergies and Drug Sensitives”, “Active Problems”, “Resolved Problems”, “Immunizations”, “Pregnancy History” are considered as sections containing medical information of the patient.

<sup>4</sup> In case the patient is governed by a guardian, this element is R and shall contain the information about the guardian

<sup>5</sup> The Coded Vital Signs section should contain at least the height and weight of the patient.

<sup>6</sup> In case the patient is currently pregnant, this element is R and shall contain information about the current pregnancy. It shall not be used to document past pregnancies.

Although real-world projects may require some of these information, no stricter constraints as optional (O) could be applied to these sections in the profile due to the large degree of diversity in business requirements and privacy issues among different current.

### 310 **6.3.1.1.6 Conformance**

CDA Release 2.0 documents that conform to the requirements of this content module shall indicate their conformance by the inclusion of the appropriate <templateId> elements in the header of the document. This is shown in the sample document below. A CDA Document may conform to more than one template. This content module inherits from the Medical Summary content module, and so must conform to the requirements of that template as well, thus all <templateId> elements shown in the example below shall be included.

```

320 <ClinicalDocument xmlns='urn:hl7-org:v3'>
  <typeId extension="POCD_HD000040" root="2.16.840.1.113883.1.3"/>
  <templateId root='1.3.6.1.4.1.19376.1.5.3.1.1.1' />
  <templateId root='1.3.6.1.4.1.19376.1.9.1.1.1' />
  <id root=' ' extension=' ' />
  <code code='57833-6' displayName='Prescription for medication'
    codeSystem='2.16.840.1.113883.6.1' codeSystemName='LOINC' />
325 <title>Pharmacy Prescription</title>
  <effectiveTime value='20100719012005' />
  <confidentialityCode code='N' displayName='Normal'
    codeSystem='2.16.840.1.113883.5.25' codeSystemName='Confidentiality' />
  <languageCode code='en-US' />
330   :
  <component>
    <structuredBody>
      :
    </structuredBody>
335 </component>
</ClinicalDocument>

```

## **6.3.2 CDA Header Content Modules**

## **6.3.3 CDA Section Content Modules**

340 *Add section 6.3.3.1*



### 6.3.3.1 Prescription Section Content Module (1.3.6.1.4.1.19376.1.9.1.2.1)

|                             |  |  |
|-----------------------------|--|--|
| <b>Template ID</b>          | 1.3.6.1.4.1.19376.1.9.1.2.1  |  |
| <b>Parent Template</b>      | CCD 3.9 (2.16.840.1.113883.10.20.1.8)<br>1.3.6.1.4.1.19376.1.5.3.1.3.19  |  |
| <b>General Description</b>  | The prescription section shall contain a description of the medications in a given prescription for the patient. It shall include entries for each Prescription Item as described in the Prescription Item Entry Content Module. |  |
| <b>LOINC Code</b>           | <b>Opt</b>   | <b>Description</b>                     |
| 57828-6                     | R  | PRESCRIPTIONS                          |
| <b>Entries</b>              | <b>Opt</b>   | <b>Description</b>                     |
| 1.3.6.1.4.1.19376.1.9.1.3.2 | R  | Prescription Item Entry Content Module |

```

345 <component>
    <section>
      <templateId root='2.16.840.1.113883.10.20.1.8' />
      <templateId root='1.3.6.1.4.1.19376.1.5.3.1.3.19' />
      <templateId root='1.3.6.1.4.1.19376.1.9.1.2.1' />
350 <!-- The section ID is the Prescription ID -->
      <id root=' ' extension=' ' />
      <code code='57828-6' displayName='PRESCRIPTIONS'
          codeSystem='2.16.840.1.113883.6.1' codeSystemName='LOINC' />
      <title>Prescriptions</title>
      <text>
355       Text as described above
      </text>
      <author>
        :
      </author>
360 <!-- Each entry is a Prescription Item -->
      <!-- Prescription Item 1 -->
      <entry>
        :
365       <!-- Required element indicating the prescription entry content module -->
          <templateId root='1.3.6.1.4.1.19376.1.9.1.3.2' />
          :
        </entry>
      <!-- Prescription Item 2 -->
      <entry>
        :
370       <!-- Required element indicating the prescription entry content module -->
          <templateId root='1.3.6.1.4.1.19376.1.9.1.3.2' />
          :
        </entry>
      </section>
375 </component>

```

#### 6.3.3.1.1 Parent Templates

The parents of this template are CCD 3.9 and PCC 1.3.6.1.4.1.19376.1.5.3.1.3.19 except the requirement CCD-CONF-301 (“The value for 'section/code' SHALL be “10160-0” “History of medication use”).

### 6.3.3.1.2 Prescription ID

`<id root=' ' extension=' '/>`

A Prescription identifier SHALL be represented in the section `<id>` Element. The data type of the ID is II. Although HL7 allows for multiple identifiers, one and only one shall be used.

385

If this section is used in a Prescription document, this identifier shall be set equal to the document ID (ClinicalDocument/id).

### 6.3.3.1.3 Prescriber

390 `<author>...</author>`

In the case where the prescriber or the timestamp of a prescription is different from the author and timestamp of the prescription-document, the prescriber and timestamp of the prescription shall be represented by the `<author>` element of the section.

| Data element                       | HL7 V3 Data Type | CDA Body position (relative XPath expression)             |
|------------------------------------|------------------|---|
| Prescriber Profession              | CE               | <i>author/functionCode</i>                                |
| Timestamp of prescribing           | TS               | <i>author/time</i>  |
| Prescriber ID                      | II               | <i>author/assignedAuthor/id</i>                           |
| Prescriber Specialty               | CE               | <i>author/assignedAuthor/code</i>                         |
| Prescriber Name                    | PN               | <i>author/assignedAuthor/assignedPerson/name</i>          |
| Prescriber Organization Identifier | II               | <i>author/assignedAuthor/representedOrganization/id</i>   |
| Prescriber Organization Name       | ON               | <i>author/assignedAuthor/representedOrganization/name</i> |
| Prescriber Organization Address    | AD               | <i>author/assignedAuthor/representedOrganization/addr</i> |

395

### 6.3.4 CDA Entry Content Modules

*Add section 6.3.4.1*

#### 6.3.4.1 Prescription Item Entry Content Module (1.3.6.1.4.1.19376.1.9.1.3.2)

##### 400 6.3.4.1.1 Standards

This part describes the general structure for a Prescription Item. It is based on the following standards:

|                         |   |
|-------------------------|---|
| <b>HL7V3<br/>NE2009</b> | <a href="#">HL7 V3 2009 Normative Edition</a>                     |
| <b>CCD</b>              | <a href="#">ASTM/HL7 Continuity of Care Document</a>              |
| <b>IHE PCC</b>          | <a href="#">Medications Entry (1.3.6.1.4.1.19376.1.5.3.1.4.7)</a> |

##### 405 6.3.4.1.2 Parent Template

This entry content module is based on the HL7 CCD template medication activity 2.16.840.1.113883.10.20.1.24 and inherits the structure of the Medication Entry Content Module, 1.3.6.1.4.1.19376.1.5.3.1.4.7.

##### 6.3.4.1.3 Specification

410 This section makes use of the medicine and instruction entry content modules.

This specification relies on the PCC Medication Entry Content Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification and only describes additional constraints.

415 The chapters below identify and describe these fields, and indicate the constraints on whether or not they are required to be sent. The fields are listed in the order that they appear in the CDA XML content.

```

420 <substanceAdministration classCode='SBADM' moodCode='INT'>
  <templateId root='2.16.840.1.113883.10.20.1.24' />
  <templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.7' />
  <templateId root='1.3.6.1.4.1.19376.1.9.1.3.2' />
  <id root=' ' extension=' ' />
  <code code=' ' codeSystem=' ' displayName=' ' codeSystemName=' ' />
  <text><reference value='#med-1' /></text>
425 <statusCode code='completed' />
  <effectiveTime xsi:type='IVL_TS'>
    <low value=' ' />
    <high value=' ' />
  </effectiveTime>
430 <effectiveTime operator='A' xsi:type='TS|PIVL_TS|EIVL_TS|PIVL_PPD_TS|SXPR_TS'>
    :
  </effectiveTime>
  <routeCode code=' ' codeSystem=' ' displayName=' ' codeSystemName=' ' />
  <doseQuantity value=' ' unit=' ' />
  <approachSiteCode code=' ' codeSystem=' ' displayName=' ' codeSystemName=' ' />
435 <rateQuantity value=' ' unit=' ' />
  <consumable>
    :
    <!-- Medicine Entry Module (1.3.6.1.4.1.19376.1.9.1.3.1) -->
    :
440 </consumable>
  <author>
    <functionCode />
    <time />
445 <assignedAuthor>
  <id root=' ' extension=' ' />
  <code code=' ' codeSystem=' ' displayName=' ' codeSystemName=' ' />
  <assignedPerson><name></name></assignedPerson>
  <representedOrganization>...</representedOrganization>
450 </assignedAuthor>
</author>
<!-- 0..* entries describing the components -->
<entryRelationship typeCode='COMP' >
  <sequenceNumber value=' ' />
  :
455 </entryRelationship>
<!-- An optional entry relationship that indicates the reason for use -->
<entryRelationship typeCode='RSON'>
  <act classCode='ACT' moodCode='EVN'>
460 <templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.4.1' />
  <id root=' ' extension=' ' />
  </act>
</entryRelationship>
<!-- Reference to a related prescription activity (supply) -->
465 <entryRelationship typeCode='REFR'>
  <templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.7.3' />
  :
</entryRelationship>
<!-- Optional instructions for the patient -->
470 <entryRelationship typeCode='SUBJ' inversionInd='true'>
  <act classCode='ACT' moodCode='INT'>
    <templateId root='2.16.840.1.113883.10.20.1.49' />
    <templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.3' />
    <code code='PINSTRUCT' codeSystem='1.3.6.1.4.1.19376.1.5.3.2'
475 codeSystemName='IHEActCode' />
    ...
  </act>
</entryRelationship>
<!-- Optional instructions for Pharmacist -->
480 <entryRelationship typeCode='SUBJ' inversionInd='true'>
  <act classCode='ACT' moodCode='INT'>
    <templateId root='2.16.840.1.113883.10.20.1.43' />
    <templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.3.1' />
    <code code='FINSTRUCT' codeSystem='1.3.6.1.4.1.19376.1.5.3.2'
    codeSystemName='IHEActCode' />
  </act>
</entryRelationship>

```

```

485     ...
        </entryRelationship>
        <!-- Amount of units of the consumable to dispense -->
        <entryRelationship typeCode='COMP'>
            <supply classCode='SPLY' moodCode='RQO'>
490             <independentInd value='false' />
                <quantity value=' ' unit=' ' />
            </supply>
        </entryRelationship>
        <!-- Substitution handling -->
495     <entryRelationship typeCode='SUBJ' inversionInd='true'>
        <observation classCode='OBS' moodCode='EVN'>
            <code code='SUBST' displayName='Substitution'
                codeSystem='2.16.840.1.113883.5.6' codeSystemName='HL7 ActClass' />
            <value xsi:type='CE' code=' ' displayName=' '
                codeSystem='2.16.840.1.113883.5.1070'
                codeSystemName='HL7 Substance Admin Substitution' />
500         </observation>
        </entryRelationship>
        <precondition>
            <criterion>
505             <text><reference value=''></text>
            </criterion>
        </precondition>
    </substanceAdministration>

```

510 **6.3.4.1.3.1 Prescription Item Entry General Specification**

**<substanceAdministration classCode='SBADM' moodCode='INT'>**

...  
**</substanceAdministration>**

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

515 **6.3.4.1.3.2 Prescription Item Entry TemplateID**

**<templateId root='2.16.840.1.113883.10.20.1.24' />** **<!-- CCD -->**  
**<templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.7' />** **<!-- PCC -->**  
**<templateId root='1.3.6.1.4.1.19376.1.9.1.3.2' />** **<!-- PHARM -->**

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

520 **6.3.4.1.3.3 Prescription Item Entry Additional Template ID**

**<templateId root=' ' />**

The <templateId> element identifies this <entry> as a particular type of medication event, allowing for validation of the content.

The templateId must use one of the values in the table below for the root attribute.

525

| Root                            | Description  |
|---------------------------------|--|
| 1.3.6.1.4.1.19376.1.5.3.1.4.7.1 | A "normal" <substanceAdministration> act that may not contain any subordinate <substanceAdministration> acts.                            |
| 1.3.6.1.4.1.19376.1.5.3.1.4.8   | A <substanceAdministration> act that records tapered dose information in subordinate <substanceAdministration> act.                      |
| 1.3.6.1.4.1.19376.1.5.3.1.4.9   | A <substanceAdministration> act that records split dose information in subordinate <substanceAdministration> acts.                       |
| 1.3.6.1.4.1.19376.1.5.3.1.4.10  | A <substanceAdministration> act that records conditional dose information in subordinate <substanceAdministration> acts.                 |
| 1.3.6.1.4.1.19376.1.5.3.1.4.11  | A <substanceAdministration> act that records combination medication component information in subordinate <substanceAdministration> acts. |

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

530 **6.3.4.1.3.4 Prescription Item ID**

`<id root=' ' extension=' '/>`

This ID represents the Prescription Item ID and SHALL be present.

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

**6.3.4.1.3.5 Substance Administration Code**

535 `<code code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '/>`

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

**6.3.4.1.3.6 Substance Administration Reference Value**

`<text><reference value=' '/></text>`

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

540 **6.3.4.1.3.7 Substance Administration Status Code**

`<statusCode code='completed'/>`

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

**6.3.4.1.3.8 Substance Administration Effective Time**

`<effectiveTime xsi:type='IVL_TS'>`

545 See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

#### 6.3.4.1.3.9 Medication frequency

`<effectiveTime operator='A'`

`xsi:type='TS|PIVL_TS|EIVL_TS|PIVL_PPD_TS|SXPR_TS' />`

550 See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

#### 6.3.4.1.3.10 Route of Administration

`<routeCode code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '/>`

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

#### 6.3.4.1.3.11 Approach Site Code

555 `<approachSiteCode code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '>`

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

#### 6.3.4.1.3.12 Dose Quantity

`<doseQuantity value=' ' unit=' '/>`

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

560 **6.3.4.1.3.13 Rate Quantity**

`<rateQuantity value=' ' unit=' '/>`

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

#### 6.3.4.1.3.14 Consumable

`<consumable>`

565 :

`<!-- Medicine Entry Module (1.3.6.1.4.1.19376.1.9.1.3.1) -->`

:

`</consumable>`

570 The `<consumable>` element SHALL be present, and shall contain a `<medication>` entry, conforming to the Medicine Entry template (1.3.6.1.4.1.19376.1.9.1.3.1).

See PHARM-TF2, Medicine Entry Module (1.3.6.1.4.1.19376.1.9.1.3.1) specification.

#### 6.3.4.1.3.15 Author

`<author>...</author>`

575 In the case where the prescriber or the timestamp of a Prescription Item is different from the author and timestamp of the prescription-section, the prescriber of the Prescription Item MAY be represented by the <author> element of the entry.

| Data element                       | HL7 V3 Data Type | CDA Body position (relative XPath expression)             |
|------------------------------------|------------------|---|
| Prescriber Profession              | CE               | <i>author/functionCode</i>                                |
| Timestamp of prescribing           | TS               | <i>author/time</i>  |
| Prescriber ID                      | II               | <i>author/assignedAuthor/id</i>                           |
| Prescriber Specialty               | CE               | <i>author/assignedAuthor/code</i>                         |
| Prescriber Name                    | PN               | <i>author/assignedAuthor/assignedPerson/name</i>          |
| Prescriber Organization Identifier | II               | <i>author/assignedAuthor/representedOrganization/id</i>   |
| Prescriber Organization Name       | ON               | <i>author/assignedAuthor/representedOrganization/name</i> |
| Prescriber Organization Address    | AD               | <i>author/assignedAuthor/representedOrganization/addr</i> |

### 6.3.4.1.3.16 Related components

580 <entryRelationship typeCode='COMP'>

<sequenceNumber value=""/>

:

</entryRelationship>

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

585 **6.3.4.1.3.17 Reason**

<entryRelationship typeCode='RSON'>

<act classCode='ACT' moodCode='EVN'>

<templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.4.1'>

<id root=' ' extension=' '/>

590 </act>

</entryRelationship>

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.



**6.3.4.1.3.18 Reference to a related prescription activity (supply)**

```

595 <entryRelationship typeCode='REFR'>
  —<templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.7.3' />
  —:
  —</entryRelationship>

```

See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

This element shall not be present.

**6.3.4.1.3.19 Patient Medication Instructions**

```

600 <entryRelationship typeCode='SUBJ' inversionInd='true'>
  <act classCode='ACT' moodCode='INT'>
    <templateId root='2.16.840.1.113883.10.20.1.49' />
    <templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.3' />
605 <code code='PINSTRUCT' codeSystem='1.3.6.1.4.1.19376.1.5.3.2'
    codeSystemName='IHEActCode' />
    ...
  </entryRelationship>

```

610 At most one instruction MAY be provided for each <substanceAdministration> entry. If provided, it shall conform to the requirements listed for [Patient Medication Instructions](#). The instructions shall contain any special case dosing instructions (e.g., split, tapered, or conditional dosing), and may contain other information (take with food, et cetera).

**6.3.4.1.3.20 Fulfillment Instructions**

```

615 <entryRelationship typeCode='SUBJ' inversionInd='true'>
  <act classCode='ACT' moodCode='INT'>
    <templateId root='2.16.840.1.113883.10.20.1.43' />
    <templateId root='1.3.6.1.4.1.19376.1.5.3.1.4.3.1' />
    <code code='FINSTRUCT' codeSystem='1.3.6.1.4.1.19376.1.5.3.2'
    codeSystemName='IHEActCode' />
620 ...
  </entryRelationship>

```

An entry relationship MAY be present to provide the fulfillment instructions. When present, this entry relationship shall contain a [Medication Fulfillment Instructions](#) (1.3.6.1.4.1.19376.1.5.3.1.4.3.1) entry.

#### 6.3.4.1.3.21 Amount of units of the consumable to dispense

```
<entryRelationship typeCode='COMP'>
  <supply classCode='SPLY' moodCode='RQO'>
    <independentInd value='false' />
    <quantity value=' ' unit=' ' />
  </supply>
</entryRelationship>
```

This element SHALL be present and describes the amount of units to be dispensed.

The medication in the consumable - element describes a manufactured medication (e.g., “Paracetamol 30mg”). It also may contain package information (e.g., “Paracetamol 30mg, 30 tablets package”). The following rules shall indicate to which the <quantity> element relates to (either manufactured medication or package):

- If the manufactured medication also contains package information, the <quantity> element is considered to contain the amount of packages of the medication. In this case the unit attribute shall be not present.
- If the manufactured medication does not contain package information, the <quantity> element is considered to contain the amount of consumable units of the medication. In this case the unit attribute may be present, if the quantity is in non-countable units. The value SHALL be out of the UCUM code system.

#### 6.3.4.1.3.22 Substitution handling

```
<entryRelationship typeCode='COMP'>
  <supply classCode="SPLY"
    moodCode="RQO">
    <independentInd value="false" />
    <pharm:subjectOf4>
      <pharm:substitutionPermission
        classCode="SUBST"
        moodCode="PERM">
        <pharm:code code=' '
          displayName=' '
```

```
codeSystem='2.16.840.1.113883.5.1070'  
codeSystemName='HL7 Substance Admin Substitution'/>
```

```
</pharm:substitutionPermission>
```

```
</pharm:subjectOf4>
```

660 </supply>

```
</entryRelationship>
```

One or more <entryRelationship> elements, each containing one and only one <pharm:subjectOf4> element, MAY be present and describe the substitution handling.

665 The <value> element identifies what sort of change is permitted between the therapy that was ordered and the therapy that will be provided. It shall be coded in HL7 terminology for substance substitution.

<http://www.hl7.org/v3ballot/html/infrastructure/vocabulary/substanceAdminSubstitution.htm>

#### 670 **6.3.4.1.3.23 Precondition Criterion**

```
<precondition>
```

```
<criteria>
```

```
<text><reference value=' '></text>
```

```
</criteria>
```

675 </precondition>

In a CDA document, the preconditions for use of the medication are recorded in the <precondition> element. The value attribute of the <reference> element is a URL that points to the CDA narrative describing those preconditions.

This element MAY be present.

680 See PCC TF2, Medication Entry Module (1.3.6.1.4.1.19376.1.5.3.1.4.7) specification.

### 6.3.4.2 Medicine Entry Content Module (1.3.6.1.4.1.19376.1.9.1.3.1)

685 The Medicine Entry content module describes a medication used in a <substanceAdministration> or <supply> act. This entry uses the structure of the HL7 V3 R\_Medication Universal Common Message Element (CMET), Release 2.

This structure is part of the HL7 V3 2009 Normative Edition (COCT\_RM230100UV). The incorporation of this structure is done according to section **1.4 CDA Extensibility** of the HL7 CDA standard. Such an extension of the base CDA standard is an accepted practice in IHE (e.g., in the XD\* Lab specification).

690 For the purposes of IHE Pharmacy this extension is necessary to satisfy the requirements for Prescription, Pharmaceutical Advice and Dispense data elements to represent a generic equivalent and ingredients.

695 The rules of section **1.4 CDA Extensibility** require the designation of a new XML namespace for the XML elements in this structure. For the purposes of documentation, the **namespace urn:ihe:pharm:medication** shall be used.

The following specification and constraints are applied to the structures of the CMET.

#### 6.3.4.2.1 Standards

700 This part describes the general structure for a Prescription Item. It is based on the following standards:

|                         |   |
|-------------------------|---|
| <b>HL7V3<br/>NE2009</b> | <a href="#">HL7 V3 2009 Normative Edition</a> |
|-------------------------|---|

#### 6.3.4.2.2 Parent Template

This entry content module has no parent structure.

705 **6.3.4.2.3 Specification**

```

705 <manufacturedProduct xmlns:pharm="urn:ihe:pharm:medication" classCode="MANU">
    <templateId root="1.3.6.1.4.1.19376.1.5.3.1.4.7.2"/>
    <templateId root="2.16.840.1.113883.10.20.1.53"/>
710 <manufacturedMaterial classCode="MMAT" determinerCode="KIND">
    <templateId root="1.3.6.1.4.1.19376.1.9.1.3.1"/>
    <!-- National medicinal product code (brand-level) -->
    <code code=" " displayName=" " codeSystem=" " codeSystemName=" "/>
    <!-- Brand name -->
    <name>... </name>
715 <!-- Pharmaceutical dose form -->
    <pharm:formCode code=" " displayName=" "
        codeSystem=" " codeSystemName=" "/>
    <!-- Container information -->
    <pharm:asContent classCode="CONT">
720 <pharm:containerPackagedMedicine classCode="CONT" determinerCode="INSTANCE">
    <!-- National medicinal product code (package-level) -->
    <pharm:code code=" " displayName=" " codeSystem=" " codeSystemName=" "/>
    <!-- Brand name (package) -->
    <pharm:name>...</pharm:name>
725 <pharm:formCode code=" " displayName=" "
        codeSystem=" " codeSystemName=" "/>
    <pharm:lotNumberText>...</pharm:lotNumberText>
    <pharm:capacityQuantity value=" " unit=" "/>
    </pharm:containerPackagedMedicine>
730 </pharm:asContent>
    <!-- These are optional generic equivalents -->
    <pharm:asSpecializedKind classCode="GRIC">
    <pharm:generalizedMedicineClass classCode="MMAT">
    <pharm:code code=" " displayName="Generic Equivalent"
735 codeSystem=" " codeSystemName=" "/>
    <pharm:name>...</pharm:name>
    </pharm:generalizedMedicineClass>
    </pharm:asSpecializedKind>
740 <!-- This is the list of active ingredients -->
    <pharm:ingredient classCode="ACTI">
    <!-- strength of ingredient -->
    <pharm:quantity>
    <pharm:numerator xsi:type="PQ" value=" " unit=" "/>
    <pharm:denominator xsi:type="PQ" value=" " unit=" "/>
745 </pharm:quantity>
    <pharm:ingredient classCode="MMAT" determinerCode="KIND">
    <pharm:code code=" " displayName="Active Ingredient 1"
        codeSystem=" " codeSystemName=" "/>
    <pharm:name>Active Ingredient 1</pharm:name>
750 </pharm:ingredient>
    </pharm:ingredient>
    <pharm:ingredient classCode="ACTI">
    <!-- strength of ingredient -->
    <pharm:quantity>
755 <pharm:numerator xsi:type="PQ" value=" " unit=" "/>
    <pharm:denominator xsi:type="PQ" value=" " unit=" "/>
    </pharm:quantity>
    <pharm:ingredient classCode="MMAT" determinerCode="KIND">
    <pharm:code code=" " displayName="Active Ingredient 2"
760 codeSystem=" " codeSystemName=" "/>
    <pharm:name>Active Ingredient 2</pharm:name>
    </pharm:ingredient>
    </pharm:ingredient>
    </manufacturedMaterial>
765 </manufacturedProduct>

```

**6.3.4.2.3.1 Medication Template ID**

```
< templateId root='1.3.6.1.4.1.19376.1.9.1.3.1' />
```

770

The Template ID for a Medicine Entry SHALL be provided.

**6.3.4.2.3.2 Medication Code (brand-level)**

```
<code code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '>
```

```
  <originalText>
```

775

```
    <reference value=' '/>
```

```
  </originalText>
```

```
</code>
```

780 The <code> element of the <manufacturedMaterial> element SHALL be present and describes the code of the branded medication (without packaging).

The <originalText> shall contain a <reference> whose URI value points to the generic name and strength of the medication, or just the generic name alone if strength is not relevant.

**6.3.4.2.3.3 Medication Brand Name**

```
< name>...</ name>
```

785

The element SHALL contain the brand name of the medication without packaging information (e.g., “Paracetamol 30mg”).

**6.3.4.2.3.4 Medication Form Code**

```
<pharm:formCode code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '/>
```

790 This code represents the pharmaceutical dose form (e.g. tablet, capsule, liquid) and SHOULD be present, if not implied by the product. It MAY be present if implied by the product. The value of this code affects the units used in the substance administration quantity element.

**6.3.4.2.3.5 Medication Packaging**

```
<pharm:asContent classCode='CONT'>
```

```
  <pharm:containerPackagedMedicine classCode='CONT'
```

795

```
    determinerCode='INSTANCE'>
```

```
    <!-- National medicinal product code (package-level) -->
```

```
    <pharm:code code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '/>
```

```
    <!-- Brand name (package) -->
```

```
    <pharm:name>...</pharm:name>
```

800

```
    <pharm:formCode code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '/>
```

```

    <pharm:lotNumberText>...</pharm:lotNumberText>
    <pharm:capacityQuantity value=' ' unit=' '/>
  </pharm:containerPackagedMedicine>
</pharm:asContent>

```

805 This structure describes the packaging of the medication and MAY be present.

The <pharm:code> element provides the code for the particular package and SHOULD be present.

If the package has a brand name, it SHOULD be described in the <pharm:name> element (e.g., Paracetamol 30mg, 30 tablets package).

810 The <pharm:formCode> element represents the form of the package (e.g. tablet container, bottle, ...) and SHOULD be present, if not implied by the product. It MAY be present if implied by the product.

The <pharm:lotNumberText> element MAY be present and is a string representation of a possible lot number.

815 The <pharm:capacityQuantity> element SHALL be present and describes the capacity of the packaging.

If the capacityQuantity is given in countable units, the unit attribute shall not be present. If the capacityQuantity is given in non-countable units, the unit attribute shall be present and the value SHALL be out of the UCUM code system.

820 For example, to represent 30 tablets, the pharmaceutical dose form (<pharm:formCode> of the medication, see chapter “Medication Form Code”) must indicate tablets as the form, value attribute of the <pharm:capacityQuantity> element must be set to the value of 30, and the unit attribute must not be present.

#### 6.3.4.2.3.6 Medication Generic Equivalent

```

825 <pharm:asSpecializedKind classCode='GRIC'>
    <pharm:generalizedMedicineClass classCode='MMAT'>
      <pharm:code code=' ' displayName=' ' codeSystem=' ' codeSystemName=' '/>
      <pharm:name>...</pharm:name>
    </pharm:generalizedMedicineClass>

```

```

830 </pharm:asSpecializedKind>

```

The classCode of "GRIC" identifies this structure as the representation of a generic equivalent of the medication described in the current Medicine entry.

One or more elements MAY be present.

835 The <pharm:code> element contains the coded representation of the generic medicine, and the <pharm:name> element may be used for the plain text representation.

**6.3.4.2.3.7 Medication Active Ingredient List**

```

<pharm:ingredient classCode='ACTI'>
  <pharm:quantity>
840   <pharm:numerator xsi:type='pharm:PQ' value=' ' unit=' '/>
      <pharm:denominator xsi:type='pharm:PQ' value=' ' unit=' '/>
  </pharm:quantity>
  <pharm:ingredient classCode='MMAT' determinerCode='KIND'>
    <pharm:code code=' ' displayName=' '
845         codeSystem='2.16.840.1.113883.6.73' codeSystemName='ATC WHO'/>
    <pharm:name>...</pharm:name>
  </pharm:ingredient>
</pharm:ingredient>

```

850 One or more active ingredients SHOULD be represented with this structure. The classCode of "ACTI" indicates that this is an active ingredient.

The <pharm:code> element SHALL be present and contains the coded representation of the ingredient and the <pharm:name> element SHALL be present and is used for the plain text representation. The WHO ATC terminology SHOULD be used to code the ingredients, where applicable.

855 The medication strength is represented as the ratio of the active ingredient(s) to a unit of medication. The <pharm:quantity> element SHALL be present and represents the strength of the active ingredient(s) as the ratio of the active ingredient(s) to a unit of medication. The <pharm:quantity> element contains the numerator and denominator of the strength ratio.

The following example shows the strength of 10 mg of the ingredient per ml of the medication:

860

```

<pharm:quantity>
  <pharm:numerator xsi:type="pharm:PQ" value="10" unit="mg"/>
  <pharm:denominator xsi:type="pharm:PQ" value="1" unit="ml"/>
</pharm:quantity>

```

865



## Appendix A Validating CDA Documents using the Framework

### A.1 Validating Documents

870 For validation of document content modules please refer to PCC-TF2, Appendix A.1.

### A.2 Validating Sections

For validation of section content modules please refer to PCC-TF2, Appendix A.2.

### A.3 Phases of Validation and Types of Errors

For the phases of validation and types of errors please refer to PCC-TF2, Appendix A.3.

## 875 Appendix B Extensions to CDA Release 2

### B.1 IHE PHARM Extensions

All Extensions to CDA Release 2.0 created by the IHE PHARM Technical Committee are in the namespace `urn:ihe:pharm:medication`.

880 The approach used to create extension elements created for the PHARM Technical Framework is the same as was used for the PCC Technical Framework, the HL7 Care Record Summary (see Appendix E) and the ASTM/HL7 Continuity of Care Document (see section 7.2).

#### B.1.1 Used for Medicine Entry Content Module

885 The extensions of CDA Release 2 used for the Medicine Entry Content Module are derived of a medication structure based on a standard HL7 V3 Common Message Element Type (CMET) created by the HL7 Pharmacy group. The used CMET is “**R\_Medication Universal**” (**COCT\_MT230100UV**), **Release 2** and fits within the overall entry by extending the "Manufactured Product" structure of the CDA “substanceAdministration” branch.

890 This structure is part of the HL7 V3 2009 Normative Edition (COCT\_RM230100UV). The incorporation of this structure is done according to section **1.4 CDA Extensibility** of the HL7 CDA standard. Such an extension of the base CDA standard is an accepted practice in IHE (e.g., in the XD\* Lab specification).

The rules of section **1.4 CDA Extensibility** require the designation of a new XML namespace for the XML elements in this structure. For the purposes of documentation, the **namespace `urn:ihe:pharm:medication`** shall be used.

895

```

900 <manufacturedProduct xmlns:pharm="urn:ihe:pharm:medication" classCode="MANU">
      <manufacturedMaterial classCode="MMAT" determinerCode="KIND">
        <templateId root="1.3.6.1.4.1.19376.1.9.1.3.1"/>
        <!-- National medicinal product code (brand-level) -->
        <code code=" " displayName=" " codeSystem=" " codeSystemName=" "/>
        <!-- Brand name -->
        <name>... </name>
        <!-- Pharmaceutical dose form -->
905 <pharm:formCode code=" " displayName=" "
              codeSystem=" " codeSystemName=" "/>
        <!-- Container information -->
        <pharm:asContent classCode="CONT">
          <pharm:containerPackagedMedicine classCode="CONT" determinerCode="INSTANCE">
910 <!-- National medicinal product code (package-level) -->
          <pharm:code code=" " displayName=" " codeSystem=" " codeSystemName=" "/>
          <!-- Brand name (package) -->
          <pharm:name>...</pharm:name>
          <pharm:formCode code=" " displayName=" "
915 <codeSystem=" " codeSystemName=" "/>
          <pharm:lotNumberText>...</pharm:lotNumberText>
          <pharm:capacityQuantity value=" " unit=" "/>
          </pharm:containerPackagedMedicine>
          </pharm:asContent>
920 :
    
```

The detailed usage is shown in the specification of the Medicine Entry Content Module (1.3.6.1.4.1.19376.1.9.1.3.1) within the PHARM Technical Framework.

The model for this extension is shown in the following diagram. The current version of the model is shown at:

925 [http://www.hl7.org/v3ballot/html/domains/uvct/editable/COCT\\_RM230100UV.htm](http://www.hl7.org/v3ballot/html/domains/uvct/editable/COCT_RM230100UV.htm)

# IHE Pharmacy Technical Framework Supplement – Pharmacy Prescription (PRE)

